

1 **Improved Pressure Contour Analysis for Estimating Cardiac**
2 **Stroke Volume using Pulse Wave Velocity Measurement**

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16 **Abstract**

17 **Background:** Pressure contour analysis is commonly used to estimate cardiac performance for
18 patients suffering from cardiovascular dysfunction in the intensive care unit. However, the
19 existing techniques for continuous estimation of stroke volume (SV) from pressure
20 measurement can be unreliable during hemodynamic instability, which is inevitable for patients
21 requiring significant treatment. For this reason, pressure contour methods must be improved to
22 capture changes in vascular properties and thus provide accurate conversion from pressure to
23 flow.

24 **Method:** This paper presents a novel pressure contour method utilizing pulse wave velocity
25 (PWV) measurement to capture vascular properties. A three-element Windkessel model
26 combined with the reservoir-wave concept are used to decompose the pressure contour into
27 components related to storage and flow. The model parameters are identified beat-to-beat from
28 the water-hammer equation using measured PWV, wave component of the pressure, and an
29 estimate of subject-specific aortic dimension. SV is then calculated by converting pressure to
30 flow using identified model parameters. The accuracy of this novel method is investigated
31 using data from porcine experiments (N=4 Pietrain pigs, 20-24.5kg), where hemodynamic
32 properties were significantly altered using dobutamine, fluid administration, and mechanical
33 ventilation. In the experiment, left ventricular volume was measured using admittance catheter,
34 and aortic pressure waveforms were measured at two locations, the aortic arch and abdominal
35 aorta.

36 **Result:** Bland-Altman analysis comparing gold-standard SV measured by the admittance
37 catheter and estimated SV from the novel method showed average limits of agreement of $\pm 26\%$
38 across significant hemodynamic alterations. This result shows the method is capable of
39 estimating clinically acceptable absolute SV values according to Critchely and Critchely.

40 **Conclusion:** The novel pressure contour method presented can accurately estimate and track
41 SV even when hemodynamic properties are significantly altered. Integrating PWV
42 measurements into pressure contour analysis improves identification of beat-to-beat changes
43 in Windkessel model parameters, and thus, provides accurate estimate of blood flow from
44 measured pressure contour. The method has great potential for overcoming weaknesses
45 associated with current pressure contour methods for estimating SV.

46

47 **Keywords:** Pressure contour analysis, Hemodynamic monitor, Stroke volume, Pulse wave
48 velocity, Reservoir-wave pressure, Water hammer, Cardiovascular system, Physiological
49 modelling, Windkessel model, Intensive care

50 **Background**

51 Stroke volume (SV) is an important physiological parameter for diagnosing and treating
52 patients suffering from cardiovascular dysfunctions [1-4]. Accurately tracking changes in SV
53 provides an in-depth picture of cardiovascular condition and response to therapy, thus enabling
54 more optimal care [5, 6]. Although SV measurements are increasingly seen as essential for
55 correct clinical decisions, accurate continuous SV measurement requires highly invasive
56 instrumentation, such as inserting an admittance catheter into the ventricle and is thus not
57 clinically feasible.

58 At present, SV is continuously measured indirectly using continuous cardiac output monitors
59 in the intensive care unit (ICU) [7, 8]. Non-invasive methods, such as esophageal doppler and
60 bio-impedance exist, but can be operator-dependent [9] and inaccurate due to the signal quality
61 [10]. Moderately invasive methods, such as pressure contour analysis have been shown to be
62 unreliable during hemodynamic instability [11]. The error comes from calibration methods
63 involving use of fixed arterial properties, such as peripheral resistance, compliance, and
64 vascular impedance [12], which certainly change as patient condition evolves and due to
65 clinical interventions.

66 Inaccurate surrogate measures of SV could lead to misdiagnosis, incorrect clinical
67 treatment/decisions, and/or misinterpretation of patient response to therapy. In the ICU,
68 changes in the hemodynamic state are expected, and assuming constant hemodynamic
69 parameters may not be suitable in many situations, such as fluid resuscitation [13] and/or
70 inotrope therapy [14]. Therefore, there is a need for accurate and robust methods to estimate
71 SV that are reliable even when hemodynamic properties are evolving rapidly.

72 This paper presents a novel pressure contour method for estimating SV. A three-element
73 Windkessel model [15] combined with the reservoir-wave concept [16] are used to analyse the

74 pressure contour. Windkessel parameters are identified using pressure and pulse wave velocity
75 (PWV) measurements using a calibrated arterial diameter. Identified parameters are used to
76 calculate flow from pressure measurements and SV is then calculated by integrating the
77 estimated flow.

78 The distinct difference between the proposed method and the traditional methods [17, 18] is
79 that PWV measurements are used to improve the capacity of pressure contour analysis.
80 Furthermore, reservoir-wave separation was performed on the pressure waveform to accurately
81 capture the changes in Windkessel parameters [19]. The separation provides more accurate
82 information on which Windkessel parameter is responsible for the changes in the shape of
83 pressure waveform.

84 Pressure contour analysis has traditionally been purely based on the morphology of the pressure
85 waveform alone [20]. Consequently, the weaknesses associated with monitoring SV were
86 always found during hemodynamic instability [21], which is the exact point where monitoring
87 patients SV becomes essential. To overcome the limited information achievable from a single
88 measurement, the presented method couples pressure and PWV measurements to improve the
89 analysis of circulatory system. To investigate the accuracy of this new method, Bland-Altman
90 plots are presented for data from porcine experiments, where beat-to-beat SV is estimated and
91 compared against SV measured directly from an admittance catheter.

92 **Method**

93 **Porcine Experiments**

94 All experimental procedures, protocols and the use of data in this study were reviewed and
95 approved by the Ethics Committee of the University of Liege Medical Faculty.

96 Experiments were performed on four healthy pigs weighing between 20 – 24.5kg and a total
97 of 13409 heart beats were recorded. The pigs were premedicated with ketamine (20mg/kg) and
98 diazepam (1mg/kg). Anesthesia was induced and maintained by a continuous infusion of
99 sufentanil (0.5µg/kg/hour) and sodium pentobarbital (3mg/kg). The pigs were intubated via
100 tracheotomy and ventilated using a GE Engstrom Carestation ventilator (GE healthcare,
101 Chicago, United States).

102 Cardiovascular measurements were continuously recorded using Notocord-hem software
103 (Notocord, Croissy-sur-Seine, France). Left ventricular pressures and volumes were measured
104 using 7F micromanometer-tipped admittance catheters (Transonic Scisense Inc., Ontario,
105 Canada) inserted into the ventricles through the right carotid artery. Central pressure waveform
106 measurements were captured at the aortic arch and abdominal aorta with 7F pressure catheters
107 (Transonic Scisense Inc., Ontario, Canada). Catheters for central pressure waveform
108 measurements were inserted into the aortic arch through left carotid artery and the abdominal
109 aorta through the femoral artery, respectively. All cardiovascular and respiratory data were
110 recorded with the chest closed and sampled at 250 Hz. The analysis in this study was performed
111 using Matlab (version 2015a, The Mathworks, Natick, Massachusetts, USA).

112

113

114

115 **Experimental Protocol**

116 The experiment comprised three different interventions intended to induce hemodynamic
 117 variations: 1) volume expansion by saline solution; 2) continuous infusion of the inotrope
 118 dobutamine; and 3) step-wise changes in positive end expiratory pressure (PEEP) recruitment
 119 manoeuvres (RM). For each pig the experiment started with administration of five rapid saline
 120 bolus of 180ml up to a total of 900ml, except for Pig 4 where a total of 720ml of saline solution
 121 was given. After fluid administration, the pigs received a continuous infusion of dobutamine
 122 at a rate of either 2.5 or 5µg/kg/min. In Pigs 3 and 4, further administration of a 180ml rapid
 123 bolus were given while continuing dobutamine infusion. The summary of interventions made
 124 for each pig are given in Table 1, and provide a wide range of induced hemodynamic changes.

125 **Table 1:** Summary of interventions made for each pig in the experiment.

Pig No	Volume Expansion					Dobutamine (µg/kg/min)		Dobutamine + Volume Expansion
	180ml	360ml	540ml	720ml	900ml	2.5	5	180ml
1	✓	✓	✓	✓	✓	×	✓	×
2	✓	✓	✓	✓	✓	×	✓	×
3	✓	✓	✓	✓	×	✓	×	✓
4	✓	✓	✓	✓	✓	×	✓	✓

126

127 Between each intervention, a RM was performed to induce changes in cardiac preload. An
 128 increased PEEP results in a smaller pressure gradient between the peripheral veins and the right
 129 atrium and decreases systemic venous return [22]. In addition, pulmonary circulation resistance
 130 is increased, and as a consequence, left ventricular SV is reduced [23]. RMs involved
 131 increasing PEEP with increments of 5cmH₂O to a maximum of 20-25cmH₂O and then reducing
 132 PEEP back to the original PEEP level of 5cmH₂O in a step-wise manner. An example of the
 133 relationship between SV and PEEP are shown in Fig 1.

134

135 **Figure 1** – Example of relationship between directly measured SV and PEEP from an
136 experiment. Top Panel: Measured SV from left ventricular admittance signal. Bottom Panel:
137 Measured airway pressure from mechanical ventilator.

138

139 **Pulse Wave Velocity Measurements**

140 The velocity of the pressure wave along the aorta was calculated using the aortic arch and
141 abdominal pressure waveform measurements. The transit time of the pressure wave was
142 measured by locating the ‘foot’ of the systolic rise in each measurement. In this study, the
143 ‘foot’ of the pressure was identified as intersection of the tangent line along the maximum
144 systolic pressure gradient and the horizontal line along the minimum pressure point. Example
145 of the identified ‘foot’ in a single heart beat is shown in Fig 2.

146

147 **Figure 2** – Example of measured aortic arch (black) and abdominal aorta (dashed red) pressure
148 waveforms. Thin lines represent tangent line along maximum pressure gradient and horizontal
149 lines along minimum pressure point for each of the waveform. The green crosses represent the
150 identified ‘foot’ of the pressure waveforms at times t_1 and t_2 for aortic arch and abdominal
151 pressure, respectively. Transit time can be seen as the difference ($t_2 - t_1$) between these time
152 points.

153

154 The distance between the two pressure catheters was measured on the body surface by
155 approximating the catheter locations. This method may introduce error in the absolute value of
156 PWV, but as the catheter locations were fixed throughout each experiment, PWV trends for a
157 given pig were unaffected.

158

159 **Detection of End Systolic Point**

160 This analysis requires the maximum negative gradient of the pressure waveform per beat,
161 characterised by end systolic point (ESP) [24]. However, this condition is not robust enough

162 for typically measured pressure waveforms, which can have multiple inflection points and thus
163 multiple minima in the dP/dt waveform. An example is shown in Fig 3.

164

165 **Figure 3** – Detected maximum negative gradient point (blue +) and detected end systolic point
166 using the modified function (red x) on a single beat pressure waveform. Top Panel: measured
167 pressure waveform having multiple descending inflection points. Second Panel: pressure
168 gradient $dP_{measured}/dt$ and detected global minimum, shown as blue cross. Third Panel: Weight
169 function $WF(t)$ applied to $dP_{measured}/dt$. Bottom Panel: Modified function showing product of
170 $dP_{measured}/dt$ and $WF(t)$, where the red cross shows the identified location of the end systolic
171 point.

172

173 Fig 3 shows multiple local and global minimum gradient points. In this specific case, the correct
174 location of the ESP would be the second minimum gradient point and not the global minimum
175 point. To avoid false detection of the ESP, which is required for calculating SV, a generic
176 Weight Function (WF) was applied to the gradient of the pressure curve.

$$177 \quad WF(t) = \left(0.5 - \left|0.5 - \frac{HR \cdot t}{60}\right|\right)^2 \quad (1)$$

$$178 \quad ESP = \min\left(\frac{dP_{measured}}{dt} WF(t)\right) \quad (2)$$

179 Where HR is the heart rate.

180 This approach applies a greater weighting to points near the midpoint of the cardiac cycle and
181 thus, enhances minima in the expected ESP location. This procedure is illustrated in Fig 3.

182

183 **Pressure Contour Analysis**

184 A measured continuous abdominal pressure waveforms was used to estimate SV in this
185 investigation. The continuous waveforms were first split into individual heart beats for the beat-
186 to-beat pressure contour analysis. For each beat, a reservoir-wave separation was applied and
187 the wave component of the pressure waveform was identified. Aortic characteristic impedance

188 was then calculated by solving the water-hammer equation. Finally, the wave component of
 189 the pressure is used with the calculated value of aortic characteristic impedance to estimate
 190 beat-to-beat SV.

191

192 ***Reservoir-Wave Separation***

193 The time-varying reservoir pressure proposed by Wang *et al* [25] is used to analyse the pressure
 194 contour. This model interprets the measured pressure as a sum of two pressure components,
 195 reservoir pressure P_{res} , and excess pressure P_{ex} .

$$196 \quad P_{measured}(t) = P_{res}(t) + P_{ex}(t) \quad (3)$$

197 P_{res} represents the energy stored and released by the aortic compliance, and P_{ex} is the wave
 198 component of the pressure waveform and represents the excess amount of work provided by
 199 the ventricle to induce flow in the aorta. The time dependent $P_{res}(t)$ can be expressed as a
 200 function of volumetric compliance $C_v (=dV/dP_{res})$, and changes in aortic compartment volume
 201 with respect to time (dV/dt), yielding:

$$202 \quad \frac{dP_{res}(t)}{dt} = \frac{dP_{res}(t)}{dV} \frac{dV}{dt} = \frac{1}{C_v} (Q_{in}(t) - Q_{out}(t)) \quad (4)$$

203 Where $Q_{in}(t)$ and $Q_{out}(t)$ are flow entering aortic compartment from the left ventricle and flow
 204 leaving the aortic compartment, respectively. The theory also describes the proportionality
 205 between each pressure component and flow dynamics in the aorta:

$$206 \quad P_{res}(t) - P_{cvp} = RQ_{out}(t) \quad (5)$$

$$207 \quad P_{ex}(t) = Z_{ao}Q_{in}(t) \quad (6)$$

208 Where R , Z_{ao} and P_{cvp} are peripheral resistance, aortic characteristic impedance and central
 209 venous pressure, respectively. In this analysis, P_{cvp} was assumed 8mmHg for all the pigs as a

210 typical value that could also be measured. Substituting Equations (5) and (6) into Equation (4),
 211 the differential equation for reservoir pressure can be written.

$$212 \quad \frac{dP_{res}(t)}{dt} = \frac{P_{ex}(t)}{Z_{ao}C_V} - \frac{P_{res}(t) - P_{cvp}}{RC_V} \quad (7)$$

213 Assuming that aortic volumetric compliance C_V can be written in the form $C_V = C_A L_{ao}$, where C_A
 214 and L_{ao} are compliance per unit length of aorta and aortic length, respectively, and PWV can
 215 be described by aortic characteristic impedance and compliance per unit length [26], Equation
 216 (7) can be rewritten;

$$217 \quad PWV = 1/Z_{ao}C_A \quad (8)$$

$$218 \quad \frac{dP_{res}(t)}{dt} = \frac{PWV}{L_{ao}} P_{ex}(t) - \frac{P_{res}(t) - P_{cvp}}{RC_V} \quad (9)$$

219 By substituting Equation (3) into Equation (9) and applying the initial condition that $P_{res}(0) =$
 220 $P_{measured}(0)$ at the beginning of a heartbeat, Equation (9) can be solved for $P_{res}(t)$ over one
 221 cardiac cycle:

$$222 \quad P_{res}(t) = e^{-(\alpha+\beta)t} \left(\int_0^t e^{(\alpha+\beta)t'} (\alpha P_{measured}(t') + \beta P_{cvp}) dt' + P_{measured}(0) \right) \quad (10)$$

223 Where α and β are PWV/L_{ao} and $1/RC_V$ respectively.

224

225 **Parameter Identification**

226 Parameter values L_{ao} and β were identified from the measured pressure waveform. In diastole,
 227 the measured pressure waveform decay can be assumed to result only from the release of
 228 energy stored by aortic compliance during systole. Thus, $P_{measured}$ represents P_{res} in diastole,
 229 yielding:

230
$$P_{measured}(td < t < tf) = P_{res}(td < t < tf) \quad (11)$$

231 Where td and tf are the time at the start of diastole and end of diastole, respectively.

232 By performing grid search for L_{ao} and β , the discrepancy between Equation (10) and measured
233 diastolic pressure decay was minimized. An example of the error surface produced from a grid
234 search for a single beat is shown in Fig 4. It can be seen in Fig 4 that the surface is convex so
235 an optimal value of β could be identified for each value of L_{ao} .

236

237 **Figure 4** – Error surface showing the discrepancy between $P_{measured}(td < t < tf)$ and calculated
238 P_{res} using different value of L_{ao} and β in Equation 7. The red line represent optimal parameter
239 β for a given L_{ao} .

240

241 To identify the most suitable set of parameters, L_{ao} and β , from the sets of optimal parameters
242 identified by grid search, further constraints were added to the ESP to improve practical
243 identifiability [27]. At end systole, ventricular hydraulic force equals aortic reservoir force
244 pushing against the ventricle and thus, initiates closure of aortic valve. The flow entering into
245 aorta from the ventricle would be zero at this point and, consequently, the excess pressure
246 would be zero. Implementing this condition, the discrepancy between calculated end systolic
247 pressure using the identified sets of L_{ao} and β and measured end systolic pressure were
248 minimized to select an optimal set of parameters, L_{ao} and β , for a given pressure waveform. An
249 example of error curve for this optimization process is shown in Fig 5.

250

251 **Figure 5** – The error curve showing the discrepancy between $P_{measured}$ at ESP and calculated
252 P_{res} at ESP using optimal sets of L_{ao} and β identified by grid search. The red circle shows the
253 identified optimal parameter L_{ao} for a given pressure waveform.

254

255 The parameter identification process described above was used for the first 10 beats of the
256 experiment for each pig. Once 10 values of L_{ao} were identified for each pig, the values were

257 averaged to give a representative L_{ao} for each pig over the rest of the study. To solve Equation
258 (10), L_{ao} was held constant because this anatomical length is not expected to change.

259 Using this fixed subject/pig-specific representative value of L_{ao} , β was optimized using the
260 condition defined in Equation (11) for each heart beat and pressure waveform. The calculated
261 P_{res} for each pressure waveform were then used to determine P_{ex} and, subsequently, used to
262 calculate aortic flow and SV.

263

264 **Stroke Volume Estimation**

265 The water hammer equation can be used to describe the relationship between PWV, changes
266 in excess pressure (dP_{ex}), and changes in velocity of blood through the aorta (dU_{ao}) [28].

$$267 \quad dP_{ex} = \rho PWV dU_{ao} \quad (12)$$

268 Where ρ is the density of blood and assumed constant at 1050 kg/m^3 [29]. Taking $Q_{in} = U_{ao}A_{ao}$
269 and substituting Equation (6) into (12), the aortic characteristic impedance, Z_{ao} can be
270 expressed in terms of PWV, ρ , and A_{ao} .

$$271 \quad Z_{ao} = \rho PWV / A_{ao} \quad (13)$$

272 For this analysis, the first 10 beats of measured left ventricular SV values from the admittance
273 catheter were used to calibrate A_{ao} for each pig. Identified P_{ex} and measured $SV = \int_0^{t_d} Q_{in}$ were
274 substituted into Equation (6) to calculate Z_{ao} . Using these Z_{ao} values, A_{ao} were determined from
275 Equation (13). The average value of A_{ao} for the first 10 beats was used to estimate SV.

276 ***Relationship between Model derived A_{ao} and Systolic Period***

277 To capture the relative change in values of A_{ao} over the course of an experiment, the
278 relationship identified between A_{ao} calculated using the measured SV and systolic period

279 identified from pressure waveform were used to improve the estimate of A_{ao} . Figure 6 shows
 280 the regression line minimizing the geometric mean deviation between relative changes in these
 281 two parameters for all pigs in relation to calibrated point. Regression using geometric mean
 282 deviation produces larger error in the estimated A_{ao} from the relationship, however, this
 283 approach is more appropriate for this analysis as measurement error is expected in both
 284 parameters.

285

286 **Figure 6** – Correlation plot showing relationship between relative change in aortic area A_{ao} and
 287 systolic period relative to the calibration period. $A_{ao,0}$ and *systolic period*₀ represents the value
 288 obtained in the calibration period

289

290 The relationship showed nearly 1:1 ratio in relative change between A_{ao} and systolic period.

291 This relationship was applied to calibrated A_{ao} to identify a more accurate values of Z_{ao} .

$$292 \quad \frac{A_{ao}}{A_{ao,0}} = \frac{\textit{Systolic Period}_0}{\textit{Systolic Period}} \quad (14)$$

$$293 \quad Z_{ao} = \frac{\rho PWV}{A_{ao,0}} \frac{\textit{Systolic Period}}{\textit{Systolic Period}_0} \quad (15)$$

294 Where the subscript zero refers to calibration period, where A_{ao} was obtained using 10 heart
 295 beats.

296

297 ***Stroke Volume***

298 The calculated aortic characteristic impedance from Equation (15) and P_{ex} using Equations
 299 (3) and (10) were then used to estimate SV.

$$300 \quad SV_{estimate} = \frac{1}{Z_{ao}} \int_0^{tf} P_{ex}(t) dt \quad (16)$$

301 The SV values estimated using Equation (16) are compared against measured SV from the
302 admittance catheter using Bland-Altman plots. The schematic of processes involved in the
303 pressure contour method are outlined in Appendix.

304 **Results**

305 The identified values of L_{ao} , $A_{ao,0}$, relevant physiological parameters, total number of heart
 306 beats analysed, and weight for each pig are shown in Table 2. Bland-Altman plots comparing
 307 SV estimated using Equation (16) and SV measured from the admittance catheter are presented
 308 in Fig 7. The summary of bias, 95% interval, and precision calculated as half of 95% range
 309 divided by mean SV for each pig are shown in Table 3. In addition, time series showing
 310 measured and estimated SV in the last RM period, the most distant point from calibration and
 311 thus a potential worst case, for each pig are shown in Fig 8.

312 **Table 2** – Summary of identified parameters L_{ao} , $A_{ao,0}$ for all pigs and ranges of physiological
 313 parameters, mean aortic pressure (MAP), PWV, systolic period, and measured SV for volume
 314 expansion and dobutamine period. Data are presented as the Mean [5th – 95th percentiles].

	<i>Pig 1</i>	<i>Pig 2</i>	<i>Pig 3</i>	<i>Pig 4</i>
Weight (kg)				
	24.5	20	23.5	23.3
Identified Aortic Dimention				
<i>L_{ao} (m)</i>	0.88	0.41	0.89	0.91
<i>A_{ao,0} (mm²)</i>	201	269	473	163
Volume Expansion				
MAP (mmHg)	152 [136 - 182]	114 [93 - 136]	111 [93 - 132]	55 [42 - 77]
PWV (m/s)	5.5 [5.3 – 6.0]	6.7 [6.4 – 6.9]	8.5 [8.2 – 8.9]	3.9 [3.7 – 4.1]
Heart Rate (beats/min)	64 [62 – 67]	86 [78 – 94]	81 [73 – 88]	71 [69 – 74]
Systolic period (s)	0.38 [0.33 – 0.46]	0.32 [0.25 – 0.39]	0.31 [0.26 – 0.38]	0.33 [0.31 – 0.36]
SV_{measured} (ml)	34 [28 - 40]	19 [16 - 23]	30 [26 - 39]	29 [25 - 34]
Dobutamine / + Volume Expansion				
Pressure (mmHg)	150 [123 - 184]	90 [54 - 124]	100 [79 - 123]	61 [43 - 95]
PWV (m/s)	6.0 [5.6 – 6.7]	6.3 [5.4 – 6.8]	8.2 [7.6 – 8.8]	4.6 [4.3 – 5.2]
Heart Rate (beats/min)	104 [90 – 108]	141 [136 – 142]	109 [98 – 120]	107 [93 – 115]
Systolic period (s)	0.23 [0.22 – 0.31]	0.19 [0.18 – 0.22]	0.23 [0.19 – 0.3]	0.23 [0.21 – 0.31]
SV_{measured} (ml)	28 [23 - 36]	24 [17 - 27]	25 [21 - 28]	27 [22 - 31]
Total No of Heart Beats Analysed				
	2956	4945	3057	2451

315 **Figure 7** – Bland-Altman plots A, B, C, and D showing agreements between measured and
 316 estimated SV for all pigs. Red dashed line showing the bias and 95% interval. Right panel
 317 showing the error distribution between measured and estimated SV values.

318

319 **Table 3** – Summary of Bland-Altman analysis for each pigs. Data are presented as the Bias
 320 [2.5th – 97.5th percentiles], where bias is the mean difference between measured and estimated
 321 SV. Precision is calculated as half of 95% range divided by mean SV for each pig.

<i>Pig No</i>	<i>Bland-Altman results (ml)</i>	<i>Precision</i>
Pig 1	-6.8 [-18.9 – 3.0]	31%
Pig 2	1.7 [-1.8 – 5.7]	20%
Pig 3	-3.3 [-10.5 – 3.6]	22%
Pig 4	-2.8 [-12.5 – 5.4]	30%

322

323

324 **Figure 8** – Time series plot A, B, C, and D showing measured and estimated SV in the last
 325 RM period of the experiment for all pigs. Top Panel: Measured SV from admittance catheter
 326 (black line) and estimated SV using equation (13) (red line). Bottom Panel: simultaneously
 327 measured airway pressure showing PEEP changes during recruitment manoeuvres RM (blue
 328 line).

329 **Discussion**

330 To investigate the accuracy of the method, wide ranges of physiological conditions were
331 analysed involving significant changes in heart rate, preload, afterload, and contractility of the
332 heart. Fluid state and airway pressure were altered to induce preload changes producing more
333 than 30 percent difference in SV. Dobutamine was infused to induce afterload and contractility
334 changes involving decrease in ventricular-arterial coupling [30]. In addition, dobutamine
335 increased heart rate by 50 percent in all pigs as can be seen in Table 2.

336 The Bland-Altman results in Fig 7 and Table 3 demonstrate the ability of the method in
337 capturing the absolute value of SV. Despite all the significant hemodynamic changes, 95%
338 range was within $\pm 10\text{ml}$ (approximately $\pm 30\%$) for all pigs and many errors were much smaller.
339 The method showed it is capable of estimating clinically acceptable absolute SV values
340 according to Critchely and Critchely [31], where they states acceptable accuracy of the stroke
341 volume estimation method against the reference method to be within approximately $\pm 30\%$.

342 The ability of the method to capture SV trend/dynamics is shown in Fig 8. The figure shows
343 the last RM period of the experiment where one would expect to find the largest difference in
344 the hemodynamic conditions. It can be seen that PEEP induced stroke volume trends were
345 correctly captured in most cases. The method was able to capture both 'affected' (Fig 1, 2, and
346 4) and 'unaffected' (Fig 3) cases, which has clinical importance in preload assessment [32]. In
347 addition, Stroke Volume Variability (SVV) induced from individual breath (cyclic effect) was
348 correctly captured.

349 It can be noted that the method over-estimated the trend for Fig 4 and made incorrect estimation
350 for Fig 1 during PEEP reduction. Fig 4 had an extremely low blood pressure, of approximately
351 45 mmHg at the beginning of the experiment where the method was calibrated and had the
352 biggest change in the mean pressure during the experiment, as can be seen in Table 2 (almost

353 a twofold increase). In such a case, the method was not able to capture the dramatic change in
354 aortic dimension and therefore, deviated from true value of SV. The error seen in Fig 1 was not
355 able to be resolved as pressure and PWV values returned to normal after RM, similar to starting
356 point of RM. However, the value of SV remained at a reduced value. In both cases, further
357 investigations are necessary and there are potential to further improve the method.

358

359 *Pressure Contour Analysis*

360 There are several methods currently available for estimating continuous SV by pressure
361 contour analysis [33]. In general, the Windkessels models are used and parameters involved in
362 the model are identified from the single pressure waveform and/or patients'
363 demographic/physical characteristics [34]. The significant improvement of the presented
364 method over conventional methods is that PWV measurements are integrated into pressure
365 contour analysis to accurately capture the dynamics of time-varying model parameters, which
366 are needed to obtain SV correctly.

367 PWV measurements are related to arterial distensibility [35] and its relative changes within a
368 subject provide additional information on the cause of changes in the characteristics of the
369 pressure waveform. A combination of changes in the value of PWV and changes in the shape
370 of diastolic pressure decay for a given aortic dimension gives a better approximation of the
371 time-varying arterial reservoir function. The reservoir pressure waveform estimates the
372 minimum pressure that ventricle must provide to induce flow in to the artery [36] and is closely
373 related to arterial impedance [37]. By assigning the correct components of the pressure
374 waveform to each of the Windkessel parameters, and with added data inputs, the method is
375 capable of capturing accurate physiological conditions and its changes.

376

377 **Estimation of Aortic Dimension**

378 In this work, the aortic dimension was estimated by assuming the aorta behaved as a simple
379 cylindrical tube having uniform properties and pressure along it. In reality, the properties are
380 non-uniform and each segment of aorta has different pressure contours. However, to obtain
381 such a large amount of information in a clinical settings is impractical, if not impossible. These
382 assumptions thus produce error in the estimation of SV, but are necessary in developing a
383 method based on clinically accessible and reasonable measurements.

384 The identified values of L_{ao} and calibrated values of A_{ao} for each pig are shown in Table 2.
385 These values represent an estimate of aortic dimensions for a given value of pressure contour
386 and PWV. It is largely affected by the absolute value of PWV and SV values used to calibrate
387 the method. Since even the reference values are expected to have error of approximately $\pm 20\%$
388 [38], the identified values of aortic dimension may not be entirely realistic. However, given the
389 large errors of reference values, the resulting values are of use, as are the resulting SV values.

390 The important part of this calibration is to give an estimate of the dimension under given
391 conditions and to use the relative changes in the measurements to track the trends of
392 hemodynamic parameters. In a clinical environment, the absolute value of SV is not of great
393 importance. However, the relative changes in SV for different patient conditions and in
394 response to therapy is of major clinical significance [39]. From this point of view, the method
395 has shown the ability to sufficiently track the trends of SV (Fig. 8) under the assumptions made.

396

397 **Relationship between Model Derived A_{ao} and Systolic Period**

398 The identified relationship shown in Fig 6 uses A_{ao} derived from Equation (13) using the
399 measured value of SV. In the experiment, true aortic diameter was not measured and
400 consequently, the exact physiological reason behind the relationship between aortic area and

401 systolic period was not able to be determined. This limitation is due to the possibility that this
402 relationship may represent correlation between systolic periods with other physiological
403 variables.

404 Previous studies have identified the relationship between heart rate/ejection time and aortic
405 PWV [40, 41]. The relationship can be explained by stiffening of the aortic wall due to
406 viscoelastic properties. Equation (13), which is derived from the water hammer equation, fails
407 to describe the energy loss due to hysteresis of the aorta and could thus have produced incorrect
408 values of A_{ao} .

409 To identify the exact source of the relationship presented in Fig 6, accurate measurements of
410 time-varying aortic area are required. Such measurements will produce a stress-strain
411 relationship explaining the time-dependent mechanisms associated with arterial
412 distensibility/stiffness. However, whether the relationship is due to the viscoelastic properties
413 or not, the identified population relationship is still useful in providing an accurate estimation
414 of SV and thus, it is used for the method presented until better data is available in subsequent
415 experiments.

416

417 **Extending the Method to Alternative PWV Estimation**

418 The experiments used here involved highly invasive method to obtain PWV, requiring two
419 pressure measurements along aorta, which is uncommon in the critical care environment.
420 However, the results from this analysis demonstrates the possibilities for more accurate
421 estimation of SV if PWV can be estimated by this or different approach. The aortic arch
422 pressure measurement was used only for the purpose of identifying the transit time and the
423 information from its contour was not used. Therefore, this measurement can potentially be
424 replaced with less invasive measurements such as ECG to detect another pulse location [42].

425 Previous studies show evidence for strong relationship between invasive and non-invasive
426 PWV measurements [43, 44], and thus the method is expected to have negligible influence
427 from use of alternative PWV measurements.

428

429 **Limitations**

430 The clinical applicability of the method presented is limited to the patient having at least one
431 pressure measurement from a catheter in a central artery. Pressure sensing lumens in radial
432 artery are commonly used in ICU patients. However, due to the current perceived risk-to-
433 benefit ratio for having central pressure waveform, it is rarely used in clinical practice [45].
434 The ability and improved accuracy of estimating SV provided by the method may reverse this
435 trend turning potential risk into benefit [46, 47]. Furthermore, identified time-varying
436 Windkessel parameters (aortic characteristic impedance/compliance and systemic resistance)
437 provides further insight into optimization of clinical treatment [48].

438 Another limitation of this study is that experiments were performed on healthy pigs and more
439 complicated situations involving valve regurgitation, aneurysm, and/or any arterial defect were
440 not analysed. Irregular pressure contours produced by these conditions could affect the
441 parameter identification process and precision. To investigate the clinical applicability of the
442 method in such cases, further validation and analysis must be done covering wider range of
443 cardiovascular systems and circulatory dysfunctions.

444 **Conclusion**

445 The method presented in this paper accurately estimates and tracks SV trends even when
446 hemodynamic properties are significantly altered. PWV measurements, which are usually
447 available in the ICU or can easily be obtained non-invasively, were integrated into pressure
448 contour analysis to overcome weaknesses associated with conventional methods for estimating
449 SV. The additional information gained by PWV allowed precise estimation of Windkessel
450 parameters and thus, accurate estimation of SV. The Bland-Altman plots showed average 95%
451 limits of agreement of $\pm 26\%$ between estimated SV and the reference SV across all pigs,
452 demonstrating the clinical applicability of the method. In addition, the method require only one
453 calibration per subject making the method more practical. The method presented can track
454 accurate and clinically important changes in patients' haemodynamic state providing essential
455 information for correct diagnosis and optimal care.

456 **Ethics approval**

457 All experimental procedures, protocols and the use of data in this study were reviewed and
458 approved by the Ethics Committee of the University of Liege Medical Faculty.

459

460 **Consent for publication**

461 Not applicable

462

463 **Data availability**

464 The datasets generated during and/or analysed during the current study are available from the
465 corresponding author on reasonable request

466

467 **Competing interest**

468 The authors declare that no competing interests exist

469

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- 475

476 **Authors' contributions**

477 Developed the model, analysed the data, and wrote the manuscript: SK. Designed and
478 performed the experiments including data acquisition: SK, AP, TD. All authors were involved
479 in the interpretation of the data and made contributions through the entire process. All authors
480 read and approved the final manuscript.

481

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483 Not applicable

484 **Reference**

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Appendix

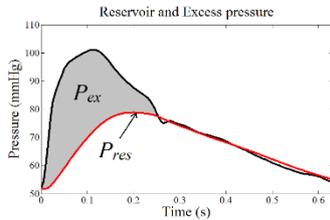
Schematic of SV estimation processes showing key steps involved in the pressure contour method.

4. Reservoir – Wave Separation

Reservoir ODE:

$$\frac{dP_{res}(t)}{dt} = \frac{PWV}{L_{ao}} P_{ex}(t) - \frac{P_{res}(t) - P_{cvp}}{RC_V}$$

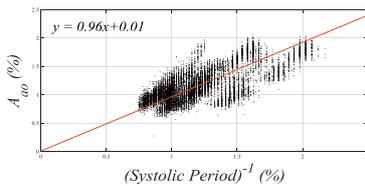
- I. Identify parameter values L_{ao} and RC_V from the diastolic shape of pressure waveform.
- II. Solve the ODE using identified parameter values



$$P_{measured}(t) = P_{res}(t) + P_{ex}(t)$$

5. Identification of Aortic Area

- I. Take initial 10 beats of SV (for calibration)
- II. Obtain initial value of $A_{ao,0}$
- III. Use systolic time to track changes in A_{ao}



$$\frac{A_{ao}}{A_{ao,0}} = \frac{\text{Systolic Period}_0}{\text{Systolic Period}}$$

6. Stroke Volume (SV) Estimation

Calculate **characteristic impedance** Z_{ao} from PWV and A_{ao}

$$Z_{ao} = \frac{\rho PWV}{A_{ao,0}} \frac{\text{Systolic Period}}{\text{Systolic Period}_0}$$

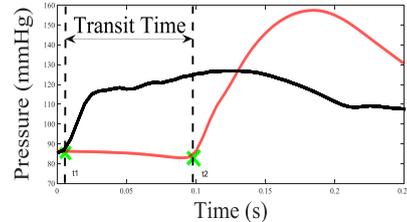
Using the value of Z_{ao} and $P_{ex}(t)$, SV can be estimated

$$SV_{estimate} = \frac{1}{Z_{ao}} \int_0^{t_f} P_{ex}(t) dt$$

1. Input:

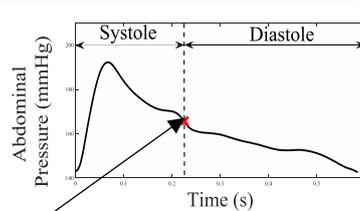
Measured aortic arc pressure
Measured abdominal pressure
Distance between catheters

2. Estimate Pulse Wave Velocity



$$PWV = \frac{\text{Catheter Distance}}{\text{Transit Time}}$$

3. Identify End Systolic Point



$$ESP = \min \left(\frac{dP_{measured}}{dt} WF(t) \right)$$

$$WF(t) = \left(0.5 - \left| 0.5 - \frac{60}{HR \cdot t} \right| \right)^2$$

Repeat the process 2-6 for next beat (Except for red part)