

# 1     **Frame Element with Mixed Formulations for Composite and RC** 2     **Members with Bond-Slip. I: Theory and Fixed-End Rotation**

3                     Chin-Long Lee <sup>1</sup> and Filip C. Filippou <sup>2</sup>

## 4     **ABSTRACT**

5         The paper proposes a composite frame element for the simulation of the inelastic response  
6         of structural members made up of two or more components with different materials, such as  
7         reinforced concrete, steel-concrete composite members, prestressed members, and members  
8         with FRP reinforcement. The element accounts for the relative slip at the interface between  
9         the components. Nonlinear geometry effects are accounted for through the co-rotational  
10         formulation which permits the response simulation of composite frame elements under large  
11         displacements. The element formulation enhances the standard Hu-Washizu variational prin-  
12         ciple with fields describing the bond-slip behavior between components. Three alternatives  
13         for the mixed formulation of the element are derived in this paper which focuses on the-  
14         ory and implementation: mixed-displacement, mixed-force, and mixed-mixed. The paper  
15         presents the benefits and shortcomings of the formulation alternatives for modeling the pull-  
16         out failure of reinforcing bars and the fixed-end rotation of reinforced concrete members,  
17         and discusses the numerical ramifications of each alternative. A companion paper discusses  
18         the convergence performance of the different mixed formulations and validates the proposed  
19         element through correlation studies with available experimental results.

20     **Keywords:** Composite Element; Reinforced-Concrete Element; Frame Element; Mixed For-  
21     mulation; Bond-Slip; Pull-Out Failure; Fixed-End Rotation.

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<sup>1</sup>Dept. of Civil & Nat. Res. Eng., , Univ. of Canterbury, Private Bag 4800, Christchurch New Zealand 8140. E-mail: chin-long.lee@canterbury.ac.nz.

<sup>2</sup>Dept. of Civil & Environ. Eng., Univ. of California, 760 Davis Hall, Berkeley, CA 94720-1710. E-mail: filippou@ce.berkeley.edu.

## INTRODUCTION

In structural members made up of two or more components with different materials, such as reinforced concrete and steel-concrete composite members, as well as members with FRP reinforcement, the bond between components is important in maintaining the composite action for strength and stiffness. The relative slip between components under service and ultimate load conditions affects the element deformation and energy dissipation capacity of the structural member and may contribute to its failure under large inelastic deformation reversals. Studies on the anchorage behavior of reinforcing bars by Eligehausen et al. (1983) and Filippou et al. (1983) found that fixed-end rotations due to reinforcing bar pull-out may contribute up to 50% of the tip displacement of a reinforced concrete cantilever column. The modeling of this effect is, therefore, important in the evaluation of the local and global response of structures under earthquake excitations.

One of the earliest reinforced concrete beam models with bond-slip under large inelastic deformation reversals was proposed by Filippou and Issa (1988), who subdivided a beam element into different subelements. The model was used later in several validation studies by Filippou et al. (1999). To account for the added flexibility due to bond-slip, Saatcioglu et al. (1992) and Rubiano-Benavides (1998) inserted nonlinear rotational springs at the beam ends. Monti and Spacone (2000) incorporated the bond-slip interaction into a fiber beam element by combining the formulation of anchored bars by Monti et al. (1997) with the beam model by Spacone et al. (1996). Subsequent studies included the bond-slip effect in frame elements with three alternative formulations: a displacement formulation (e.g. Dall'Asta and Zona, 2002, Sun and Bursi, 2005, Lin and Zhang, 2013), a force formulation (e.g. Salari et al., 1998, Salari and Spacone, 2001a;b), and a mixed formulation (e.g. Ayoub, 1999, Ayoub and Filippou, 2000, Limkatanyu and Spacone, 2002, Dall'Asta and Zona, 2004, Sun and Bursi, 2005, Ayoub, 2006). The mixed formulations use independent interpolation functions for the displacement and stress or for the displacement, the stress, and the strain field. Spacone and El-Tawil (2004) reviewed the state of the art of these three modeling approaches and concluded that

49 the force and mixed formulations were superior to the displacement formulation in terms of  
50 accuracy and numerical robustness.

51 Most formulations to date interpolate the displacement or the force field, or both fields for  
52 each component of the structural member separately. The bond-slip field is then derived from  
53 the difference of the component displacement fields. While this approach is straightforward,  
54 it suffers from the following shortcomings:

- 55 1. The axial nodal displacements of each component are global degrees of freedom, so  
56 the transformation from the local to the global reference frame requires a special  
57 constraint matrix for the transverse displacements and rotations. Such a transforma-  
58 tion is inconvenient but feasible under linear geometry. It is, however, difficult under  
59 nonlinear geometry involving large translations and rotations at the nodes.
- 60 2. The section force fields are interpolated separately for each component, so the exact  
61 interpolation of the total section force field for the entire element is not guaranteed.  
62 Without this exact interpolation, the force and mixed formulation may lose some of  
63 their advantage over the displacement formulation regarding accuracy and numerical  
64 robustness.

65 To address these shortcomings, this study proposes a new composite element. The ele-  
66 ment formulation is based on an extension of the Hu-Washizu variational principle and uses  
67 the exact interpolation of the total section forces of the composite element. The proposed ap-  
68 proach treats the composite element as a single element and includes the bond-slip behavior  
69 at the interface between components at each section of the element. Consequently, the de-  
70 grees of freedom for the relative slip between element components can be easily transformed  
71 between the local and the global reference frame without a constraint or special transforma-  
72 tion matrix. Because of this advantage, this approach was adopted in some recent studies  
73 (e.g. Tort and Hajjar, 2010, Hjiiaj et al., 2012), but only the formulations in this paper and  
74 in a previous study by the authors (e.g. Lee, 2008, Lee and Filippou, 2010) highlight the

75 advantage of the exact interpolation of the total section forces for the composite element.

76 Based on different options for enforcing the displacement-strain compatibility condition  
77 in the bond-slip field (Lagrange relaxation), the paper presents three alternatives for the  
78 mixed formulation of the composite element: a mixed-displacement formulation with com-  
79 patibility enforced in the strong form, a mixed-force formulation with equilibrium enforced  
80 in the strong form, and a mixed-mixed formulation with compatibility and equilibrium en-  
81 forced in the weak form. The interpolation of the bond-slip field uses piecewise polynomials.  
82 These formulations are evaluated relative to response accuracy, numerical convergence and  
83 numerical robustness. The correlation studies with available experimental results confirm  
84 the validity of the proposed approach.

85 This paper presents the theoretical framework and the implementation of the proposed  
86 formulations with a discussion of the benefits and shortcomings of each formulation. The  
87 evaluation of their numerical performance and the validation studies are presented in the  
88 companion paper. Further details of the proposed element can be found in the doctoral  
89 thesis by Lee (2008).

90 The beam-column elements with the proposed formulations have been implemented in  
91 the Matlab® toolbox FEDEASLab (<http://fedeeaslab.berkeley.edu>) that allows nonlin-  
92 ear structural simulations under static and transient loading (Filippou and Constantinides,  
93 2004), and will soon be implemented in OpenSees (<http://opensees.berkeley.edu>) by  
94 Mckenna (1997).

## 95 **ELEMENT FORMULATION**

96 A new composite frame element which accounts for the bond-slip behavior at the interface  
97 between its constituent components is presented in the following. The element can be used for  
98 the simulation of the inelastic response of reinforced concrete members, prestressed concrete  
99 and timber members with straight tendons, steel-concrete composite members, concrete-filled  
100 steel tube members, and FRP-strengthened members. The element formulation is based on  
101 the three-field Hu-Washizu variational principle, as described in Taylor et al. (2003), Saritas

102 (2006), Lee (2008), and Lee and Filippou (2009). The standard Hu-Washizu variational  
103 principle is enhanced with additional fields to account for the bond-slip behavior at the  
104 interface between the components of the frame element.

105 The following general derivation assumes that the composite frame element is made  
106 up of two components with different material. The extension to an element with more  
107 components is straightforward, as will be briefly addressed after the element formulation.  
108 The presentation is limited to plane frame elements, but the extension to 3d frame elements  
109 without coupling of torsional effects is possible with an appropriate extension of the element  
110 variables and the section stress resultants.

111 To simplify the element formulation the following assumptions about the geometry and  
112 the deformation of the composite frame element are made:

- 113 1. the frame element is prismatic;
- 114 2. plane sections of each component remain plane and normal to the beam axis after  
115 deformation; and
- 116 3. there is no relative transverse displacement normal to the reference element between  
117 components.

118 The proposed formulation is thus limited to frame elements with Euler-Bernoulli kinematics  
119 for each component, and with relative displacement between components only in the direction  
120 parallel to the reference axis.

## 121 **Element Displacements and Forces**

The nodal forces  $\mathbf{p}$  and displacements  $\mathbf{u}$  of a frame element with perfect bond between  
components are shown in Fig. 1(a). In the presence of relative slip between components,  
additional degrees of freedom (DOFs) arise at the element ends, one at each end for each ad-  
ditional component. These additional *slip DOFs* describe the relative displacement between  
the components at the element ends and are denoted with  $u_{bI}$  and  $u_{bJ}$  for nodes  $I$  and  $J$ ,  
respectively (Fig. 1(b)). The element forces at the slip DOFs are denoted with  $p_{bI}$  and  $p_{bJ}$ ,

in correspondence with  $u_{bI}$  and  $u_{bJ}$ , respectively. These additional displacements and forces are grouped in vectors  $\mathbf{u}_{bn}$  and  $\mathbf{p}_{bn}$ , as follows:

$$\mathbf{u}_{bn} = \begin{bmatrix} u_{bI} & u_{bJ} \end{bmatrix}^T, \quad \mathbf{p}_{bn} = \begin{bmatrix} p_{bI} & p_{bJ} \end{bmatrix}^T \quad (1)$$

In Fig. 1(b) the white circles at the element nodes indicate additional DOFs, not additional nodes. These DOFs do not require a geometric description, because they are associated with the element nodes. Fig. 1(b) shows the relative DOFs in the deformed state following a relative translation of  $\mathbf{u}_{bn}$ .

Each slip value in  $\mathbf{u}_{bn}$  is a *relative* DOF between the two components at the node, and its direction is a *local* property. At the initial state, the direction of each slip DOF is tangent to the  $y$ -coordinate profile of the interface between the two components. When the element node rotates with deformation, the direction of the slip DOF follows the rotation and remains in the direction of the node tangent (Fig. 1(b)), even for a non-prismatic frame element. Because of displacement compatibility at the common node of two elements, the direction of  $\mathbf{u}_{bn}$  is the same after deformation. This obviates the need for a transformation matrix for the slip DOFs from the local to the global reference system, and the slip DOF increments during the iterative solution of the global equilibrium equations are determined in the local reference system. This holds true even under nonlinear geometry as long as the co-rotational formulation is used for large displacement analysis, making the proposed frame element with slip DOFs easy to implement in a general purpose finite element analysis program for large displacement analysis, a feature that distinguishes this element from earlier proposals of composite frame elements.

## Section Kinematics

Figure 2 shows the general cross-section of the structural member with two components and the general displacement profile  $u$  in the  $x$ -direction. In the following presentation, the variables referring to components 1 and 2 are denoted with subscripts 1 and 2, respectively.

As shown, the interface between the two components need not be planar. In agreement with the assumption of Euler-Bernoulli kinematics, the displacement field of the section with perfect bond can be written in terms of the axial displacement  $u_a$  at the reference axis and the derivative of the lateral displacement  $u_y$  as

$$u(x, y) = u_a(x) - y u_y'(x) = \mathbf{a}_u \mathbf{u}_s(x) + \mathbf{a}_\theta(y) \mathbf{u}_s'(x) \quad (2)$$

With a prime denoting differentiation with respect to  $x$ ,  $\mathbf{a}_u$  and  $\mathbf{a}_\theta$  are displacement interpolation matrices and  $\mathbf{u}_s$  are the section displacements whereby

$$\mathbf{a}_u = \begin{bmatrix} 1 & 0 \end{bmatrix}, \quad \mathbf{a}_\theta = \begin{bmatrix} 0 & -y \end{bmatrix}, \quad \mathbf{u}_s = \begin{bmatrix} u_a & u_y \end{bmatrix}^T \quad (3)$$

In the presence of *relative slip*  $u_b$  of component 2 with respect to component 1, the displacement field of the section becomes (Figure 2)

$$u(x, y) = \mathbf{a}_u \mathbf{u}_s(x) + \mathbf{a}_\theta(y) \mathbf{u}_s'(x) + a_b u_b(x) \quad (4)$$

141 where  $a_b$  takes on the value 0 and 1 for components 1 and 2, respectively.

The strain field  $\varepsilon^u$  for this displacement field is

$$\varepsilon^u(x, y) = \mathbf{a}_u \mathbf{u}_s'(x) + \mathbf{a}_\theta(y) \mathbf{u}_s''(x) + a_b u_b'(x) \quad (5)$$

Eq. (5) shows that the strain difference of the two components is the derivative of the relative slip  $u_b$ . This difference defines the *slip strain*  $\varepsilon_b$ , which depends on  $x$  only and is given by

$$\varepsilon_b(x) = u_b'(x) \quad (6)$$

142 In the following the material strain in component 1 is denoted with  $\varepsilon$  and the material strain  
143 in component 2 is expressed as the sum of  $\varepsilon$  and  $\varepsilon_b$ .

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## Variational Principle

For a frame element with uniaxial material response and volume  $\Omega = A \times [0, L]$ , the functional for the standard Hu-Washizu variational principle is (Lee and Filippou, 2009)

$$\Pi[(\mathbf{u}_s, \mathbf{u}), \sigma, \varepsilon] = \int_{\Omega} W(\varepsilon) d\Omega - \int_0^L \mathbf{u}_s^T \bar{\mathbf{w}} dx - \mathbf{u}^T \mathbf{p} + \int_{\Omega} \sigma(\varepsilon^u(\mathbf{u}_s) - \varepsilon) d\Omega \quad (7)$$

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The function  $W$  is the energy density function which permits the determination of the material stress  $\sigma$  according to  $\hat{\sigma}(\varepsilon) = \partial W / \partial \varepsilon$ . The element loading  $\bar{\mathbf{w}}$  consists of a uniformly distributed axial load  $\bar{w}_x$  and a uniformly distributed transverse load  $\bar{w}_y$ .

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In the presence of partial bond between the two components, the functional  $\Pi$  can be enhanced with an additional functional  $\Pi_b$  that describes the bond interaction at the interface of the two components with contact area  $A_b = p_b \times [0, L]$ , where  $p_b$  is the perimeter of component 2 in contact with component 1. The functional  $\Pi_b$  is given by

$$\Pi_b[(u_b, \mathbf{u}_{\mathbf{bn}}), \sigma_2, \varepsilon_b] = \int_{A_b} W_b(u_b) dA - \mathbf{u}_{\mathbf{bn}}^T \bar{\mathbf{p}}_{\mathbf{bn}} + \int_{\Omega_2} \sigma_2(u'_b - \varepsilon_b) d\Omega \quad (8)$$

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where  $W_b$  is the energy density function which permits the determination of the bond stress  $\sigma_b$  according to  $\hat{\sigma}_b(u_b) = \partial W_b / \partial u_b$ . The last term in Eq. (8) is the Lagrange multiplier enforcing strain compatibility in component 2, where  $\Omega_2$  is the volume of component 2. With the inclusion of partial bond, the energy density function  $W$  in  $\Pi$  also depends on  $\varepsilon_b$ , so that  $W(\varepsilon, \varepsilon_b)$ .

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### *Interpolation Functions*

If the strain  $\varepsilon_b$  in  $W$  is held fixed, the functional  $\Pi$  involves only three independent fields: the displacements  $(\mathbf{u}_s, \mathbf{u})$ , the material stress  $\sigma$  and the material strain  $\varepsilon$ . These can be interpolated from the nodal displacements  $\mathbf{u}$ , the basic element forces  $\mathbf{q}$  and element loads



$\bar{\mathbf{w}}$ , and the section deformations  $\mathbf{e}$  according to

$$\mathbf{u}_s(x) = \mathbf{a}_{\text{us}}(x)\mathbf{u} \quad (9a)$$

$$\sigma(x, y) = \mathbf{b}_s(y)\mathbf{s}(x), \quad \mathbf{s}(x) = \mathbf{b}(x)\mathbf{q} + \bar{\mathbf{s}}_w(x), \quad \bar{\mathbf{s}}_w(x) = \mathbf{b}_w(x)\bar{\mathbf{w}} \quad (9b)$$

$$\varepsilon(x, y) = \mathbf{a}_s(y)\mathbf{e}(x) \quad (9c)$$

154 where  $\mathbf{a}_{\text{us}}$ ,  $\mathbf{b}_s$ ,  $\mathbf{b}$ ,  $\mathbf{b}_w$  and  $\mathbf{a}_s$  are interpolation matrices. The basic element forces  $\mathbf{q}$  for the  
 155 plane frame element are the axial force  $q_1$  and the two end moments  $q_2$  and  $q_3$ . The section  
 156 deformations  $\mathbf{e}$  consist of the axial strain  $\varepsilon_a$  at the element axis and the curvature  $\kappa$ . The  
 157 section forces  $\mathbf{s}$  consist of the axial force  $N$  and the bending moment  $M$ .

The interpolation functions  $\mathbf{b}$  and  $\mathbf{b}_w$  are

$$\mathbf{b}(x) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & x/L - 1 & x/L \end{bmatrix}, \quad \mathbf{b}_w(x) = \begin{bmatrix} L - x & 0 \\ 0 & x(x - L)/2 \end{bmatrix} \quad (10)$$

Because these interpolation functions satisfy the differential equilibrium equations of the plane frame element in the undeformed configuration

$$N'(x) + \bar{w}_x = 0, \quad M''(x) - \bar{w}_y = 0 \quad (11)$$

the interpolation functions  $\mathbf{a}_{\text{us}}$  are not necessary in the element formulation in a reference system without rigid body modes (Taylor et al., 2003, Saritas, 2006, Lee and Filippou, 2009).

The interpolation functions  $\mathbf{a}_s$  that satisfy the section kinematics are given by

$$\mathbf{a}_s(y) = \begin{bmatrix} 1 & -y \end{bmatrix} \quad (12)$$

The interpolation functions  $\mathbf{b}_s$  are given by

$$\mathbf{b}_s(y) = \mathbf{a}_s(y) \left( \int_A \mathbf{a}_s(y)^T \mathbf{a}_s(y) dA \right)^{-1} = \begin{bmatrix} 1/A & -y/I \end{bmatrix} \quad (13)$$

where  $I$  is the second moment of area. The inverse in Eq. (13) is well-defined because the columns of  $\mathbf{a}_s$  are independent of each other over the cross-section  $A$ . The interpolation functions  $\mathbf{b}_s$  are selected to satisfy the following relation (Lee and Filippou, 2009):

$$\int_A \mathbf{a}_s(y)^T \mathbf{b}_s(y) dA = \mathbf{I} \quad (14)$$

158 where  $\mathbf{I}$  is the identity matrix.

The substitution of the above interpolation functions with integration by parts and some simplifications transforms the functional  $\Pi$  to

$$\begin{aligned} \Pi(\mathbf{u}, \mathbf{q}, \mathbf{e}, \varepsilon_b) = & \int_0^L W_s(\mathbf{e}, \varepsilon_b) dx - \int_0^L \bar{\mathbf{s}}_w^T \mathbf{e} dx - \mathbf{u}^T (\bar{\mathbf{p}}_w + \bar{\mathbf{p}}) \\ & + \mathbf{q}^T \left( \mathbf{a}\mathbf{u} - \int_0^L \mathbf{b}^T \mathbf{e} dx \right) \end{aligned} \quad (15)$$

where  $\bar{\mathbf{p}}_w$  are the element nodal forces arising from  $\bar{\mathbf{w}}$  and given by

$$\bar{\mathbf{p}}_w = \begin{bmatrix} \bar{w}_x L & \bar{w}_y L/2 & 0 & 0 & \bar{w}_y L/2 & 0 \end{bmatrix}^T \quad (16)$$

and  $\mathbf{a}$  is the rigid body mode transformation matrix given by

$$\mathbf{a} = \begin{bmatrix} -1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1/L & 1 & 0 & -1/L & 0 \\ 0 & 1/L & 0 & 0 & -1/L & 1 \end{bmatrix} \quad (17)$$

The energy  $W_s$  is the section energy density function defined as

$$W_s(\mathbf{e}, \varepsilon_b) = \int_A W(\mathbf{a}_s \mathbf{e}, \varepsilon_b) dA \quad (18)$$

159 which permits the determination of the section forces  $\mathbf{s}$  from  $\hat{\mathbf{s}}(\mathbf{e}, \varepsilon_b) = \partial W_s / \partial \mathbf{e}$  as well as  
160 the determination of the axial force of component 2 from  $\hat{N}_2(\mathbf{e}, \varepsilon_b) = \partial W_s / \partial \varepsilon_b$ .

The functional  $\Pi_b$  also has three independent fields: the displacements  $u_b$  and  $\mathbf{u}_{\mathbf{bn}}$ , the material stress  $\sigma_2$  of component 2, and the slip strain  $\varepsilon_b$ . Depending on the way the relation between  $u_b$  and  $\varepsilon_b$  is established three alternative expressions are possible for  $\Pi_b$ . Each alternative leads to a different formulation for the frame element, as will be discussed in the next section. The functional  $\Pi_b$  is first rewritten as follows:

$$\Pi_b((u_b, \mathbf{u}_{\mathbf{bn}}), \sigma_2, \varepsilon_b) = \int_0^L W_{sb}(u_b) dx - \mathbf{u}_{\mathbf{bn}}^T \bar{\mathbf{p}}_{\mathbf{bn}} + \int_{\Omega_2} \sigma_2(u'_b - \varepsilon_b) d\Omega \quad (19)$$

161 where  $W_{sb}(u_b) = \int_{p_b} W_b(u_b) ds$  is the energy density function that permits the determination  
 162 of bond force  $N_b$  from  $\hat{N}_b(u_b) = \partial W_{sb} / \partial u_b$ .

### 163 New Variational Formulations

The variation of  $\Pi$  with respect to  $\mathbf{u}$ ,  $\mathbf{q}$  and  $\mathbf{e}$  under a fixed value  $\varepsilon_b$  leads to three governing equations for a 2d Euler-Bernoulli beam element (Lee and Filippou, 2009):

$$\mathbf{R}_p(\mathbf{q}) = \mathbf{a}^T \mathbf{q} - \bar{\mathbf{p}} - \bar{\mathbf{p}}_w = \mathbf{0} \quad (20a)$$

$$\mathbf{R}_v(\mathbf{u}, \mathbf{e}) = \mathbf{a} \mathbf{u} - \sum_{l=1}^{N_p} \mathbf{b}_l^T \mathbf{e}_l w_l = \mathbf{0} \quad (20b)$$

$$\mathbf{R}_{sl}(\mathbf{q}, \mathbf{e}_l, \varepsilon_b) = \hat{\mathbf{s}}_l(\mathbf{e}_l, \varepsilon_b) w_l - (\mathbf{b}_l \mathbf{q} + \bar{\mathbf{s}}_{wl}) w_l = \mathbf{0} \quad (\text{for each section } l) \quad (20c)$$

164 The first equation in Eq. (20) is transformed to the global reference system with the cor-  
 165 rotational formulation (Felippa and Haugen, 2005, Le Corvec, 2012) before assembly of the  
 166 resisting force vector for the structural model. The other two equations in Eq. (20) are local  
 167 and can be condensed out during the state determination process of the frame element, as  
 168 discussed in Lee and Filippou (2009).

169 The additional governing equations resulting from  $\Pi_b$  are derived next. Because  $\varepsilon_b$  is an  
 170 independent variable for both functionals  $\Pi$  and  $\Pi_b$ , the first variation of these functionals  
 171 with respect to  $\varepsilon_b$  results in the coupling of the two sets of governing equations. The governing  
 172 equations arising from  $\Pi_b$  depend on the relation between  $u_b$  and  $\varepsilon_b$ . Three formulations for

173 the bond-slip field of the composite element are possible: mixed-displacement (MD), mixed-  
 174 mixed (MM), and mixed-force (MF) formulations. The first word “mixed” refers to the  
 175 Hu-Washizu variational principle that furnishes the framework for the formulation. The  
 176 second word refers to the option of interpolating the relative slip  $u_b$  (displacement), or the  
 177 axial force of the second component  $N_2$  (force), or the option of interpolating both fields  
 178 (mixed).

179 *Mixed-Displacement (MD) Formulation*

In the mixed-displacement (MD) formulation, the slip strain  $\varepsilon_b$  is point-wise equal to the first derivative of the relative slip  $u_b$ . Under this condition the third term of  $\Pi_b$ , serving as Lagrange multiplier, vanishes. The derivative of  $u_b$  thus replaces the argument  $\varepsilon_b$  of  $W_s$ . With the assumption of a generalized slip  $\mathbf{u}_b$  and an interpolation matrix  $\mathbf{a}_b$  along the  $x$ -axis for  $u_b$ , the fields of  $u_b$  and  $\varepsilon_b$  can be expressed as

$$u_b(x) = \mathbf{a}_b(x)\mathbf{u}_b, \quad \varepsilon_b(x) = \mathbf{a}_{bx}(x)\mathbf{u}_b \quad (21)$$

where the interpolation matrix  $\mathbf{a}_{bx}$  is the derivative of  $\mathbf{a}_b$  with respect to  $x$ . The nodal slip values  $\mathbf{u}_{bn}$  correspond to the values of the  $u_b$  field at the end sections of the composite element given by

$$\mathbf{u}_{bn} = \mathbf{a}_{bn}\mathbf{u}_b \quad (22)$$

where

$$\mathbf{a}_{bn} = \begin{bmatrix} \mathbf{a}_b(0) \\ \mathbf{a}_b(L) \end{bmatrix} \quad (23)$$

With these interpolation functions the functional  $\Pi_b$  becomes

$$\Pi_b(\mathbf{u}_b) = \int_0^L W_{sb}(\mathbf{a}_b\mathbf{u}_b) dx - \mathbf{u}_b^T(\mathbf{a}_{bn}^T \bar{\mathbf{p}}_{bn}) \quad (24)$$

after the substitution of Eq. (21). The first variation of Eqs. (15) and (24) with respect to  $\mathbf{u}_b$  gives the following governing equation

$$\mathbf{R}_b(\mathbf{u}_b, \mathbf{e}) = \hat{\mathbf{N}}_a + \hat{\mathbf{N}}_b - \mathbf{a}_{bn}^T \bar{\mathbf{p}}_{bn} = \mathbf{0} \quad (25)$$

where

$$\hat{\mathbf{N}}_a = \int_0^L \mathbf{a}_{bx}^T \hat{N}_2 dx, \quad \hat{\mathbf{N}}_b = \int_0^L \mathbf{a}_b^T \hat{N}_b dx \quad (26)$$

180 Eqs. (20) and (25) form the governing equations of the mixed-displacement (MD) formula-  
181 tion.

182 *Mixed-Mixed (MM) Formulation*

In the mixed-mixed (MM) formulation, the Lagrange term is retained as the penalty form of strain-displacement compatibility along the element. The presence of the penalty term requires the interpolation of the material stress field  $\sigma_2$  in addition to the relative slip field  $u_b$ . It is assumed that the axial force field  $N_2$  of component 2 can be expressed in terms of the generalized forces  $\mathbf{q}_b$  such that

$$N_2(x) = \mathbf{b}_b(x) \mathbf{q}_b \quad (27)$$

where  $\mathbf{b}_b$  is the corresponding force interpolation matrix. The material stress  $\sigma_2$  is the average of force  $N_2$  over the cross-sectional area  $A_2$  of component 2:

$$\sigma_2(x, y) = \frac{N_2(x)}{A_2} = \frac{1}{A_2} \mathbf{b}_b(x) \mathbf{q}_b \quad (28)$$

The forces  $\mathbf{q}_b$  are local element variables so that no inter-element continuity at the nodes is required and these variables can be condensed out at the element level without introducing additional global degrees-of-freedom. Upon substitution of the above interpolation function

for  $\sigma_2$  and the interpolation function for  $u_b$  in Eq. (21), the functional  $\Pi_b$  in Eq. (19) becomes:

$$\Pi_b(\mathbf{u}_b, \mathbf{q}_b, \varepsilon_b) = \int_0^L W_{sb}(\mathbf{a}_b \mathbf{u}_b) dx - \mathbf{u}_b^T \mathbf{a}_{bn}^T \bar{\mathbf{p}}_{bn} + \mathbf{q}_b^T \left( \mathbf{a}_{bm} \mathbf{u}_b - \int_0^L \mathbf{b}_b^T \varepsilon_b dx \right) \quad (29)$$

where

$$\mathbf{a}_{bm} = \int_{\Omega_2} \frac{1}{A_2} \mathbf{b}_b^T \mathbf{a}_{bx} d\Omega = \int_0^L \mathbf{b}_b^T \mathbf{a}_{bx} dx \quad (30)$$

As discussed by Ayoub and Filippou (1999; 2000), the stability of this formulation requires that the number of unknowns  $n_q$  in  $\mathbf{q}_b$  and the number of unknowns  $n_u$  in  $\mathbf{u}_b$  satisfy the following condition:

$$n_q \geq n_u - 1 \quad (31)$$

183 Under this condition a linear polynomial is required for the force field  $N_2$ , if a quadratic  
184 polynomial is selected for the relative slip field  $u_b$ .

The functional  $\Pi_b$  in Eq. (29) is similar to the functional  $\Pi$  in Eq. (15), except that the internal energy of  $\Pi_b$  depends on  $u_b$ , whereas the internal energy of  $\Pi$  depends on  $\varepsilon$  and  $\varepsilon_b$ . It is, therefore, natural to combine these functionals into a single expression. To do this, the two sets of variables are stacked on top of each other to form new vectors, which are defined below with some abuse of notation

$$\mathbf{u} \Leftarrow \begin{bmatrix} \mathbf{u} \\ \mathbf{u}_b \end{bmatrix}, \quad \mathbf{q} \Leftarrow \begin{bmatrix} \mathbf{q} \\ \mathbf{q}_b \end{bmatrix}, \quad \mathbf{e} \Leftarrow \begin{bmatrix} \mathbf{e} \\ \varepsilon_b \end{bmatrix} \quad (32a)$$

$$\mathbf{a} \Leftarrow \begin{bmatrix} \mathbf{a} & \mathbf{0} \\ \mathbf{0} & \mathbf{a}_{bm} \end{bmatrix}, \quad \mathbf{a}_b \Leftarrow \begin{bmatrix} \mathbf{0} & \mathbf{a}_b \end{bmatrix}, \quad \mathbf{b} \Leftarrow \begin{bmatrix} \mathbf{b} & \mathbf{0} \\ \mathbf{0} & \mathbf{b}_b \end{bmatrix}, \quad \mathbf{a}_s \Leftarrow \begin{bmatrix} \mathbf{a}_s & a_b \end{bmatrix} \quad (32b)$$

$$\bar{\mathbf{p}} \Leftarrow \begin{bmatrix} \bar{\mathbf{p}} \\ \mathbf{a}_{bn}^T \bar{\mathbf{p}}_{bn} \end{bmatrix}, \quad \bar{\mathbf{p}}_w \Leftarrow \begin{bmatrix} \bar{\mathbf{p}}_w \\ \mathbf{0} \end{bmatrix}, \quad \bar{\mathbf{s}}_w \Leftarrow \begin{bmatrix} \bar{\mathbf{s}}_w \\ 0 \end{bmatrix} \quad (32c)$$

The functional for the mixed-mixed (MM) formulation then becomes

$$\begin{aligned} \Pi_m(\mathbf{u}, \mathbf{q}, \mathbf{e}) = & \int_0^L W_{sm}(\mathbf{e}) dx + \int_0^L W_{sb}(\mathbf{a}_b \mathbf{u}) dx - \int_0^L \bar{\mathbf{s}}_w^T \mathbf{e} dx - \mathbf{u}^T (\bar{\mathbf{p}}_w + \bar{\mathbf{p}}) \\ & + \mathbf{q}^T \left( \mathbf{a} \mathbf{u} - \int_0^L \mathbf{b}^T \mathbf{e} dx \right) \end{aligned} \quad (33)$$

The energy density function  $W_{sm}$  is equivalent to  $W_s$  in Eq. (18) except that the argument  $\varepsilon_b$  in  $W_s$  is grouped into the argument  $\mathbf{e}$  in  $W_{sm}$ . Hence, the section forces  $\hat{\mathbf{s}}$  from  $W_{sm}$  have three components: the first two components are the axial force  $\hat{N}$  and bending moment  $\hat{M}$  of the element, as for the standard formulation, whereas the third component is the axial force  $\hat{N}_2$  of component 2. The element forces from the energy density function  $W_{sb}$  are

$$\hat{\mathbf{p}}_b(\mathbf{u}) = \int_0^L \frac{\partial W_{sb}}{\partial \mathbf{u}} dx = \begin{bmatrix} \mathbf{0} \\ \hat{\mathbf{N}}_b(\mathbf{u}_b) \end{bmatrix} \quad (34)$$

The functional  $\Pi_m$  in Eq. (33) has exactly the same form as the functional in Eq. (15), except that  $\Pi_m$  contains an additional internal energy term that depends on the displacement field. The first variation of  $\Pi_m$  gives the following governing equations for the MM formulation:

$$\mathbf{R}_p(\mathbf{u}, \mathbf{q}) = \mathbf{a}^T \mathbf{q} + \hat{\mathbf{p}}_b(\mathbf{u}) - \bar{\mathbf{p}} - \bar{\mathbf{p}}_w = \mathbf{0} \quad (35a)$$

$$\mathbf{R}_v(\mathbf{u}, \mathbf{e}) = \mathbf{a} \mathbf{u} - \sum_{l=1}^{N_p} \mathbf{b}_l^T \mathbf{e}_l w_l = \mathbf{0} \quad (35b)$$

$$\mathbf{R}_{sl}(\mathbf{q}, \mathbf{e}_l) = \hat{\mathbf{s}}_l(\mathbf{e}_l) w_l - (\mathbf{b}_l \mathbf{q} + \bar{\mathbf{s}}_{wl}) w_l = \mathbf{0} \quad (\text{for each section } l) \quad (35c)$$

185 The governing equations in Eq. (35) have the same form as the original three governing  
 186 equations, except that the first equation now includes the resisting forces  $\hat{\mathbf{p}}_b$  at the interface  
 187 of the element components that depend on the displacement field  $\mathbf{u}$ .

### Mixed-Force (MF) Formulation

In the mixed-force (MF) formulation the Lagrange multiplier term in Eq. (19) is modified by interpolating the material stress  $\sigma_2$  according to Eq. (28) such that

$$\int_{\Omega_2} \sigma_2(u'_b - \varepsilon_b) d\Omega = \mathbf{q}_b^T \int_0^L \mathbf{b}_b^T (u'_b - \varepsilon_b) dx \quad (36)$$

After integration by parts Eq. (36) becomes

$$\int_{\Omega_2} \sigma_2(u'_b - \varepsilon_b) d\Omega = \mathbf{q}_b^T \left( \mathbf{a}_{bf} \mathbf{u}_{bn} - \int_0^L (\mathbf{b}_b^T \varepsilon_b + \mathbf{b}_{bx}^T u_b) dx \right) \quad (37)$$

where  $\mathbf{b}_{bx}$  is the derivative of  $\mathbf{b}_b$  with respect to  $x$ , and

$$\mathbf{a}_{bf} = \begin{bmatrix} -\mathbf{b}_b^T(0) & \mathbf{b}_b^T(L) \end{bmatrix} \quad (38)$$

Substituting Eq. (37) into Eq. (19) results in the following functional  $\Pi_b$

$$\begin{aligned} \Pi_b((u_b, \mathbf{u}_{bn}), \sigma_2, \varepsilon_b) &= \int_0^L W_{sb}(u_b) dx - \mathbf{u}_{bn}^T \bar{\mathbf{p}}_{bn} \\ &+ \mathbf{q}_b^T \left( \mathbf{a}_{bf} \mathbf{u}_{bn} - \int_0^L (\mathbf{b}_b^T \varepsilon_b + \mathbf{b}_{bx}^T u_b) dx \right) \end{aligned} \quad (39)$$

189 In this functional the variables  $u_b$  and  $\mathbf{u}_{bn}$  are independent of each other because they are  
 190 defined at different points of the frame element axis. The variable  $u_b$  is defined in the element  
 191 interior, while  $\mathbf{u}_{bn}$  denotes the slip value at the end sections of the element. Inter-element  
 192 continuity is imposed on  $\mathbf{u}_{bn}$ , but not on  $u_b$ , which is, therefore, a local element variable  
 193 that can be condensed out during the state determination.

The functional  $\Pi_b$  in Eq. (39) is similar to the functional  $\Pi$  in Eq. (15). As is the case for the MM formulation, these two functionals can be combined by stacking the independent variables of  $\Pi$  on top of the independent variables of  $\Pi_b$ . With an abuse of notation, the



new vectors are listed below without section variables:

$$\mathbf{u} \Leftarrow \begin{bmatrix} \mathbf{u} \\ \mathbf{u}_{bn} \end{bmatrix}, \quad \mathbf{q} \Leftarrow \begin{bmatrix} \mathbf{q} \\ \mathbf{q}_b \end{bmatrix} \quad (40a)$$

$$\mathbf{a} \Leftarrow \begin{bmatrix} \mathbf{a} & \mathbf{0} \\ \mathbf{0} & \mathbf{a}_{bf} \end{bmatrix} \quad (40b)$$

$$\bar{\mathbf{p}} \Leftarrow \begin{bmatrix} \bar{\mathbf{p}} \\ \bar{\mathbf{p}}_{bn} \end{bmatrix}, \quad \bar{\mathbf{p}}_w \Leftarrow \begin{bmatrix} \bar{\mathbf{p}}_w \\ \mathbf{0} \end{bmatrix} \quad (40c)$$

The section variables are combined separately for the two sections of the model: the first section, subsequently called *fiber section*, refers to the cross section of the element that is discretized into fibers so that its response results from the integration of the uniaxial material response. This cross section is the aggregation of the component sections. With an abuse of notation the section variables and interpolation matrices are

$$\mathbf{e} \Leftarrow \begin{bmatrix} \mathbf{e} \\ \varepsilon_b \end{bmatrix}, \quad \mathbf{a}_s \Leftarrow \begin{bmatrix} \mathbf{a}_s & a_b \end{bmatrix}, \quad \mathbf{b} \Leftarrow \begin{bmatrix} \mathbf{b} & \mathbf{0} \\ \mathbf{0} & \mathbf{b}_b \end{bmatrix}, \quad \bar{\mathbf{s}}_w \Leftarrow \begin{bmatrix} \bar{\mathbf{s}}_w \\ 0 \end{bmatrix} \quad (41)$$

The second section, subsequently called *interface section*, refers to section variables at the interface between the element components. The force resultants of the interface section arise from bond stresses. With an abuse of notation these section variables and interpolation matrices are

$$\mathbf{e} \Leftarrow u_b, \quad \mathbf{b} \Leftarrow \begin{bmatrix} \mathbf{0} & \mathbf{b}_{bx} \end{bmatrix}, \quad \bar{\mathbf{s}}_w \Leftarrow 0 \quad (42)$$

With the new set of variables and the two sets of section force resultants, the functional for

the MF formulation becomes

$$\begin{aligned} \Pi_f(\mathbf{u}, \mathbf{q}, \mathbf{e}) = & \int_0^L W_{sf}(\mathbf{e}) dx - \int_0^L \bar{\mathbf{s}}_w^T \mathbf{e} dx - \mathbf{u}^T (\bar{\mathbf{p}}_w + \bar{\mathbf{p}}) \\ & + \mathbf{q}^T \left( \mathbf{a}\mathbf{u} - \int_0^L \mathbf{b}^T \mathbf{e} dx \right) \end{aligned} \quad (43)$$

where  $W_{sf}$  is the section energy density function defined as

$$W_{sf}(\mathbf{e}) = \begin{cases} W_{sm}(\mathbf{e}) & \text{for the fiber section} \\ W_{sb}(\mathbf{e}) & \text{for the interface section} \end{cases} \quad (44)$$

$W_{sm}$  is the same energy density function as in the MM formulation. The functional  $W_{sf}$  permits the determination of the section forces  $\hat{\mathbf{s}}$  from  $\mathbf{e}$  with  $\hat{\mathbf{s}}(\mathbf{e}) = \partial W_{sf} / \partial \mathbf{e}$ . The section forces for the fiber section consist of the axial force  $\hat{N}$ , the bending moment  $\hat{M}$ , and the axial force  $\hat{N}_2$ , as defined for the MM formulation. The forces for the interface section consist of the bond force  $\hat{N}_b$  at the interface of the composite frame element components.

Eq. (43) shows that the functional for the MF formulation has the same expression as the original functional  $\Pi$  in Eq. (7). The expressions of the governing equations are the same as Eq. (20), except that  $\varepsilon_b$  is grouped into  $\mathbf{e}$  in Eq. (20c).

In the MF formulation the generalized bond forces  $\mathbf{q}_b$  are local without requiring inter-element continuity. Nonetheless,  $C^0$  continuity results for  $\mathbf{q}_b$  through node equilibrium, because the slip values  $\mathbf{u}_{bn}$  are only defined at the element nodes.

**Remark 1** The proposed formulations can be used for modeling the anchorage zone of a reinforcing bar by removing the concrete component. In such case, these formulations are identical with the displacement, mixed and force formulations proposed by Ayoub and Filippou (1999).  $\square$

**Remark 2** The proposed formulations can be extended to an element with multiple components that slip relative to component 1 only, *without bond-slip interaction among them.*

211 Each component slipping relative to component 1 gives rise to a pair of  $\mathbf{u}_{bn}$  and  $\mathbf{p}_{bn}$  at the  
212 end nodes, one set of  $u_b$ ,  $\varepsilon_b$  and  $\sigma$  values for describing the slip, strain and stress fields, re-  
213 spectively, and, hence, one functional  $\Pi_b$  in Eq. (19). Since there is no bond-slip interaction  
214 among these components, their variables are uncoupled and interact only with the variables  
215 of component 1. Consequently, the governing equations for MD, MM and MF formulations  
216 remain the same (see Eqs. (20), (25) and (35)), except that each component has its own  
217 generalized slip  $\mathbf{u}_b$  and force  $\mathbf{q}_b$  with interpolation functions  $\mathbf{a}_b$  and  $\mathbf{b}_b$ , respectively. For  
218 the MM and MF formulations, the variables of each component are also stacked on top of  
219 each other according to Eqs. (32), (40), (41) and (42) without coupling of the interpolation  
220 functions for these components.  $\square$

## 221 Interpolation Functions

222 Except for homogeneous frame elements with linear elastic materials, it is not possible to use  
223 simple exponentials or polynomials for the distribution of  $u_b$  and  $N_2$  along the element. For  
224 representing inhomogeneous inelastic response within the element with sufficient accuracy,  
225 this study makes use of piecewise polynomials as interpolation functions for  $u_b$  and  $N_2$  with-  
226 out dividing the element into sub-elements. This option balances the accuracy requirements  
227 for  $u_b$  and  $N_2$  with the efficiency requirement of interpolating the section forces  $N$  and  $M$   
228 with the smallest number of parameters, i.e. the use of constant and linear interpolation  
229 functions for  $N$  and  $M$ , respectively. For the mixed-displacement formulation, piecewise  
230 quadratic polynomials are used for the interpolation of  $u_b$ . For the mixed-force formulation,  
231 piecewise quadratic polynomials are used for the interpolation of  $N_2$ . Finally, for the mixed-  
232 mixed formulation, quadratic splines and linear splines are used for the interpolation of  $u_b$   
233 and  $N_2$ , respectively. Fig. 3 illustrates these interpolation functions over a four segment  
234 portion of the composite beam. In the following, the term *segment* refers to the interval  
235 within the composite element with a well-defined polynomial.

236 For an optimum balance of efficiency and accuracy, linear and quadratic splines were also  
237 considered as the interpolation functions for the mixed-displacement and the mixed-force

formulations, and discontinuous piecewise linear and piecewise quadratic polynomials were considered for the mixed-mixed formulation, but their evaluation falls outside the scope of this paper. Details of this evaluation are available in the Ph.D. thesis of Lee (2008).

## COMPARISON OF FORMULATIONS

The following discussion addresses the advantages and limitations of the three proposed alternatives of the mixed formulation for modeling the bond-slip effect of composite frames, and in particular, the bond-slip of reinforcing bars in reinforced-concrete members.

A reinforced concrete cantilever column is used for this purpose. The column corresponds to the specimen by Bousias et al. (1995), but is used here without reference to the experimental results with the correlation studies deferred to the companion paper.

The column is 1490 mm long with a  $250 \times 250$  mm square cross-section, as shown in Fig. 4, It has eight reinforcing bars (rebars) of 16 mm bar diameter uniformly distributed around the perimeter and anchored in a concrete block with an anchorage length of 30 bar diameters.

This specimen is selected because of the presence of 8 rebars in the cross section, which the proposed model can monitor independently, a feature not available in earlier models. The determination of the crack opening at the base of the column and the corresponding fixed-end rotation constitute important aspects of the evaluation of the formulation alternatives.

The column is subjected to an incremental horizontal translation at its tip to a maximum lateral drift ratio of 6% under a constant axial compressive force of 300 kN.

The compressive strength of concrete  $f'_c$  is 30.75 MPa. The model uses Mander's model (Mander et al., 1988) for the concrete stress-strain relation with no tensile resistance and a confinement factor of  $K=1.25$  based on the reinforcement details. The analysis assumes that the entire cross section is confined, since this has little bearing on the discussion about the merits of the formulation alternatives. The model uses the general Menegotto-Pinto (GMP) model (Menegotto and Pinto, 1973) modified by Filippou et al. (1983) for the reinforcing steel with yield strength  $f_y$  of 460 MPa, Young's modulus  $E_s$  of 210 GPa, and hardening ratio

265 of 1.4%. The model uses a trilinear bond-slip relation defined by the value-pairs of (0.25 mm,  
266 7.9 MPa), (1 mm, 13.5 MPa) and (3 mm, 13.6 MPa), following recommendations for typical  
267 conditions in the literature (Eligehausen et al., 1983). The trilinear model is similar to the  
268 Hysteretic model in OpenSees (<http://opensees.berkeley.edu>). The bond-slip relation  
269 does not have a softening branch, since the softening behavior has no bearing on the present  
270 discussion.

271 The model uses one composite element for the reinforced-concrete column. Since the  
272 column is subjected to uniaxial bending with axial force, the rebars are grouped in layers  
273 according to their  $y$ -distance from the reference axis, and one component is used each group  
274 in the column element. A separate anchored bar element is used for each rebar group in the  
275 foundation. The column cross-section is subdivided into 60 layers and midpoint integration  
276 is used for the evaluation of the stress resultants. The boundary conditions of the model  
277 restrain the horizontal translation and the rotation at the column base. At the column  
278 tip the horizontal translation is controlled with the specified displacement history, and the  
279 vertical translation and rotation are free. The slip DOFs of the column element are assumed  
280 to be free at the column tip and are connected to the anchored bars at the column base.  
281 The stress of the anchored bars is set to zero at the anchorage end.

282 The monotonic response for the column measured by Bousias et al. (1995) does not show  
283 softening for the range of inelastic deformations of the numerical study. Nonetheless, it is  
284 important to select the segment number of the composite frame element under consideration  
285 of response objectivity. Consequently, two segments with three integration points are used  
286 to ensure that the response evaluation is exact for a linear elastic frame element without  
287 element loads. For the bond-slip field in the MF formulation, only two integration points are  
288 necessary. Moreover, the segment lengths within the composite frame element are selected in  
289 the ratio of 1 to 2, with the shortest segment closest to the column base so as to capture the  
290 rapid increase of local strains at this location. A similar segment length distribution is used  
291 for the anchored bar elements in the foundation. A general solution for objective response

292 will be explored in the future following earlier studies for reinforced concrete frame elements  
293 without bond-slip (Coleman and Spacone, 2001, Scott and Fenves, 2006).

294 The top figures of Fig. 5 show the steel strain distribution, while the bottom figures  
295 show the relative slip of the reinforcement along the column and along the anchorage length  
296 of the rebars at the maximum drift ratio of 6%. The numerical results for the MD and  
297 MM formulation are shown in the figures on the left hand side, and the results for the MF  
298 formulation on the right hand side of Fig. 5. The results lead to the following observations:

299 Both MD and MM formulations require  $C^0$  continuity of the slip field  $u_b$  which needs  
300 to be continuous across elements but need not have a continuous derivative. The MF for-  
301 mulation, on the other hand, does not impose a continuity requirement on the relative slip  
302 field  $u_b$ . Consequently, the MF formulation is much more suitable than the MD or MM  
303 formulation for modeling the discrete crack that arises between beam and column elements  
304 or between column and foundation elements as a result of bond-slip. This crack is in fact  
305 the manifestation of the discontinuous nature of relative slip across the common node of two  
306 elements and has been observed in many tests of RC columns with pull-out of the reinforc-  
307 ing bars from the foundation. By imposing relative slip continuity at the crack the MD and  
308 MM formulation underestimate the bar pull-out value but also lead to discrepancies for the  
309 relative slip distribution in the element that pulls out.

310 All formulations do not enforce inter-element continuity for the axial force of component  
311 2, but only the MF formulation establishes force continuity at the element nodes through  
312 node equilibrium. In the example of the reinforcing bar pull-out for a cantilever RC column,  
313 the equilibrium requires that the reinforcing steel stress be continuous across the column-  
314 foundation interface. Both MD and MM formulations fail to capture this continuity correctly.  
315 By contrast, the MF formulation, which accommodates the continuity of the steel force at the  
316 column base, produces better results for the stress in the reinforcing steel, as the correlation  
317 studies of the companion paper demonstrate.

318 In conclusion, the MF formulation is the best formulation for simulating pull-out of the

319 reinforcement of one element from its anchorage in another element since it represents the  
320 discontinuous slip across the common node.

## 321 ESTIMATION OF FIXED-END ROTATION

322 One important advantage of the discontinuous slip across elements in the MF formulation  
323 is its ability to estimate the concrete crack width at the tension side of a cross-section.  
324 With the slip  $u_b$  equal to the difference between the steel displacement  $u_s$  and the concrete  
325 displacement  $u_c$ , i.e.  $u_b = u_s - u_c$ , and assuming no rupture in steel reinforcement, the jump  
326 in  $u_b$  equals the jump in  $u_c$  but with opposite sign:  $[[u_b]] = -[[u_c]]$ , where the jump operator  
327  $[[\cdot]]$  is defined as  $[[\cdot]] = (\cdot)(x^+) - (\cdot)(x^-)$ .

328 From the values of  $[[u_b]]$  for all rebars in an RC cross-section, a crack opening profile can  
329 be computed, as shown in Fig. 6 for the preceding numerical analysis results for some drift  
330 ratio values ranging from 0% to 6%. The crack profiles in Fig. 6 show that the top concrete  
331 fiber (at the tension side) begins to crack when the drift ratio is approximately 1%, and the  
332 crack grows rapidly when the drift ratio reaches 4%.

333 Concrete cracking at member ends results in an additional *fixed-end rotation*. This fixed-  
334 end rotation can be estimated from the crack opening profile by fitting a straight line in the  
335 form of a crack plane to the slip profile and determining the rotation of this plane relative  
336 to the undeformed section. Fig. 6 shows these fitted crack planes for the crack opening  
337 profiles of the preceding numerical analysis. With the estimated fixed-end rotation, the  
338 relationship between the base moment and the fixed-end rotation at the column base can  
339 also be established, as shown in Fig. 6. The moment-rotation relationships in Fig. 6 show  
340 that the RC column starts to lose strength when the fixed-end rotation approaches 0.005  
341 rad.

## 342 CONCLUSIONS

343 This paper proposes a composite frame element for the simulation of the inelastic re-  
344 sponse of structural members made up of two or more components with different materials

345 accounting for the relative slip at the interface between components. Application examples  
346 include reinforced concrete members with bond-slip of reinforcing steel, steel-concrete com-  
347 posite members with slip at the interface, members with FRP reinforcement, and prestressed  
348 concrete and timber members with partially bonded straight tendons.

349 The proposed frame element introduces one additional degree of freedom at each node  
350 to represent the relative slip of a component relative to the reference component. These  
351 slip DOFs rotate with the tangent at the element node so that the slip DOF increments  
352 during the iterative solution of the global equilibrium equations can be solved in the local  
353 reference system. This holds true even under nonlinear geometry as long as the co-rotational  
354 formulation is used for large displacement analysis, making the proposed frame element with  
355 slip DOFs easy to implement in a general purpose finite element analysis program for large  
356 displacement analysis, a feature that distinguishes this element from earlier proposals.

357 The study presents three alternative mixed formulations for the composite frame element  
358 by enhancing the standard Hu-Washizu variational principle with additional fields for the  
359 bond-slip at the interface between components. These formulations are a mixed-displacement  
360 (MD), a mixed-force (MF), and a mixed-mixed (MM) formulation. The MD formulation  
361 interpolates the slip distribution between components, the MF formulation interpolates the  
362 force distribution of components that slip relative to the reference component, and the MM  
363 formulation interpolates both slip and force distributions.

364 To balance interpolation accuracy for the relative slip and the axial force distribution for  
365 a component that slips relative to the reference component with interpolation efficiency for  
366 the total section forces  $N$  and  $M$  of the element with the smallest number of parameters,  
367 piecewise polynomials for the interpolation of the force and slip distributions at the interface  
368 between components are used in the study.

369 All three formulation alternatives ensure the exact interpolation of the total section force  
370 fields for the composite frame element, a feature that distinguishes this element from earlier  
371 proposals.



372 Both MD and MM formulations enforce slip continuity but relax the force continuity,  
373 while the MF formulation enforces force continuity but relaxes the slip continuity at the  
374 common node of two elements.

375 Large cracks that form between structural members as a result of relative slip of con-  
376 stituent components require that the slip across the crack at a common node of two elements  
377 be discontinuous, while the stress field be continuous. Because the MF formulation captures  
378 both phenomena, whereas the MD and MM formulations do not, it is the most suitable for  
379 representing the pull-out of reinforcing steel from an anchoring element and determining  
380 the resulting fixed-end rotation at the interface between adjacent elements, as demonstrated  
381 with the example of a reinforced-concrete cantilever column.

382 The numerical convergence behavior and the accuracy of the proposed formulations in  
383 simulating the global and local response of a steel-concrete composite specimen, and two  
384 reinforced-concrete cantilever column specimens with pull-out from the foundation are pre-  
385 sented in the companion paper.

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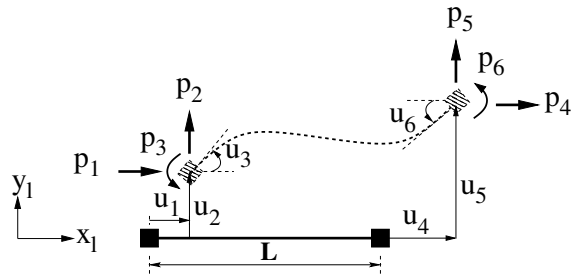
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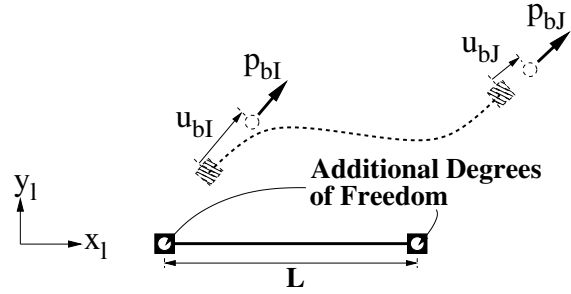
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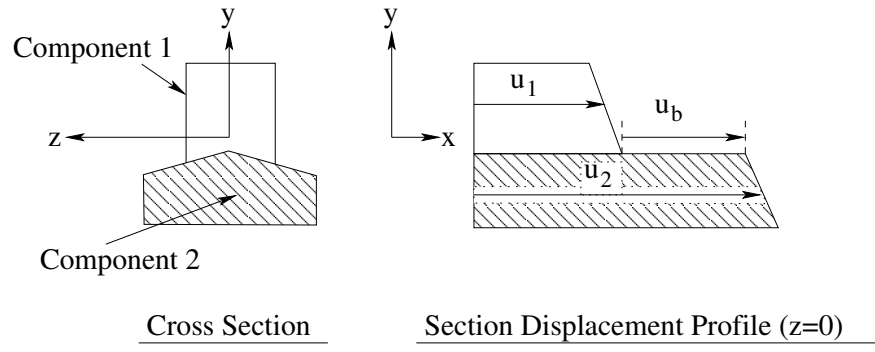


(a) Nodal forces and displacements of standard frame element



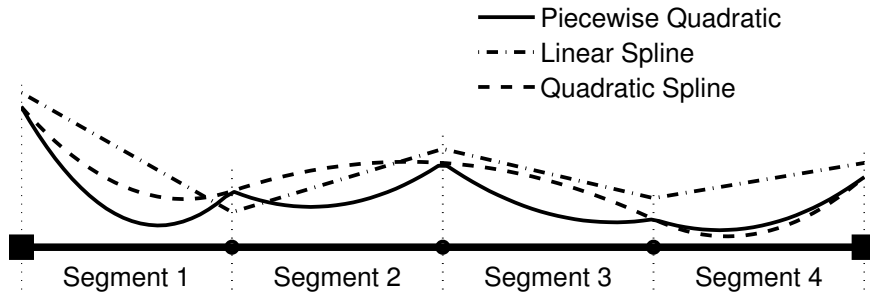
(b) Additional nodal forces and displacements for frame element with bond-slip

**FIG. 1. Nodal forces and displacements of standard frame element and composite frame element with slip between two components**

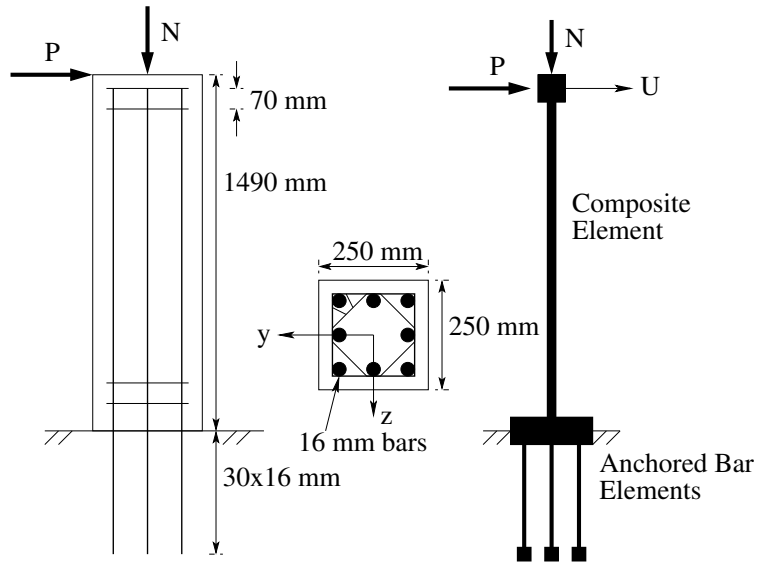


**FIG. 2. Section configuration and displacement profile**





**FIG. 3. Examples of interpolation functions**



**FIG. 4. Reinforced-concrete cantilever column**

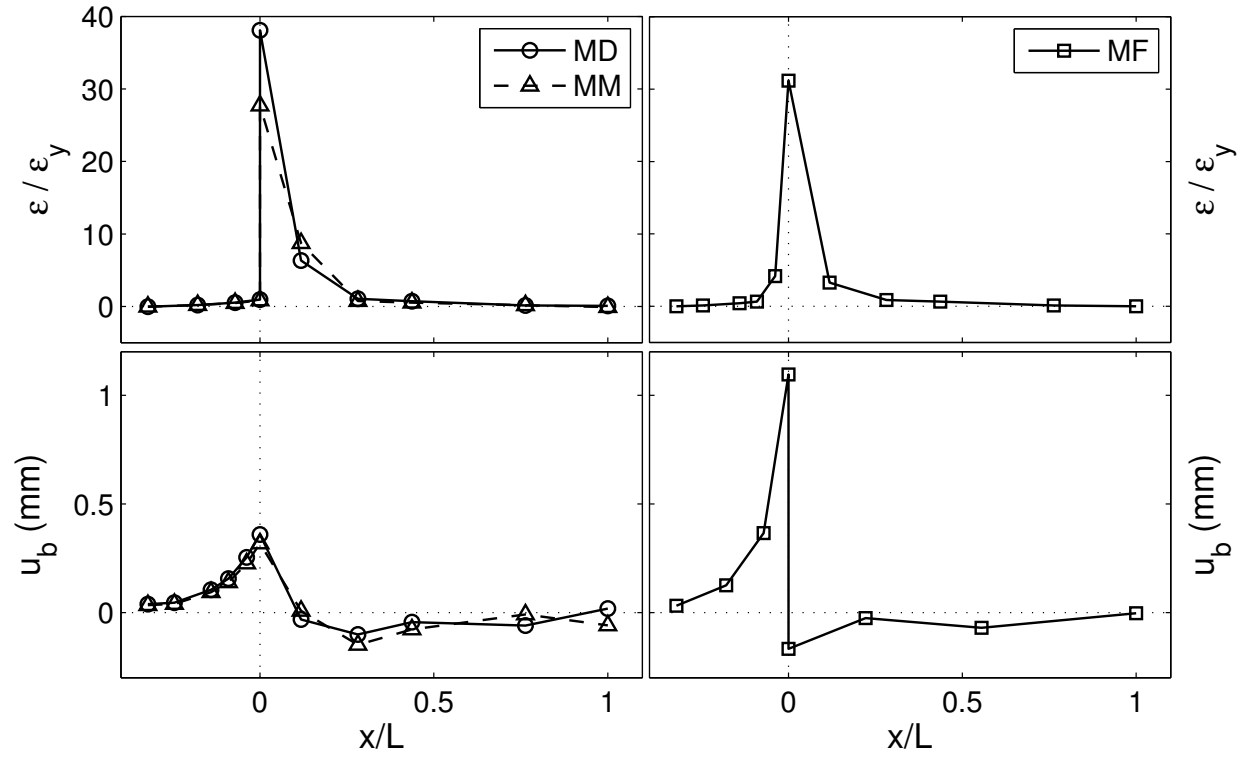
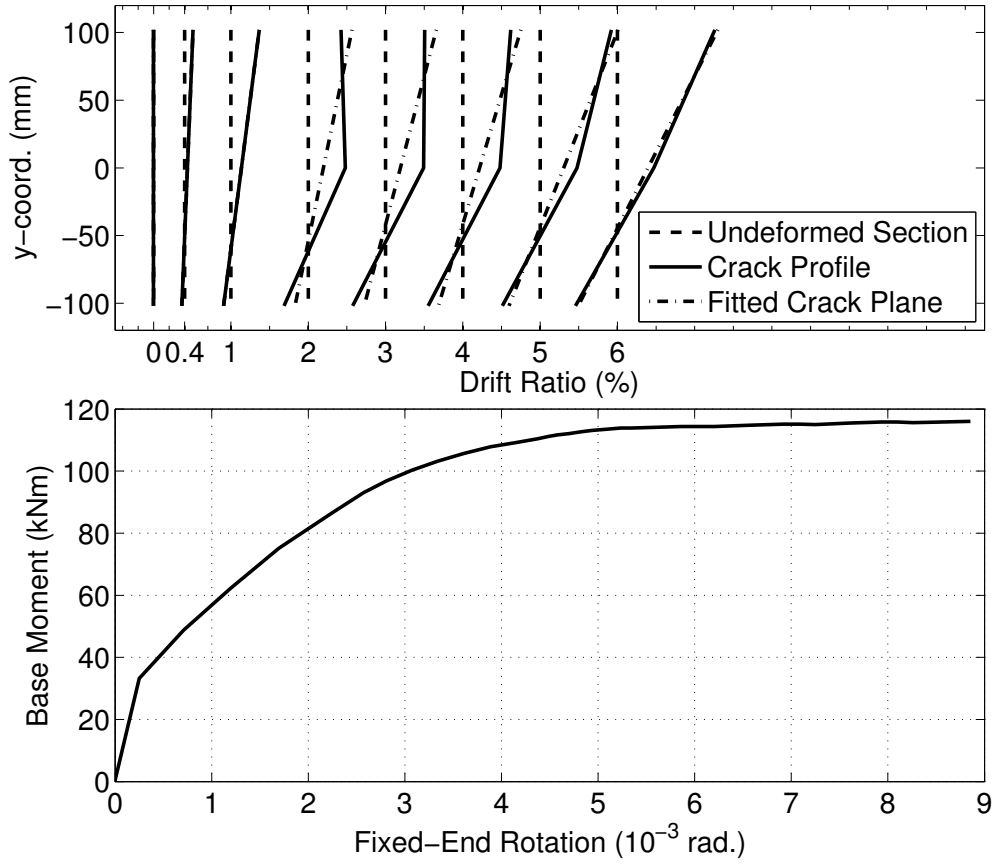


FIG. 5. Strain and slip distributions of steel reinforcing bars in tension at 6% drift ratio



**FIG. 6. Crack opening profiles and fitted crack planes for drift ratios of 0%, 0.4%, 1%, 2%, 3%, 4%, 5% and 6%, and moment versus fixed-end rotation at column base**