1	Fate of degraded pollutants in waste gas biofiltration: An overview of carbon end-points.
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8	
9	Abstract
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11	The fate of the carbon from degraded pollutants in biofiltration is not well understood. The issue of missing
12	carbon needs to be addressed quantitatively to better understand and model biofilter performance. Elucidating
13	the various carbon end-points in various phases should contribute to the fundamental understanding of the
14	degradation kinetics and metabolic pathways as a function of various environmental parameters. This article
15	reviews the implications of key environmental parameters on the carbon end-points. Various studies are
16	evaluated reporting carbon recovery over a multitude of parameters and operational conditions with respect to
17	the analytical measurements and reported distribution of the carbon end-points.
18	
19	Keywords: Biofiltration, Carbon balance, Volatile organic carbon (VOC), Biofilms
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21	1 Introduction
22	Disfiltration provides a clean cost offective, and environmentally friendly technology using mass transfer or

Biofiltration provides a clean, cost effective, and environmentally friendly technology using mass transfer and microbial oxidation to degrade organic pollutants (Devinny et al., 1999; Kennes, 2012). The biofiltration process is used effectively for treating large streams of air contaminated with low concentrations (<1000 ppm) of pollutants (Iranpour et al., 2005). Biofilters are packed bed bioreactors degrading pollutants through a complex and mixed culture of microorganisms forming a pollutant-degrading biofilm on the porous bed medium. It has been successfully applied to treat a wide spectrum of organic and inorganic pollutants as well as a means to abate odours (Gallastegui et al., 2011; Girard et al., 2011; Mudliar et al., 2010; Ryu et al., 2009).

29 Biofilms are often growth restricted (e.g. - nutrient limited, etc.) especially in soil and various industrial 30 processes such as biofiltration but possess the inherent ability to break down organic pollutants (Jorio et al., 31 2000a; Li et al., 2002; Xi et al., 2006). Biofilms proliferating in these dynamic environmental conditions are 32 commonly unsaturated, operating at the air/solid interface. Many attempts have been made to close the carbon 33 balance in these systems; however 10-50% of the degraded carbon often remains untracked (Avalos Ramirez et 34 al., 2008; Cox et al., 2001; Deshusses, 1997b; Girard et al., 2011; Morales, 1998; Song and Kinney, 2000). In 35 spite of the importance of these growth restricted, unsaturated biofilm processes in engineered systems, certain 36 aspects of their activity/metabolism remain unclear, particularly the ultimate fate of carbon entering these 37 systems.

Commonly assumed carbon end-points for organic carbon substrates are CO2, active biomass and extracellular 38 39 polymeric substances (EPS). Other plausible carbon end-points include soluble microbial products (Jiang et al., 40 2010; Meng et al., 2009; Ni and Yu, 2011), soluble metabolites (Díaz et al., 2008), internal storage polymers 41 (Reis et al., 2003) and volatile substances such as carbon monoxide (Haarstad et al., 2006). A common 42 assumption is that the untracked carbon is utilised for microbial growth (biomass) but carbon extraction studies 43 have not corroborated this hypothesis (Fürer and Deshusses, 2000; Song and Kinney, 2000; Vance et al., 1987). 44 Accumulation of missing carbon within the system as biomass could clog up the reactor bed which is contrary to 45 reports in non-growth systems (Deshusses, 1997b; Singh et al., 2006). Whilst microbes undoubtedly convert a 46 portion of the organic substrates into soluble microbial products and other metabolites, their identities and 47 relation to biodegradation of substrates remain to be fully investigated (Díaz et al., 2008; Kim et al., 2005b; 48 Magbanua and Bowers, 2006). In the gaseous effluents, no other compounds other than CO_2 and untreated 49 substrates are normally reported. There are limited reports of carbon monoxide reported in the off gas of some 50 biodegradation processes (Haarstad et al., 2006; Hellebrand and Schade, 2008), and the possibilities of other 51 unreported biogenic emissions cannot be ruled out. Thus, the carbon balance in these systems is yet to be closed 52 conclusively and the identity of the unaccounted carbon remains elusive. This review presents a compilation of 53 the various investigations tracking carbon and presents a link to the critical environmental parameters 54 influencing the conversion to different degradation end-points.

55 2 Carbon balance and fate of pollutants

The biofilms in oxidative microbial processes in the waste gas treatment industry degrade waste organic compounds (C_xH_y) to CO₂, biomass and other metabolites (Deshusses, 1997a). The particular biochemical reaction catalyzed by the microorganisms proceeds via different pathways depending on the pollutant and nutrient availability. Under nutrient-limited conditions, a typical oxidation reaction for a hydrocarbon leads to the production of CO₂, water and heat which can be represented as follows:

61
$$C_x H_y + b O_2 \rightarrow x C O_2 + c H_2 O + Heat$$
 (1)

However, in the presence of sufficient nutrients, pollutant oxidation results in the formation of biomass along
with other degradation products (Delhomenie et al., 2005) :

$$aC_{x}H_{y} + bO_{2} + cNH_{3} \rightarrow CH_{1.8}N_{0.2}O_{0.5} + dH_{2}O + Heat + eCO_{2}$$

$$(2)$$

66

 $67 \qquad \text{Where, } CH_{1.8}N_{0.2}O_{0.5} \text{ represents a generic formula for biomass.}$

 CO_2 production is often a good indicator of the biological activity of the microbes, and it complements the tracking of pollutant degradation for the evaluation of a biofilter's performance efficacy (Bester et al., 2011; García-Peña et al., 2008). So it is common practice to monitor CO_2 production but limited success has been achieved in exhaustively pinning down the carbon flux through the system to its final end-points in different phases. Usually CO_2 measurement is for optimizing operating parameters to improve stability and process efficacy. Attempts to close the carbon balance is uneven, with 10 - 50 % of the degraded carbon missing (Avalos Ramirez et al., 2008; Cox et al., 2001; Deshusses, 1997b; Girard et al., 2011; Morales, 1998; Song and
Kinney, 2000).

76 A major portion of the degraded organic carbon is released as CO₂ (Jiménez et al., 2016; Jorio et al., 2000a; Li 77 et al., 2002; Wang et al., 2012; Xi et al., 2006). From the CO₂ measurements, the remaining carbon fraction is 78 often assumed to be biomass and is estimated based on the difference between the degraded pollutant and the 79 CO₂ produced. This is because biomass measurements in operating biofilters are difficult. Researchers have 80 estimated the carbon tied up in biomass directly through whole bed measurements combined with assumptions 81 about the water content of wet biomass, representative sampling of the bed combined with the carbon content of 82 cells, yield on nitrogen and chemical oxygen demand (COD)/carbon conversion etc. (Bester et al., 2011; Cox 83 and Deshusses, 1999; Elmrini et al., 2004; Kroukamp and Wolfaardt, 2009). Therefore, the estimate of the 84 carbon content of the biomass involves experimental uncertainties and assumptions, and the carbon mass 85 balance closure in these highly complex systems remains difficult. Hence, there is a pressing need to track the

86 unaccounted carbon in these biofilm processes through a holistic approach.

Most biofilter research performs a molecular balance on the pollutant (e.g. toluene, methane) and explicitly
focusses on the *Consumption* term (e.g. elimination capacity) as a function of a variety of system inputs. As
there is rarely any generation term for the pollutant, the mass balance for the pollutant simplifies to:

91 Measuring the CO_2 allows an estimate of the accumulation of carbon in a biofilter by comparing the molar rate 92 of CO_2 production to the molar rate of carbon degraded for the pollutant (i.e. – a carbon balance). This assumes 93 no carbon is leaving the biofilter in the liquid phase or in the gas phase in a compound other than the pollutant 94 or CO_2 .

95	$Carbon\ accumulation = x[a(C)_{in} - b(C)_{out}] - xc(CO_2) $ (4))
96	$a(C)_{in}$ = molar flow rate of the pollutant entering the biofilter	
97	$b(C)_{out} = molar$ flow rate of the pollutant exiting the biofilter	
98	$c(CO_2)$ = molar flow rate of CO ₂ exiting the reactor (corrected for any CO ₂ present in the	feed
99	stream).	
100	x = the number of carbon atoms in the molecular structure of the pollutant (1 in the case of CO	J ₂)
101	It is this type of balance that is often used for estimates of biomass accumulation as compared to c	lirect
102	measurements. Tracking the carbon fraction in all three phases should account for the carbon end-points i	n the
103	system encompassing the degradation products as a whole. An illustration of how the carbon entering the sy	stem
104	exits or accumulates within the system in the solid, gas and liquid phase is presented in Fig. 1.	

105 Figure 1: Flow chart identifying plausible carbon end-points in the system after toluene degradation.

106 2.1 Gas phase end-points

107 In the biofiltration process, the exiting gas stream is often analysed for CO_2 and un-reacted pollutants. The 108 commonly used methods to analyse effluent gas streams includes gas chromatography with various detectors 109 (TCD, FID) and CO_2 analysers. A few studies have attempted to analyse gas phase components by mass 110 spectrometry but have seldom reported anything other than CO_2 and un-degraded organic pollutants (Domeño et 111 al., 2010; Kastner and Das, 2005; Matteau and Ramsay, 1997; Møller et al., 1996).

112 CO_2 recoveries from various studies have ranged from 40-90% as a function of the mode of operation (nutrient-113 limited or nutrient-addition) and variable operational parameters (Deshusses, 1997b; Grove et al., 2009; Jorio et 114 al., 2005; Wang et al., 2012). Cox et al. (2001) reported higher mineralization of ethanol to CO_2 at thermophilic 115 conditions (60%) than for a mesophilic biofilter (46%). Carbon recovery as CO_2 was 58% for the biofiltration of

116 binary mixtures of BTEX compounds compared to degradation of single BTEX compounds which ranged from

117 31-53% (García-Peña et al., 2008). Competitive inhibition for these closely related molecules could potentially

118 impact the catabolic/anabolic pathways. Hence, the CO_2 production pattern is an important component in

defining the product ratios of degraded carbon end-points. However, the possibility of other unreported biogenic

120 emissions in these systems cannot be ruled out.

121 The effluent gas stream could possibly contain C-containing intermediates and dissolved. Carbon monoxide122 formation during solid organic waste degradation has been reported (Haarstad et al., 2006; Hellebrand and

- 123 Schade, 2008). Normal mass spectrometry in biofiltration would easily miss this compound due to the similar
- $\label{eq:molecular} 124 \qquad \text{molecular weight as } N_2.$

125 2.2 Solid Phase end-points

126 In biofiltration, the pollutants enter the biofilm and are utilized by the acclimatized microbial community as a

127 carbon and/or energy source (Cabrol et al., 2012). The carbon substrate, apart from being mineralized to CO₂
128 and water for energy production, is partially diverted towards microbial growth and some non-growth associated
129 products (Leson and Winer, 1991). These constituents form the solid phase accumulation in the system.

130 Studies delving into a carbon balance often assume the unaccounted carbon from the system is incorporated into 131 the biomass or associated polymers and polysaccharides without robust quantification. But if the missing carbon 132 reservoir were solely biomass or polysaccharides, this would cause clogging of the reactor beds which is not 133 typically reported in growth-limited systems (Deshusses, 1997b; Singh et al., 2006). In nutrient-limited 134 conditions, maintenance metabolism assumes significance, which means no net increase in active biomass 135 (Cherry and Thompson, 1997). In actively growing systems with nutrient addition, bioreactor clogging is 136 common and has been extensively covered in the literature (Delhoménie et al., 2003; Dorado et al., 2012; 137 Maestre et al., 2007; Weber and Hartmans, 1996; Xi et al., 2006; Yang et al., 2010). However, limited clogging 138 is occasionally reported indicating possible biological equilibrium between primary and secondary degraders 139 (Diks et al., 1994). Although, surprisingly little quantitative knowledge exists on the composition of the biofilm 140 components proliferating in these bioreactor systems.

Biomass yield forms an important parameter in model development which can be quantified from carbon
recovery estimates (Bordel et al., 2008; Grove et al., 2009). Various studies which assumed the fraction of
degraded pollutant not appearing as CO₂ was going to biomass reported biomass yields in the range of 0.17 –
0.43 g biomass per g pollutant (Deshusses, 1997b; Grove et al., 2009; Jorio et al., 2000b; Singh et al., 2006).
However, there is no exhaustive quantification and characterization of these carbon end-points.

146 When a reactor is running on maintenance requirements under nutrient-limited conditions, a complete conversion of substrate into CO_2 is expected (Weber and Hartmans, 1996). However, the CO_2 fraction is 147 148 invariably less than the theoretical estimate and carbon may be assimilated by the biomass in some form. 149 Bacteria produce extracellular polymeric substances (EPS) which make up a major fraction of biofilms and play 150 a very important part in biofilm structure, activity and performance (Sutherland, 2001). The major EPS 151 components are comprised of polysaccharides and proteins in varying fractions but also include nucleic acids 152 and lipids (Flemming and Wingender, 2010). EPS are secreted by the cells to enhance adhesion to substrates, 153 contribute to the biofilm structure and influence microbial activity.

154 Biofilms as dynamic systems respond to environmental conditions physiologically which leads to variations in 155 EPS composition (Schmitt et al., 1995). The origins and composition of EPS are very complex. Therefore a number of factors may affect the EPS composition and quantity, such as the type of limiting substrate (electron 156 157 donor and acceptor), nitrogen and phosphorous limitation, and desiccation (Nielsen et al., 1997). The C/N ratio 158 of the influent also influences the composition of EPS in terms of carbohydrates and proteins (Durmaz and 159 Sanin, 2003). Thus EPS has been related to the macro-scale characteristics of biofilms describing its microbial 160 and structural properties (Ras et al., 2011) and its production is also linked to microbial growth and substrate 161 utilization (Laspidou and Rittmann, 2002). Moreover EPS can be degraded by bacteria as a source of carbon and 162 energy under substrate-limited conditions (Kommedal et al., 2001). However, carbon extraction studies of 163 microbial biomass in these systems are limited but seldom show significant carbon accumulation in the biofilms 164 (Fürer and Deshusses, 2000; Song and Kinney, 2000; Vance et al., 1987). In addition, microbes also 165 accumulates internal storage polymers as cellular reserves often driven by environmental conditions (Poblete-166 Castro et al., 2012; Reis et al., 2003; Xavier and Foster, 2007). Nutrient-limited systems rarely plug up which 167 begs the question of other possible carbon sinks for biofilms in stationary phase degrading pollutants.

168 2.3 Liquid phase end-points

169 In addition to making active biomass and EPS, bacteria also convert a fraction of the organic substrate into 170 soluble microbial products (SMPs) (de Silva and Rittmann, 2000; Namkung and Rittmann, 1986). SMPs are 171 defined as soluble organic matter resulting from intermediates or end-products of substrate degradation and 172 endogenous cell decomposition (Barker and Stuckey, 1999; Boero et al., 1991; Magbanua and Bowers, 2006). 173 They have a wide molecular weight distribution, structure and function (Barker and Stuckey, 1999; Magbanua 174 and Bowers, 2006; Rosenberger et al., 2006). A fractionation study by Jiang et al. (2010) studying SMPs in an 175 activated sludge membrane system found proteins and carbohydrates as the major components of SMPs. These 176 SMPs are important because they are ubiquitously present and contribute to the soluble organic matter in 177 biological treatment system effluent (de Silva and Rittmann, 2000; Rosenberger et al., 2006).

The majority of SMP research has been done with pure cultures or wastewater treatment systems. A few waste 178 179 gas biofiltration studies which attempted closing the carbon balance have also reported inorganic and organic carbon in the effluent liquid of the reactor, albeit at a variable percentage (3-39 %) depending on the mode of 180 181 operation (growth and nutrient limited) (Bester et al., 2011; Cox et al., 1998; Girard et al., 2011; Kim et al., 182 2005b). However, their identities and the relationship between substrate biodegradation and SMPs are yet to be 183 determined conclusively in biofiltration. The accumulation of metabolic intermediates during volatile organic 184 carbon (VOC) treatment can inflict a detrimental effect on the process culture and in some cases results in a 185 more toxic form then the parent VOC being treated (Bordel et al., 2007). Duetz et al. (1994) described the 186 toluene-catabolic (TOL) pathway for toluene in strains with the pWWO plasmids that results in toluene being 187 first methyl-oxidized into benzyl alcohol which then leads to benzaldehyde, benzoic acid and catechol, these are 188 then further cleaved at the meta-position. These metabolites have the potential to effect performance efficacy as 189 they can be toxic to microbial communities (Ren and Frymier, 2002). Previously benzyl alcohol has been 190 reported of having mutagenic effects on Pseudomonas putida 54G resulting in loss of toluene degradation 191 capacity (Mirpuri et al., 1997).

192 Furthermore, oxygen limitation within the biofilm can shift metabolism, leading to products other than CO_2 193 (Kim et al., 2005b; Wilshusen et al., 2004; Yang et al., 2002). Oxygen limitations in overloaded biofilms can 194 lead to partially oxidized by-products such as carboxylic acids (Devinny and Hodge, 1995). Metabolic by-195 products during anaerobic degradation of toluene have also been demonstrated but further studies are warranted 196 in aerobic biofilters in identifying transient intermediates (Beller et al., 1992). CO₂ can also be retained in the liquid phase as carbonate (Gallastegui et al., 2011; Morales, 1998; Singh et al., 2006). However, the identities of 197 198 the carbon fractions in the liquid phase of the reactor are yet to be ascertained quantitatively in a controlled 199 situation, and therefore could be a significant sink for the degraded carbon in engineered systems.

Thus, it is evident from the literature thus far, for carbon balances conducted on biofilters, a variable percentage of carbon remains unaccounted for in the system. Usually the emphasis has been largely on process optimization and this fundamental question has met with limited success in the sporadic attempts made in the literature. Table presents a compilation of the literature encompassing biofiltration of various VOCs, where the carbon mass balance has received attention.

Table 1: Compilation of the literature encompassing carbon mass balance studies in biofiltration of various pollutants.

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
1.	Methyl ethyl ketone (MEK)	Biofilter: Non- growth	Compost + redwood chips + horse manure	Indigenous		C-CO ₂ : 82 ± 10 %	Gas Phase: GC FID, CO ₂ : Chemosorb column with TCD.	(Deshusses, 1997b)
2.	Toluene	Biotrickling: Growth	Pall rings	P.corrugata , T. pyriformis , Vorticella microstoma, Klebsiella pneumoniae		C- CO ₂ : 68 %, Biomass: 21 % Liquid: 6 %	Gas Phase: GC FID & TCD, Biomass: Weighing of wet packing + elemental balance, Liquid: TOC	(Cox and Deshusses, 1999)
3.	Toluene	Biofilter: Growth	Peat enriched with nutrients	Acinetobacter lwoffi, Pseudomonas fluorescens, Pseudomonas putida, and Cla vibacter michigenense	Toluene loads, ammonia addition	C-CO ₂ : 44.5 %, Carbonates: 14.3 %, Polymers: 32 %, Biomass: 9.2 %	Gas Phase: GC TCD, Biodegradable fractions were analysed through a digestion protocol.	(Morales, 1998)
4.	Toluene	Biofilter: Non- growth	Compost + bark and lava rocks	Inoculated with recycled liquid from a toluene degrading biotrickling filter		C- CO ₂ : 70 %	C ¹⁴ toluene: scintillation, Gas Phase: GC FID &TCD, infrared CO ₂ analyser	(Fürer and Deshusses, 2000)
5.	Toluene	Vapour phase Bioreactor (VPB): Growth	Porous silicate pellets	Heterotrophic microbial population adapted to toluene	Air flow: Unidirectional (UD), Directionally switching (DS)	C- CO ₂ : 63-66 %, Biomass: 34-37 % Liquid : >1 %	Gas Phase: GC-FID and CO ₂ analyser. Biofilm analysis: COD	(Song and Kinney, 2000)
6.	Toluene, Benzene	Biofilter: Non- growth	Cylindrical activated carbon (CAC)	Heterotrophic population: bacilli, spore bacilli, fungi	Inlet load (IL) and gas flow rate	Toluene - CO ₂ : 64 % Benzene- CO ₂ : 51 % Assumption: Biomass and solute	Gas Phase: GC FID & HPLC, CO ₂ analyser and bacterial counts	(Li et al., 2002)

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
7.	Ethanol	Biofilter: Growth	Polypropylene pall rings	Mixed microbial consortia from active green waste and food compost.	Temperature	C-CO ₂ : 60 % C-biomass: 14 % Unaccounted: 26 %	Gas Phase: GC FID & TCD, Biomass: dry weight, TOC	(Cox et al., 2001)
8.	Toluene and acetone	Trickle bed air biofilter (TBAB): Growth	Coal particles	Activated sludge	Inlet load (IL) and Gas flow rate	C- CO ₂ : 90 % Biomass: 10 %	Gas Phase: GC/FID, THC, and CO ₂ analyzer Biomass: SCOD	(Chang and Lu, 2003)
9.	Ethanol	Biofilter: Growth	Sugarcane bagasse	Candida utilis	Inlet load (IL) and Gas flow	C- CO ₂ : 16-76.3 % C-biomass: 2.8-5.7 % Acetaldehyde:1-7.8 % Ethyl acetate: 14-20 %	CO ₂ : GC with TCD Cell # for biomass calculation	(Christen et al., 2002)
10.	Xylene	Biofilter: Growth	Spherical peat	Microbial activated consortium	Inlet load (IL) and Gas flow	C-CO ₂ : 82% Unaccounted: Assumed as biomass and solute	Gas Phase: THA and CO ₂ analyser	(Elmrini et al., 2004)
11.	Styrene	Biofilter: Growth	Peat and Ceramic	Pseudomonas sp. SR-5	Inlet load (IL) and Gas flow	CO ₂ and other degradation products: 90.4 % Biomass: 9.2 %	Gas Phase: GC/MS and FID, Biomass: Viable cell count and elemental analysis of carbon content	(Jang et al., 2004)
12.	Toluene	TBAB: Growth	Inorganic	Aerobic microbial culture sourced from activated sludge	Non-use /backwashing	C-CO ₂ : 63.2 % C-Liquid :15.5 % Unaccounted: 20.9 %	Gas Phase: GC FID and TOC	(Kim et al., 2005a)

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
13.	Toluene, styrene, methyl ethyl ketone and methyl isobutyl ketone	TBAB: Growth.	Pelletized diatomaceous Earth	Indigenous	Interchanging VOC's	C_CO ₂ : 63 % C-Liquid: 20 % Unaccounted: 15 %	Gas Phase: GC FID and TCD. Liquid: TOC	(Kim et al., 2005b)
14.	Toluene	Biofilter: Growth	Wood chips + propylene spheres	Activated sludge	Inlet load (IL) and Gas flow	CO ₂ : 83% approx. Explicit balance not attempted	Gas Phase: GC FID and TCD, Leachate: TOC	(Xi et al., 2006)
15.	Octane	Biofilter: Growth	Compost and perlite 50/50(v/v)	Mixed consortia adapted to Octane	Inlet concentration plus a shutdown period	CO ₂ recovery: 25 % Remaining carbon assumed as biomass.	Gas Phase: GC FID and CO ₂ analyzer	(Grove et al., 2009)
16.	Methane	Biotrickling: Growth	Inorganic packing	NA*	CH ₄ and nitrate	CO ₂ recovery: 82 % Biomass: 15 %	Gas Phase: THC and CO ₂ analyzer Lixiviate: Ion chromatograph, UV detector, TOC	(Girard et al., 2011)
17.	Toluene and p-xylene	Biofilter	Inert material	NA*	Inlet load (IL)	p-xylene - CO ₂ : 89 % Toluene - CO ₂ : 91 % Accumulation based on conversion of an empirical biomass formula to carbon accumulation rate: 5-8 %	Gas Phase: GC FID and total hydrocarbon analyzer CO ₂ : NDIR CO ₂ analyzer, Leachate: TOC analyzer	(Gallastegui et al., 2011)
18.	Toluene	Biotrickling: Growth	Granular activated carbon (GAC)	Activated sludge	Concentration, gas flow rate and temperature (55 ° C and ambient)	C in CO ₂ : 69 % C in biomass: 30.5 %	Gas Phase: GC FID and CO ₂ analyzer. Leachate: TOC analyzer Fluorescence spectroscopy	(Wang et al., 2012)

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
19.	Formaldehyde	Biotrickling: Growth	Perlite	Leachate from previously degrading formaldehyde biofilter	Inlet load (IL) and ozone addition	CO ₂ : 27 % Leachate: 2.7 % Biomass: 2.2 %	Gas Phase: GC FID Liquid: TOC analyzer and GC/MS/SPME Solid: TOC analyzer	Maldonado-Diaz and Arriaga, 2015)
20.	Toluene	Biotrickling: Growth	Perlite	Activated sludge	Inlet load (IL)	CO ₂ : 76.3 % Leachate: 1 % Biomass: 8.9 %	Gas Phase: GC FID & TC Liquid: TOC anlyzer Solid: Volatile solids combustion method (550°C)	(Jiménez et al., 2016)
21.	Cumene	Biotrickling: Growth	Loofa sponge	Indigenous soil microbes from petroleum site	Inlet load (IL)	CO ₂ : 0.12 % Leachate: 70 % Biomass: 12.9 %	Gas Phase: GC FID Liquid: TOC analyzer and GC-MS Solid: TOC analyzer	(Shahi et al., 2016)
22.	Methane	Biotrickling: Growth	Inert packing	Activated sludge	Pseudo steady state, transient state (shock loads), and starvation conditions.	CO ₂ : 66-88 %	Gas Phase: Hydrocarbon analyzer and CO ₂ analyzer.	(Ferdowsi et al., 2016)
23.	Tricholorethylene (TCE) and Methanol	Biotrickling: Growth	Diatomaceous earth pellets	Fungi	BiofilterI-(70%methanolto30%TCE)BiofilterII-(80%methanolto20%TCE)	Carbon recovery: Biofilters I - 88.45% ± 4.63% Biofilters II 86.5% ± 4.35%	Gas Phase: GC FID and TC Liquid: TOC anlyzer Solid: Volatile solids combustion (Standard Methods 2540G)	(Chheda and Sorial, 2017)
24.	Toluene	Differential Biofilter: Non -growth	Soil and Biofilm	Pseudomonas putida, endogenous soil micromes	Bed configuration (Biofilm vs soil)	Biofilm: $CO_2: 79 \pm 0.6 \%$ Leachate: $10 \pm 0.5\%$ Biomass: $7.7 \pm 1.5 \%$ Soil: $CO_2: 81 \pm 3 \%$	Gas Phase: GC FID Liquid: TOC analyzer Solid: TOC analyzer	(Bordoloi and Gostomski, 2018)

208 3 Influence of environmental parameters on carbon-endpoints

3.1 Temperature

210 Like any biological system, temperature is a critical operational parameter for the biofiltration process 211 (Delhoménie and Heitz, 2005; Mudliar et al., 2010). Temperature is a defining factor for microbial activity both 212 in terms of proliferation and biodegradation rates in engineered systems (Devinny et al., 1999; Jin et al., 2007; 213 Vergara-Fernández et al., 2012). The majority of the biofiltration reports are in the 15-40 °C temperature range 214 (Delhomenie et al., 2005; Jin et al., 2007; Shareefdeen et al., 2009; Vergara-Fernández et al., 2012). 215 Thermophilic biofiltration, while less well studied, has reported higher activity over the 45-70 °C range 216 (Luvsanjamba et al., 2007; Mohammad et al., 2007; Montes et al., 2014). As biofilters are often exposed to 217 fluctuating temperatures, tracking the temperature-mediated impact on degradation end-products provides a vital 218 link to the mechanistic understanding of the microorganism's physiological response.

219 3.1.1 Temperature mediated response on substrate utilization

220 Temperature variations in biofilters have a profound effect on the physical, biological and chemical aspects of 221 biofiltration process parameters (Darlington et al., 2001; Veiga and Kennes, 2001). Temperatures below the 222 optimal range inhibits microbial growth. It can structurally affect the lipids in the cell membrane hampering 223 membrane transport machinery (D'Amico et al., 2003; Nedwell, 1999). Darlington et al. (2001) found a greater 224 effect on substrate affinity than microbial activity at 20 °C. Higher temperatures can lead to drier conditions due 225 to excess evaporation of bed moisture (Mohammad et al., 2007). Dry conditions can also favor fungal 226 proliferation over bacteria (Nikolova and Nenov, 2005). Another potential problem is a temperature increase 227 can decrease the solubility of pollutants and oxygen (Zhu et al., 2004). Zamir et al. (2014) reported a significant decrease in removal efficiency from 70-100% at 35 °C to 25% at 40 °C attributed to a decreased solubility of n-228 229 hexane at high temperature. High temperature can also induce protein denaturation and cell death as observed by 230 Kong et al. (2013). However, limited knowledge exists on the effect of temperature on microbial community 231 and metabolic pathways for biodegradation of volatile organic compounds. Most biofiltration research focuses 232 on the impact of temperature on activity and performance without correlating it to the degradation product 233 ratios.

Usually higher mineralization to CO_2 at increased temperatures may be coupled with maintenance requirements 234 235 which are expected to take precedence in a nitrogen-limited system with the assumption of no active growth. 236 The flow of electrons from the substrate leads to energy generation with a part of it dissipated as heat (Xiao and 237 VanBriesen, 2006). In a nutrient-limited system with a continuous source of carbon/energy, the active 238 population can be driven by the requisite energy for maintenance without any active growth. The performance 239 of two reactors degrading ethanol at mesophilic and thermophilic temperature was monitored by Cox et al. 240 (2001). Although the removal efficiencies were similar for both the reactors, there was marked difference in the 241 amounts of CO₂ production and biomass accumulation. Higher temperature showed greater mineralization to 242 CO₂ (60 %) as opposed to 46 % at ambient conditions. In another study treating toluene, 70% of the toluene was 243 mineralized to CO₂ at an operating temperature of 55 °C, which was higher than the 53% observed at ambient 244 temperatures (20-30 °C) (Wang et al., 2012).

Although temperature increases the metabolic activity of microbes, it can also simultaneously increase the maintenance requirements of the process culture (Cox et al., 2001). The microbes, as postulated to be in maintenance mode, must have sufficient energy to expend on maintenance requirements for the cells to survive through catabolic conversions. Without the supplement of nitrogen, the metabolic pathways are likely to be directed towards more energy generation unless there is an apparent advantage for the microbes to produce EPS. This is reflected in the higher CO_2 recovery at the highest temperatures.

251 Variation in mineralization pattern suggests microbial adaptation to different temperatures indicating a change 252 in metabolic pathways which could affect the fate of carbon in the system. Tracking the temperature mediated 253 response to degradation end-products could provide a vital link to the mechanistic understanding of the 254 microorganism's physiological response. Temperatures not favourable to the degraders may result in decreased 255 substrate affinity and/or impaired microbial activity putting stress on the microbes (Kong et al., 2013; Zamir et 256 al., 2014). Various stress response for microbes have been elucidated which includes production of internal 257 storage polymers like PHA's under nutrient limited conditions (Poblete-Castro et al., 2012). This could 258 essentially alter the carbon endpoints in various phases. These difference in carbon recoveries as a function of 259 temperature imply changing metabolic pathways for substrate utilization.

260 3.1.2 Link between temperature and community to degradation products.

261 In addition, variations in temperature can also affect the community structure evolution in a biofilter (Nadarajah 262 et al., 2007; Wang et al., 2012) which can ultimately influence the various degradation end-products. Change in 263 community structure after long term operations at different operating temperature has been reported 264 (Mohammad et al., 2007). This suggests a significant effect of operating parameters such as temperature on 265 microbial activity changes the dominant degrading community leading to temporal change in community 266 structure. Kong et al. (2013) found differences in the microbial metabolic characteristics and microbial 267 community between thermophilic and mesophilic biofilters degrading toluene. However, the dissimilarity 268 decreased with time over longer-term operation of up to 296 days. It was suggested that long term exposure can 269 help in the proliferation of an aptly adapted community. Estrada et al. (2013) reported variations in 270 mineralization for bacterial and fungal biofilters degrading a VOC mixture at similar conditions. Bacteria had a 271 higher fraction of mineralization (63 %) compared to fungi (43 %). This could translate into different specific 272 degradation rates across communities which are also likely to influence the fate of degradation products.

Lu et al. (1999) found rod-shaped bacteria as the dominant community at 15 °C which changed to a 273 274 predominance of bacilli and cocci at 50 °C in a biofilter treating BTEX vapors. Cox et al. (2001) found rod-275 shaped bacteria, yeasts and fungi in moderate concentration at the high temperature biofilter operating at 53 °C 276 implying the presence of thermophilic ethanol degrading community. They also observed greater microbial 277 diversity in the biofilters at ambient temperature than at higher temperatures. The biofilter mineralised 60% of 278 the ethanol at 53 °C as opposed to 46% at ambient temperature. Gallastegui et al. (2013) attributed a two-fold 279 higher mineralization to CO_2 for toluene than ethylbenzene to the dominant degrading community in the 280 biofilter speculated to be fungi. However, the individual contribution of bacteria and fungi was not ascertained. 281 They postulated a synergistic interaction between the bacteria and fungi which was previously reported to 282 influence the mineralization of aromatic hydrocarbons (You-Qing et al., 2008). These adaptations to

temperature can influence a change in community structure with substrate degrading capability. Evolution of a 283 284 different community would imply different metabolic pathways, which could affect the fate of carbon. Kong et al. (2013) found lower metabolic activities in thermophilic biofilters compared to mesophilic biofilters during 285 286 the early phases but showed comparable values over long term operation (181 days). This study gave interesting 287 insights on the temperature-microorganism dynamics in biofilters. These temperature-mediated attributes have 288 illustrated a direct impact on the eventual degradation of the pollutants by the microbial adaptation to the 289 changing temperature. The limited results available show that higher temperatures increase VOC mineralisation. 290 This indicates a temperature-driven phenomenon of regulating the diversion of substrate degradation end-points. 291 However, detailed knowledge on the intrinsic relationship of temperature with other environmental parameters 292 on the fate of the degraded carbon is still limited.

293

294 3.2 Water

295 Sufficient water availability is required for all bioremediation including biofiltration (Coronado et al., 2014). In 296 biofiltration, water availability in the bed can be measured using water potential (ψ). This is the energy status of 297 the water in a system and is cumulatively comprised of osmotic potential (ψ_{π}), matric potential (ψ_{m}), 298 gravitational potential (ψ_{0}), pressure potential (ψ_{0}) and overburden potential (ψ_{0}) (Papendick and Campbell, 299 1981). In biofiltration, matric potential tends to dominate at wet conditions but at low water contents osmotic 300 potential can have an influence. Mobile water is held in the packing by capillary forces and gravitational forces. 301 At saturation, the pores are completely filled with water resulting in zero matric potential (ψ_m) (Papendick and 302 Campbell, 1981). As the water potential (ψ) decreases, water is drained out of the pores generating drier 303 conditions and making it more difficult for the microorganism to utilize the water for their metabolic activity.

304 3.2.1 Transient water content dynamics in biofiltration

305 The water content of the packing material is critical to the microbial community and pollutant abatement in 306 biofiltration. A change in water content in the packing materials is driven by both operational parameters and 307 microbial kinetics. Both organic and inorganic packing materials have been used in biofiltration with varying 308 hydrodynamic properties. Organic materials offers the advantage of residual inorganic nutrients and better water 309 holding capacities whereas inorganic packing are more robust and possess higher surface areas (Dorado et al., 310 2010). Drying of the packing material can occur due to incomplete humidification of inlet air stream or 311 microbial heat generation (Morales et al., 2003). Sakuma et al. (2009) reported drying at the inlet port of a 312 biofilter reduced its performance. Microbial oxidation is an exothermic process; the metabolic heat generated from pollutant oxidation can increase the bed temperature thereby lowering the bed water content (Gostomski et 313 314 al., 1997; Mysliwiec et al., 2001). Thus maintaining optimal water content is vital to the microbial process as 315 water related stress can induce physiological responses that can be detrimental to process efficacy.

316

318 3.2.2 Microbial response to water stress

319 Microbes exhibit an intricate set of physiological adaptions to transient hydration dynamics in unsaturated 320 media like soil. Lower water potential can result is a drastic change to osmotic potential which directly affects 321 the osmoregulation and cell turgor pressure. Cellular dehydration can also cause protein denaturation and 322 structural damage to DNA. Drier conditions can also impair nutrient flux as water serves as a transport medium 323 for nutrients to cells(Or et al., 2007a). Most bacteria produce extracellular polysaccharides (EPS) for their 324 increased water holding capacity in low water content habitats (Holden et al., 1997; Van De Mortel and 325 Halverson, 2004). Schimel et al. (2007) illustrated the microbial response via allocation of resources upon 326 decreasing water potential. They proposed that during stressed conditions, microbes are compelled to produce 327 protective molecules such as osmolytes and chaperones to maintain cellular integrity.

Various studies have linked water stress response to specific gene expressions. *Pseudomonas putida* induces alginate synthesis in response to an imposed water stress of -0.04 MPa along with genes responsible for trehalose biosynthesis (Gülez et al., 2012). Johnson et al. (2011) found that for *Sphingomonas wittichi* strain RW1 at a lower water potential (-0.25 MPa), the expression of genes involved with trehalose and exopolysaccharide biosynthesis increased and the expression of genes responsible for flagella biosynthesis decreased. They also found significant changes in membrane fatty acid components. Dmitrieva and Burg (2007) illustrated damage to the protein and DNA synthesis pathways as direct response to water stress.

335 Changing water potential influences the microbial community depending on the inherent acclimatization 336 machinery at its disposal (Harris, 1981). Often water stress can shift the community structure with time. Sun et 337 al. (2002) found an increase in bacterial population with increasing moisture content while the actinomycetes 338 and moulds decreased. Lower surface colonization by P. putida KT2440 at negative water potentials was 339 reported by Descene et al. (2008). They attributed this to limited bacterial motility due to shallow liquid films at 340 the surface of the pores in the experimental system. Prenafeta-Boldú et al. (2012) reported sustained biofilter 341 degradation by a mixed bacterial and fungal community in xerophilic conditions (water content was ~20%) 342 dominated by fungi, especially Exophiala oligosperma. Fungi are known to thrive in low moisture conditions, which can influence the degradation end-products as the metabolic responses vary among different groups of 343 344 microbial communities.

345 3.2.3 Effect of matric potential on degraded carbon end-points

346 Variation in CO₂ recovery fractions with changing matric potential implies changing metabolic pathways due to 347 the imposed water stress. Lower matric potential brings in physical constraints like impaired solute diffusivity 348 and microbial motility owing to reduction in hydrated pathways (Or et al., 2007b). This could render the process 349 biologically limited due to reduced water availability for cellular function. At the optimal matric potential 350 requisite cellular functions for maintenance can be easily met through catabolic energy generation process 351 leading to higher pollutant mineralization to CO₂. This shift in substrate degradation products towards non-352 mineralized fractions as a function of matric potential could have immense significance in elucidating carbon-353 endpoints.

Water stress could potentially trigger a stress response maintaining intracellular osmolyte concentration to maintain cell turgor pressure (Van De Mortel and Halverson, 2004). Carbon from the substrate is required for production of osmolytes. The generation of osmolytes has been well documented as a mechanism induced in response to environmental stress. But at lower matric potential, the drier environment can drier conditions could cause a temporary surge in accumulation and osmolyte production (Kakumanu and Williams, 2014). In response to the drier environment, the microorganisms induce genes for producing important solutes like ectoine (LeBlanc et al., 2008), and protective proteins like chaperonin (Katoh et al., 2004).

361 Drier conditions at lower matric potentials can also facilitate fungal proliferation in biofilters because of their 362 ability to thrive under such conditions (Gallastegui et al., 2013; Prenafeta-Boldú et al., 2008). It underlines the 363 effect on microbial activity in response to transient gradients in water content in unsaturated surfaces commonly 364 encountered in soils (Long and Or, 2009). However, the fate of degraded carbon is dependent on the dominant 365 degrading community which dominates over time. Estrada et al. (2013) did a comparative study with a VOC mixture on bacterial and fungal biofiltration. They found higher VOC mineralization by bacteria (~63%) 366 367 compared to fungi (~43%). Thus, the eventual fate of the degraded carbon was influenced by variations in 368 matric potential and its associated effects on the microenvironment such as the microbial community.

369 3.3 Effect of substrate concentration on the fate of degraded carbon

370 Higher substrate concentrations can influence the active degrading community due to substrate toxicity(Song 371 and Kinney, 2005). Kim et al. (2005b) found impaired degradation capability at higher inlet concentrations 372 which can simultaneously alter microbial metabolism especially in a nutrient-limited system. Higher residual 373 toluene can increase the stress on the process culture, which can lead to variation in degradation products from 374 CO₂ recovery to other non-mineralized fractions. Various stress responses for microbes have been elucidated 375 which includes production of internal storage polymers like PHAs under nutrient limited conditions (Poblete-376 Castro et al., 2012). Also, higher substrate concentrations might affect the non-degrading community if the 377 concentrations are beyond the inhibitory range. Under favourable environmental conditions, they can contribute 378 to increased mineralization by predation (Bhaskaran et al., 2008; Cox and Deshusses, 1999; Woertz et al., 379 2002).

Tracking the influence of interaction between environmental parameters on the fate of various degraded fractions can help to understand the process culture's metabolic response. Under nutrient-limited conditions, the assumption is there is no net biomass growth in biofilters (Cherry and Thompson, 1997). Since CO₂ recovery is never 100% as reported in the literature, it suggests a diversion of degraded carbon towards other products as influenced by the environmental parameters. For a nutrient-limited system, a plausible sink would be accumulation of EPS, storage polymers and soluble microbial products (SMP).

The C/N ratio of the influent also influences the composition of EPS in terms of carbohydrates and proteins (Durmaz and Sanin, 2003). Moreover EPS can be degraded by bacteria as a source of carbon and energy under substrate limited conditions (Kommedal et al., 2001). However, carbon extraction studies of microbial biomass in these systems are limited but seldom show significant carbon accumulation in the biofilms (Fürer and Deshusses, 2000; Song and Kinney, 2000; Vance et al., 1987). Nutrient limited systems rarely plug up which

- begs the question of other possible carbon sinks for biofilms in stationary phase degrading pollutants using
- 392 maintenance kinetics. There are also reports of microbes producing more EPS at lower temperatures (Le Bihan
- and Lessard, 2000). Less CO_2 recovery is an indication of the culture possibly producing EPS and other internal
- 394 storage polymers for survival and associated benefits of nutrient pooling (Xavier and Foster, 2007). This further
- illustrates the interdependence and effect of multiple environmental parameters on the fate of degraded carbon
- in these engineered systems.

397 3.4 Physiological implications of non-mineralized fractions/biomass production

398 Maintenance metabolism assumes significance over active growth in a biofilters which are nutrient limited 399 (Grove et al., 2009). Changing environmental conditions can significantly impact maintenance requirements 400 which can increase under stress conditions. Several studies have reported lower biomass yields at higher 401 temperatures, attributing it to increased cellular maintenance requirements with temperature (Cho et al., 2007; 402 Cox et al., 2001; Luvsanjamba et al., 2007). Nasrin et al. (2011) reported that lower matric potential also impairs 403 biomass yield and can initiate a change in microbial community structure. So these stress conditions may affect 404 the metabolic pathways by either a reduction in the fraction of the active community or impaired activity itself 405 at specific set of conditions. Simultaneously, there can be a shift in community structure with changes in 406 environmental parameters (Bhaskaran et al., 2008; Cabrol et al., 2012; Kong et al., 2013; Wallenstein and Hall, 407 2012).

408 For both growth and maintenance, the bioenergetics in the cells is mediated through the catabolic and anabolic 409 reactions which happen separately. But they are intricately coupled with the total energy expenditure which is 410 partitioned into biomass and maintenance functions (Russell and Cook, 1995). However cells are not capable of 411 utilizing all the energy for cellular functions. Xiao and VanBriesen (2006) estimated a 60% average energy 412 capture efficiency. The rest of the energy is dissipated into the system as heat. But this energy capture efficiency 413 is not constant and is subject to change with different substrates, strains and environmental conditions. (Von 414 Stockar et al., 2006) suggested that for low growth systems, only a small amount of biomass is formed per 415 substrate consumed as opposed to a high growth system where biomass formed per substrate consumed would 416 be high. Zafar et al. (2014) correlated the variance in maintenance energy expenditure to changing specific 417 growth rates and yields cumulatively comprising of biomass and PHB production.

418 Good empirical results identifying variance in biomass yields can also be interpreted through the energy 419 dependent kinetics. At certain environmental conditions, more precisely at lower matric potential, higher 420 substrate concentrations or higher temperature, the additional stress can increase the maintenance requirements. 421 Without any driving force for biomass growth in the absence of nitrogen, resource allocation is most likely 422 diverted towards metabolic pathways for production of storage polymers. So the variance in non-mineralized 423 yield can be possibly attributed to the influence and interactions of environmental parameters which make it 424 imperative for the microbial community to adapt to changing conditions. The observed yield changes can 425 involve a shift in community structure with the predominance of a single community or co-existence of a 426 diverse active community degrading toluene. Further metagenomics work is required to conclusively determine 427 if there is a change in the active community fractions with changing conditions.

428

429

4 Conclusions 430

431 Knowledge of the carbon end-points could bridge the connection between functionalities, community 432 structure and metabolic response if coupled with high throughput molecular biology techniques. It can be 433 deduced from the literature that conclusive quantification of the fate across the range of pollutants is often 434 lacking. Further review of the environmental parameters of the various studies provided a critical link to the 435 variations in carbon end-points as a key component in regulating the degradation pathways. Robust empirical 436 data on carbon recovery should serve as good framework for monitoring and deciding operating conditions 437 based on the prior knowledge of factors influencing the end-points for stable and improved system efficacy. The 438 influence of key parameters should reflect on the fate of the degradation products in response to the metabolic 439 pathways of the active degrading community. So, unravelling the various facets of substrate utilization, carbon 440 end-points in particular could lead to a better understanding of the fate of pollutants in the biofiltration of waste 441 gases. In addition, insights on the interactions of various environmental parameters on substrate metabolism 442 pertaining to various end products could help solve operational problems like clogging and start-up times.

443

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1	Fate of degraded pollutants in waste gas biofiltration: An overview of carbon end-points.
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9	Abstract
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11	The fate of the carbon from degraded pollutants in biofiltration is not well understood. The issue of missing
12	carbon needs to be addressed quantitatively to better understand and model biofilter performance. Elucidating
13	the various carbon end-points in various phases should contribute to the fundamental understanding of the
14	degradation kinetics and metabolic pathways as a function of various environmental parameters. This article
15	reviews the implications of key environmental parameters on the carbon end-points. Various studies are
16	evaluated reporting carbon recovery over a multitude of parameters and operational conditions with respect to
17	the analytical measurements and reported distribution of the carbon end-points.
18	
19	Keywords: Biofiltration, Carbon balance, Volatile organic carbon (VOC), Biofilms
20	
21	1 Introduction
22	Biofiltration provides a clean, cost effective, and environmentally friendly technology using mass transfer and
23	microbial oxidation to degrade organic pollutants (Devinny et al., 1999; Kennes, 2012). The biofiltration
24	process is used effectively for treating large streams of air contaminated with low concentrations (<1000 ppm)
25	of pollutants (Iranpour et al., 2005). Biofilters are packed bed bioreactors degrading pollutants through a
26	complex and mixed culture of microorganisms forming a pollutant-degrading biofilm on the porous bed
27	medium. It has been successfully applied to treat a wide spectrum of organic and inorganic pollutants as well as
28	a means to abate odours (Gallastegui et al., 2011; Girard et al., 2011; Mudliar et al., 2010; Ryu et al., 2009).
29	Biofilms are often growth restricted (e.g nutrient limited, etc.) especially in soil and various industrial
30	processes such as biofiltration but possess the inherent ability to break down organic pollutants (Jorio et al.,

31 2000a; Li et al., 2002; Xi et al., 2006). Biofilms proliferating in these dynamic environmental conditions are 32 commonly unsaturated, operating at the air/solid interface. Many attempts have been made to close the carbon

33 balance in these systems; however 10-50% of the degraded carbon often remains untracked (Avalos Ramirez et

34 al., 2008; Cox et al., 2001; Deshusses, 1997b; Girard et al., 2011; Morales, 1998; Song and Kinney, 2000). In

35 spite of the importance of these growth restricted, unsaturated biofilm processes in engineered systems, certain

36 aspects of their activity/metabolism remain unclear, particularly the ultimate fate of carbon entering these

37 systems.

Commonly assumed carbon end-points for organic carbon substrates are CO₂, active biomass and extracellular 38 39 polymeric substances (EPS). Other plausible carbon end-points include soluble microbial products (Jiang et al., 40 2010; Meng et al., 2009; Ni and Yu, 2011), soluble metabolites (Díaz et al., 2008), internal storage polymers 41 (Reis et al., 2003) and volatile substances such as carbon monoxide (Haarstad et al., 2006). A common 42 assumption is that the untracked carbon is utilised for microbial growth (biomass) but carbon extraction studies 43 have not corroborated this hypothesis (Fürer and Deshusses, 2000; Song and Kinney, 2000; Vance et al., 1987). 44 Accumulation of missing carbon within the system as biomass could clog up the reactor bed which is contrary to 45 reports in non-growth systems (Deshusses, 1997b; Singh et al., 2006). Whilst microbes undoubtedly convert a 46 portion of the organic substrates into soluble microbial products and other metabolites, their identities and 47 relation to biodegradation of substrates remain to be fully investigated (Díaz et al., 2008; Kim et al., 2005b; 48 Magbanua and Bowers, 2006). In the gaseous effluents, no other compounds other than CO_2 and untreated 49 substrates are normally reported. There are limited reports of carbon monoxide reported in the off gas of some 50 biodegradation processes (Haarstad et al., 2006; Hellebrand and Schade, 2008), and the possibilities of other 51 unreported biogenic emissions cannot be ruled out. Thus, the carbon balance in these systems is yet to be closed 52 conclusively and the identity of the unaccounted carbon remains elusive. This review presents a compilation of 53 the various investigations tracking carbon and presents a link to the critical environmental parameters 54 influencing the conversion to different degradation end-points.

55 2 Carbon balance and fate of pollutants

The biofilms in oxidative microbial processes in the waste gas treatment industry degrade waste organic compounds (C_xH_y) to CO₂, biomass and other metabolites (Deshusses, 1997a). The particular biochemical reaction catalyzed by the microorganisms proceeds via different pathways depending on the pollutant and nutrient availability. Under nutrient-limited conditions, a typical oxidation reaction for a hydrocarbon leads to the production of CO₂, water and heat which can be represented as follows:

61
$$C_x H_y + b O_2 \rightarrow x C O_2 + c H_2 O + Heat$$
 (1)

However, in the presence of sufficient nutrients, pollutant oxidation results in the formation of biomass along
with other degradation products (Delhomenie et al., 2005) :

$$aC_{x}H_{y} + bO_{2} + cNH_{3} \rightarrow CH_{1.8}N_{0.2}O_{0.5} + dH_{2}O + Heat + eCO_{2}$$

$$(2)$$

66

67 Where, $CH_{1.8}N_{0.2}O_{0.5}$ represents a generic formula for biomass.

 CO_2 production is often a good indicator of the biological activity of the microbes, and it complements the tracking of pollutant degradation for the evaluation of a biofilter's performance efficacy (Bester et al., 2011; García-Peña et al., 2008). So it is common practice to monitor CO_2 production but limited success has been achieved in exhaustively pinning down the carbon flux through the system to its final end-points in different phases. Usually CO_2 measurement is for optimizing operating parameters to improve stability and process efficacy. Attempts to close the carbon balance is uneven, with 10 - 50 % of the degraded carbon missing (Avalos Ramirez et al., 2008; Cox et al., 2001; Deshusses, 1997b; Girard et al., 2011; Morales, 1998; Song and
Kinney, 2000).

76 A major portion of the degraded organic carbon is released as CO₂ (Jiménez et al., 2016; Jorio et al., 2000a; Li 77 et al., 2002; Wang et al., 2012; Xi et al., 2006). From the CO₂ measurements, the remaining carbon fraction is 78 often assumed to be biomass and is estimated based on the difference between the degraded pollutant and the 79 CO₂ produced. This is because biomass measurements in operating biofilters are difficult. Researchers have 80 estimated the carbon tied up in biomass directly through whole bed measurements combined with assumptions 81 about the water content of wet biomass, representative sampling of the bed combined with the carbon content of 82 cells, yield on nitrogen and chemical oxygen demand (COD)/carbon conversion etc. (Bester et al., 2011; Cox 83 and Deshusses, 1999; Elmrini et al., 2004; Kroukamp and Wolfaardt, 2009). Therefore, the estimate of the 84 carbon content of the biomass involves experimental uncertainties and assumptions, and the carbon mass 85 balance closure in these highly complex systems remains difficult. Hence, there is a pressing need to track the

86 unaccounted carbon in these biofilm processes through a holistic approach.

Most biofilter research performs a molecular balance on the pollutant (e.g. toluene, methane) and explicitly
focusses on the *Consumption* term (e.g. elimination capacity) as a function of a variety of system inputs. As
there is rarely any generation term for the pollutant, the mass balance for the pollutant simplifies to:

$$90 Consumption = Input - Output (3)$$

91 Measuring the CO_2 allows an estimate of the accumulation of carbon in a biofilter by comparing the molar rate 92 of CO_2 production to the molar rate of carbon degraded for the pollutant (i.e. – a carbon balance). This assumes 93 no carbon is leaving the biofilter in the liquid phase or in the gas phase in a compound other than the pollutant 94 or CO_2 .

95	Carbon accumulation = $x[a(C)_{in} - b(C)_{out}] - xc(CO_2)$ (4))
96	$a(C)_{in}$ = molar flow rate of the pollutant entering the biofilter	
97	$b(C)_{out}$ = molar flow rate of the pollutant exiting the biofilter	
98	$c(CO_2)$ = molar flow rate of CO ₂ exiting the reactor (corrected for any CO ₂ present in the	e feed
99	stream).	
100	x = the number of carbon atoms in the molecular structure of the pollutant (1 in the case of C	O ₂)
101	It is this type of balance that is often used for estimates of biomass accumulation as compared to	direct
102	measurements. Tracking the carbon fraction in all three phases should account for the carbon end-points	in the
103	system encompassing the degradation products as a whole. An illustration of how the carbon entering the s	ystem
104	exits or accumulates within the system in the solid, gas and liquid phase is presented in Fig. 1.	

105 Figure 1: Flow chart identifying plausible carbon end-points in the system after toluene degradation.

106 2.1 Gas phase end-points

107 In the biofiltration process, the exiting gas stream is often analysed for CO₂ and un-reacted pollutants. The 108 commonly used methods to analyse effluent gas streams includes gas chromatography with various detectors (TCD, FID) and CO₂ analysers. A few studies have attempted to analyse gas phase components by mass 109 110 spectrometry but have seldom reported anything other than CO₂ and un-degraded organic pollutants (Domeño et 111 al., 2010; Kastner and Das, 2005; Matteau and Ramsay, 1997; Møller et al., 1996).

112 CO₂ recoveries from various studies have ranged from 40-90% as a function of the mode of operation (nutrient-113 limited or nutrient-addition) and variable operational parameters (Deshusses, 1997b; Grove et al., 2009; Jorio et al., 2005; Wang et al., 2012). Cox et al. (2001) reported higher mineralization of ethanol to CO₂ at thermophilic 114 115 conditions (60%) than for a mesophilic biofilter (46%). Carbon recovery as CO_2 was 58% for the biofiltration of 116 binary mixtures of BTEX compounds compared to degradation of single BTEX compounds which ranged from

117 31-53% (García-Peña et al., 2008). Competitive inhibition for these closely related molecules could potentially

- impact the catabolic/anabolic pathways. Hence, the CO₂ production pattern is an important component in 118
- 119 defining the product ratios of degraded carbon end-points. However, the possibility of other unreported biogenic
- 120 emissions in these systems cannot be ruled out.
- 121 The effluent gas stream could possibly contain C-containing intermediates and dissolved. Carbon monoxide 122 formation during solid organic waste degradation has been reported (Haarstad et al., 2006; Hellebrand and 123 Schade, 2008). Normal mass spectrometry in biofiltration would easily miss this compound due to the similar 124
- molecular weight as N₂.

125 2.2 Solid Phase end-points

126 In biofiltration, the pollutants enter the biofilm and are utilized by the acclimatized microbial community as a

127 carbon and/or energy source (Cabrol et al., 2012). The carbon substrate, apart from being mineralized to CO₂ 128 and water for energy production, is partially diverted towards microbial growth and some non-growth associated 129 products (Leson and Winer, 1991). These constituents form the solid phase accumulation in the system.

130 Studies delving into a carbon balance often assume the unaccounted carbon from the system is incorporated into 131 the biomass or associated polymers and polysaccharides without robust quantification. But if the missing carbon 132 reservoir were solely biomass or polysaccharides, this would cause clogging of the reactor beds which is not 133 typically reported in growth-limited systems (Deshusses, 1997b; Singh et al., 2006). In nutrient-limited 134 conditions, maintenance metabolism assumes significance, which means no net increase in active biomass 135 (Cherry and Thompson, 1997). In actively growing systems with nutrient addition, bioreactor clogging is 136 common and has been extensively covered in the literature (Delhoménie et al., 2003; Dorado et al., 2012; 137 Maestre et al., 2007; Weber and Hartmans, 1996; Xi et al., 2006; Yang et al., 2010). However, limited clogging 138 is occasionally reported indicating possible biological equilibrium between primary and secondary degraders 139 (Diks et al., 1994). Although, surprisingly little quantitative knowledge exists on the composition of the biofilm 140 components proliferating in these bioreactor systems.

Biomass yield forms an important parameter in model development which can be quantified from carbon
recovery estimates (Bordel et al., 2008; Grove et al., 2009). Various studies which assumed the fraction of
degraded pollutant not appearing as CO₂ was going to biomass reported biomass yields in the range of 0.17 –
0.43 g biomass per g pollutant (Deshusses, 1997b; Grove et al., 2009; Jorio et al., 2000b; Singh et al., 2006).
However, there is no exhaustive quantification and characterization of these carbon end-points.

146 When a reactor is running on maintenance requirements under nutrient-limited conditions, a complete conversion of substrate into CO_2 is expected (Weber and Hartmans, 1996). However, the CO_2 fraction is 147 148 invariably less than the theoretical estimate and carbon may be assimilated by the biomass in some form. 149 Bacteria produce extracellular polymeric substances (EPS) which make up a major fraction of biofilms and play 150 a very important part in biofilm structure, activity and performance (Sutherland, 2001). The major EPS 151 components are comprised of polysaccharides and proteins in varying fractions but also include nucleic acids 152 and lipids (Flemming and Wingender, 2010). EPS are secreted by the cells to enhance adhesion to substrates, 153 contribute to the biofilm structure and influence microbial activity.

154 Biofilms as dynamic systems respond to environmental conditions physiologically which leads to variations in 155 EPS composition (Schmitt et al., 1995). The origins and composition of EPS are very complex. Therefore a number of factors may affect the EPS composition and quantity, such as the type of limiting substrate (electron 156 157 donor and acceptor), nitrogen and phosphorous limitation, and desiccation (Nielsen et al., 1997). The C/N ratio 158 of the influent also influences the composition of EPS in terms of carbohydrates and proteins (Durmaz and 159 Sanin, 2003). Thus EPS has been related to the macro-scale characteristics of biofilms describing its microbial 160 and structural properties (Ras et al., 2011) and its production is also linked to microbial growth and substrate 161 utilization (Laspidou and Rittmann, 2002). Moreover EPS can be degraded by bacteria as a source of carbon and 162 energy under substrate-limited conditions (Kommedal et al., 2001). However, carbon extraction studies of 163 microbial biomass in these systems are limited but seldom show significant carbon accumulation in the biofilms 164 (Fürer and Deshusses, 2000; Song and Kinney, 2000; Vance et al., 1987). In addition, microbes also accumulates internal storage polymers as cellular reserves often driven by environmental conditions (Poblete-165 166 Castro et al., 2012; Reis et al., 2003; Xavier and Foster, 2007). Nutrient-limited systems rarely plug up which 167 begs the question of other possible carbon sinks for biofilms in stationary phase degrading pollutants.

168 2.3 Liquid phase end-points

169 In addition to making active biomass and EPS, bacteria also convert a fraction of the organic substrate into 170 soluble microbial products (SMPs) (de Silva and Rittmann, 2000; Namkung and Rittmann, 1986). SMPs are 171 defined as soluble organic matter resulting from intermediates or end-products of substrate degradation and 172 endogenous cell decomposition (Barker and Stuckey, 1999; Boero et al., 1991; Magbanua and Bowers, 2006). 173 They have a wide molecular weight distribution, structure and function (Barker and Stuckey, 1999; Magbanua 174 and Bowers, 2006; Rosenberger et al., 2006). A fractionation study by Jiang et al. (2010) studying SMPs in an 175 activated sludge membrane system found proteins and carbohydrates as the major components of SMPs. These 176 SMPs are important because they are ubiquitously present and contribute to the soluble organic matter in 177 biological treatment system effluent (de Silva and Rittmann, 2000; Rosenberger et al., 2006).

The majority of SMP research has been done with pure cultures or wastewater treatment systems. A few waste 178 179 gas biofiltration studies which attempted closing the carbon balance have also reported inorganic and organic carbon in the effluent liquid of the reactor, albeit at a variable percentage (3-39 %) depending on the mode of 180 181 operation (growth and nutrient limited) (Bester et al., 2011; Cox et al., 1998; Girard et al., 2011; Kim et al., 182 2005b). However, their identities and the relationship between substrate biodegradation and SMPs are yet to be 183 determined conclusively in biofiltration. The accumulation of metabolic intermediates during volatile organic 184 carbon (VOC) treatment can inflict a detrimental effect on the process culture and in some cases results in a 185 more toxic form then the parent VOC being treated (Bordel et al., 2007). Duetz et al. (1994) described the 186 toluene-catabolic (TOL) pathway for toluene in strains with the pWWO plasmids that results in toluene being 187 first methyl-oxidized into benzyl alcohol which then leads to benzaldehyde, benzoic acid and catechol, these are 188 then further cleaved at the meta-position. These metabolites have the potential to effect performance efficacy as 189 they can be toxic to microbial communities (Ren and Frymier, 2002). Previously benzyl alcohol has been 190 reported of having mutagenic effects on Pseudomonas putida 54G resulting in loss of toluene degradation 191 capacity (Mirpuri et al., 1997).

192 Furthermore, oxygen limitation within the biofilm can shift metabolism, leading to products other than CO_2 193 (Kim et al., 2005b; Wilshusen et al., 2004; Yang et al., 2002). Oxygen limitations in overloaded biofilms can 194 lead to partially oxidized by-products such as carboxylic acids (Devinny and Hodge, 1995). Metabolic by-195 products during anaerobic degradation of toluene have also been demonstrated but further studies are warranted 196 in aerobic biofilters in identifying transient intermediates (Beller et al., 1992). CO₂ can also be retained in the liquid phase as carbonate (Gallastegui et al., 2011; Morales, 1998; Singh et al., 2006). However, the identities of 197 198 the carbon fractions in the liquid phase of the reactor are yet to be ascertained quantitatively in a controlled 199 situation, and therefore could be a significant sink for the degraded carbon in engineered systems.

Thus, it is evident from the literature thus far, for carbon balances conducted on biofilters, a variable percentage of carbon remains unaccounted for in the system. Usually the emphasis has been largely on process optimization and this fundamental question has met with limited success in the sporadic attempts made in the literature. Table presents a compilation of the literature encompassing biofiltration of various VOCs, where the carbon mass balance has received attention.

Table 1: Compilation of the literature encompassing carbon mass balance studies in biofiltration of various pollutants.

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
1.	Methyl ethyl ketone (MEK)	Biofilter: Non- growth	Compost + redwood chips + horse manure	Indigenous		C-CO ₂ : 82 ± 10 %	Gas Phase: GC FID, CO ₂ : Chemosorb column with TCD.	(Deshusses, 1997b)
2.	Toluene	Biotrickling: Growth	Pall rings	P.corrugata , T. pyriformis , Vorticella microstoma, Klebsiella pneumoniae		C- CO ₂ : 68 %, Biomass: 21 % Liquid: 6 %	Gas Phase: GC FID & TCD, Biomass: Weighing of wet packing + elemental balance, Liquid: TOC	(Cox and Deshusses, 1999)
3.	Toluene	Biofilter: Growth	Peat enriched with nutrients	Acinetobacter hvoffi, Pseudomonas fluorescens, Pseudomonas putida, and Cla vibacter michigenense	Toluene loads, ammonia addition	C-CO ₂ : 44.5 %, Carbonates: 14.3 %, Polymers: 32 %, Biomass: 9.2 %	Gas Phase: GC TCD, Biodegradable fractions were analysed through a digestion protocol.	(Morales, 1998)
4.	Toluene	Biofilter: Non- growth	Compost + bark and lava rocks	Inoculated with recycled liquid from a toluene degrading biotrickling filter		C- CO ₂ : 70 %	C ¹⁴ toluene: scintillation, Gas Phase: GC FID &TCD, infrared CO ₂ analyser	(Fürer and Deshusses, 2000)
5.	Toluene	Vapour phase Bioreactor (VPB): Growth	Porous silicate pellets	Heterotrophic microbial population adapted to toluene	Air flow: Unidirectional (UD), Directionally switching (DS)	C- CO ₂ : 63-66 %, Biomass: 34-37 % Liquid : >1 %	Gas Phase: GC-FID and CO ₂ analyser. Biofilm analysis: COD	(Song and Kinney, 2000)
6.	Toluene, Benzene	Biofilter: Non- growth	Cylindrical activated carbon (CAC)	Heterotrophic population: bacilli, spore bacilli, fungi	Inlet load (IL) and gas flow rate	Toluene - CO ₂ : 64 % Benzene- CO ₂ : 51 % Assumption: Biomass and solute	Gas Phase: GC FID & HPLC, CO ₂ analyser and bacterial counts	(Li et al., 2002)

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
7.	Ethanol	Biofilter: Growth	Polypropylene pall rings	Mixed microbial consortia from active green waste and food compost.	Temperature	C-CO ₂ : 60 % C-biomass: 14 % Unaccounted: 26 %	Gas Phase: GC FID & TCD, Biomass: dry weight, TOC	(Cox et al., 2001)
8.	Toluene and acetone	Trickle bed air biofilter (TBAB): Growth	Coal particles	Activated sludge	Inlet load (IL) and Gas flow rate	C- CO ₂ : 90 % Biomass: 10 %	Gas Phase: GC/FID, THC, and CO ₂ analyzer Biomass: SCOD	(Chang and Lu, 2003)
9.	Ethanol	Biofilter: Growth	Sugarcane bagasse	Candida utilis	Inlet load (IL) and Gas flow	C- CO ₂ : 16-76.3 % C-biomass: 2.8-5.7 % Acetaldehyde:1-7.8 % Ethyl acetate: 14-20 %	CO ₂ : GC with TCD Cell # for biomass calculation	(Christen et al., 2002)
10.	Xylene	Biofilter: Growth	Spherical peat	Microbial activated consortium	Inlet load (IL) and Gas flow	C-CO ₂ : 82% Unaccounted: Assumed as biomass and solute	Gas Phase: THA and CO ₂ analyser	(Elmrini et al., 2004)
11.	Styrene	Biofilter: Growth	Peat and Ceramic	Pseudomonas sp. SR-5	Inlet load (IL) and Gas flow	CO ₂ and other degradation products: 90.4 % Biomass: 9.2 %	Gas Phase: GC/MS and FID, Biomass: Viable cell count and elemental analysis of carbon content	(Jang et al., 2004)
12.	Toluene	TBAB: Growth	Inorganic	Aerobic microbial culture sourced from activated sludge	Non-use / backwashing	C-CO ₂ : 63.2 % C-Liquid :15.5 % Unaccounted: 20.9 %	Gas Phase: GC FID and TOC	(Kim et al., 2005a)

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
13.	Toluene, styrene, methyl ethyl ketone and methyl isobutyl ketone	TBAB: Growth.	Pelletized diatomaceous Earth	Indigenous	Interchanging VOC's	C_CO ₂ : 63 % C-Liquid: 20 % Unaccounted: 15 %	Gas Phase: GC FID and TCD. Liquid: TOC	(Kim et al., 2005b)
14.	Toluene	Biofilter: Growth	Wood chips + propylene spheres	Activated sludge	Inlet load (IL) and Gas flow	CO ₂ : 83% approx. Explicit balance not attempted	Gas Phase: GC FID and TCD, Leachate: TOC	(Xi et al., 2006)
15.	Octane	Biofilter: Growth	Compost and perlite 50/50(v/v)	Mixed consortia adapted to Octane	Inlet concentration plus a shutdown period	CO ₂ recovery: 25 % Remaining carbon assumed as biomass.	Gas Phase: GC FID and CO ₂ analyzer	(Grove et al., 2009)
16.	Methane	Biotrickling: Growth	Inorganic packing	NA*	CH ₄ and nitrate	CO ₂ recovery: 82 % Biomass: 15 %	Gas Phase: THC and CO ₂ analyzer Lixiviate: Ion chromatograph, UV detector, TOC	(Girard et al., 2011)
17.	Toluene and p-xylene	Biofilter	Inert material	NA*	Inlet load (IL)	p-xylene - CO ₂ : 89 % Toluene - CO ₂ : 91 % Accumulation based on conversion of an empirical biomass formula to carbon accumulation rate: 5-8 %	Gas Phase: GC FID and total hydrocarbon analyzer CO ₂ : NDIR CO ₂ analyzer, Leachate: TOC analyzer	(Gallastegui et al., 2011)
18.	Toluene	Biotrickling: Growth	Granular activated carbon (GAC)	Activated sludge	Concentration, gas flow rate and temperature (55 ° C and ambient)	C in CO ₂ : 69 % C in biomass: 30.5 %	Gas Phase: GC FID and CO ₂ analyzer. Leachate: TOC analyzer Fluorescence spectroscopy	(Wang et al., 2012)

No	Pollutant	Biofiltration Mode	Packing	Microbes	Variables	Carbon Balance: Endpoints (gC)	Analytical Methods	Reference
19.	Formaldehyde	Biotrickling: Growth	Perlite	Leachate from previously degrading formaldehyde biofilter	Inlet load (IL) and ozone addition	CO ₂ : 27 % Leachate: 2.7 % Biomass: 2.2 %	Gas Phase: GC FID Liquid: TOC analyzer and GC/MS/SPME Solid: TOC analyzer	Maldonado-Diaz and Arriaga, 2015)
20.	Toluene	Biotrickling: Growth	Perlite	Activated sludge	Inlet load (IL)	CO ₂ : 76.3 % Leachate: 1 % Biomass: 8.9 %	Gas Phase: GC FID & TC Liquid: TOC anlyzer Solid: Volatile solids combustion method (550°C)	(Jiménez et al., 2016)
21.	Cumene	Biotrickling: Growth	Loofa sponge	Indigenous soil microbes from petroleum site	Inlet load (IL)	CO ₂ : 0.12 % Leachate: 70 % Biomass: 12.9 %	Gas Phase: GC FID Liquid: TOC analyzer and GC-MS Solid: TOC analyzer	(Shahi et al., 2016)
22.	Methane	Biotrickling: Growth	Inert packing	Activated sludge	Pseudo steady state, transient state (shock loads), and starvation conditions.	CO ₂ : 66-88 %	Gas Phase: Hydrocarbon analyzer and CO ₂ analyzer.	(Ferdowsi et al., 2016)
23.	Tricholorethylene (TCE) and Methanol	Biotrickling: Growth	Diatomaceous earth pellets	Fungi	BiofilterI-(70%methanolto30%TCE)BiofilterII-BiofilterII-(80%methanolto20%TCE)	Carbon recovery: Biofilters I - 88.45% ± 4.63% Biofilters II 86.5% ± 4.35%	Gas Phase: GC FID and TC Liquid: TOC anlyzer Solid: Volatile solids combustion (Standard Methods 2540G)	(Chheda and Sorial, 2017)
24.	Toluene	Differential Biofilter: Non -growth	Soil and Biofilm	<i>Pseudomonas</i> <i>putida</i> , endogenous soil micromes	Bed configuration (Biofilm vs soil)	Biofilm: $CO_2: 79 \pm 0.6 \%$ Leachate: $10 \pm 0.5\%$ Biomass: $7.7 \pm 1.5 \%$ Soil: $CO_2: 81 \pm 3 \%$	Gas Phase: GC FID Liquid: TOC analyzer Solid: TOC analyzer	(Bordoloi and Gostomski, 2018)

208 3 Influence of environmental parameters on carbon-endpoints

3.1 Temperature

210 Like any biological system, temperature is a critical operational parameter for the biofiltration process 211 (Delhoménie and Heitz, 2005; Mudliar et al., 2010). Temperature is a defining factor for microbial activity both 212 in terms of proliferation and biodegradation rates in engineered systems (Devinny et al., 1999; Jin et al., 2007; 213 Vergara-Fernández et al., 2012). The majority of the biofiltration reports are in the 15-40 °C temperature range 214 (Delhomenie et al., 2005; Jin et al., 2007; Shareefdeen et al., 2009; Vergara-Fernández et al., 2012). 215 Thermophilic biofiltration, while less well studied, has reported higher activity over the 45-70 °C range 216 (Luvsanjamba et al., 2007; Mohammad et al., 2007; Montes et al., 2014). As biofilters are often exposed to 217 fluctuating temperatures, tracking the temperature-mediated impact on degradation end-products provides a vital 218 link to the mechanistic understanding of the microorganism's physiological response.

219 3.1.1 Temperature mediated response on substrate utilization

220 Temperature variations in biofilters have a profound effect on the physical, biological and chemical aspects of 221 biofiltration process parameters (Darlington et al., 2001; Veiga and Kennes, 2001). Temperatures below the 222 optimal range inhibits microbial growth. It can structurally affect the lipids in the cell membrane hampering 223 membrane transport machinery (D'Amico et al., 2003; Nedwell, 1999). Darlington et al. (2001) found a greater 224 effect on substrate affinity than microbial activity at 20 °C. Higher temperatures can lead to drier conditions due 225 to excess evaporation of bed moisture (Mohammad et al., 2007). Dry conditions can also favor fungal 226 proliferation over bacteria (Nikolova and Nenov, 2005). Another potential problem is a temperature increase 227 can decrease the solubility of pollutants and oxygen (Zhu et al., 2004). Zamir et al. (2014) reported a significant decrease in removal efficiency from 70-100% at 35 °C to 25% at 40 °C attributed to a decreased solubility of n-228 229 hexane at high temperature. High temperature can also induce protein denaturation and cell death as observed by 230 Kong et al. (2013). However, limited knowledge exists on the effect of temperature on microbial community 231 and metabolic pathways for biodegradation of volatile organic compounds. Most biofiltration research focuses 232 on the impact of temperature on activity and performance without correlating it to the degradation product 233 ratios.

Usually higher mineralization to CO_2 at increased temperatures may be coupled with maintenance requirements 234 235 which are expected to take precedence in a nitrogen-limited system with the assumption of no active growth. 236 The flow of electrons from the substrate leads to energy generation with a part of it dissipated as heat (Xiao and 237 VanBriesen, 2006). In a nutrient-limited system with a continuous source of carbon/energy, the active 238 population can be driven by the requisite energy for maintenance without any active growth. The performance 239 of two reactors degrading ethanol at mesophilic and thermophilic temperature was monitored by Cox et al. 240 (2001). Although the removal efficiencies were similar for both the reactors, there was marked difference in the 241 amounts of CO₂ production and biomass accumulation. Higher temperature showed greater mineralization to 242 CO₂ (60 %) as opposed to 46 % at ambient conditions. In another study treating toluene, 70% of the toluene was 243 mineralized to CO_2 at an operating temperature of 55 °C, which was higher than the 53% observed at ambient 244 temperatures (20-30 °C) (Wang et al., 2012).

Although temperature increases the metabolic activity of microbes, it can also simultaneously increase the maintenance requirements of the process culture (Cox et al., 2001). The microbes, as postulated to be in maintenance mode, must have sufficient energy to expend on maintenance requirements for the cells to survive through catabolic conversions. Without the supplement of nitrogen, the metabolic pathways are likely to be directed towards more energy generation unless there is an apparent advantage for the microbes to produce EPS. This is reflected in the higher CO_2 recovery at the highest temperatures.

251 Variation in mineralization pattern suggests microbial adaptation to different temperatures indicating a change 252 in metabolic pathways which could affect the fate of carbon in the system. Tracking the temperature mediated 253 response to degradation end-products could provide a vital link to the mechanistic understanding of the microorganism's physiological response. Temperatures not favourable to the degraders may result in decreased 254 255 substrate affinity and/or impaired microbial activity putting stress on the microbes (Kong et al., 2013; Zamir et 256 al., 2014). Various stress response for microbes have been elucidated which includes production of internal 257 storage polymers like PHA's under nutrient limited conditions (Poblete-Castro et al., 2012). This could 258 essentially alter the carbon endpoints in various phases. These difference in carbon recoveries as a function of 259 temperature imply changing metabolic pathways for substrate utilization.

260 3.1.2 Link between temperature and community to degradation products.

261 In addition, variations in temperature can also affect the community structure evolution in a biofilter (Nadarajah 262 et al., 2007; Wang et al., 2012) which can ultimately influence the various degradation end-products. Change in 263 community structure after long term operations at different operating temperature has been reported 264 (Mohammad et al., 2007). This suggests a significant effect of operating parameters such as temperature on 265 microbial activity changes the dominant degrading community leading to temporal change in community 266 structure. Kong et al. (2013) found differences in the microbial metabolic characteristics and microbial 267 community between thermophilic and mesophilic biofilters degrading toluene. However, the dissimilarity 268 decreased with time over longer-term operation of up to 296 days. It was suggested that long term exposure can 269 help in the proliferation of an aptly adapted community. Estrada et al. (2013) reported variations in 270 mineralization for bacterial and fungal biofilters degrading a VOC mixture at similar conditions. Bacteria had a 271 higher fraction of mineralization (63 %) compared to fungi (43 %). This could translate into different specific 272 degradation rates across communities which are also likely to influence the fate of degradation products.

Lu et al. (1999) found rod-shaped bacteria as the dominant community at 15 °C which changed to a 273 274 predominance of bacilli and cocci at 50 °C in a biofilter treating BTEX vapors. Cox et al. (2001) found rod-275 shaped bacteria, yeasts and fungi in moderate concentration at the high temperature biofilter operating at 53 °C 276 implying the presence of thermophilic ethanol degrading community. They also observed greater microbial 277 diversity in the biofilters at ambient temperature than at higher temperatures. The biofilter mineralised 60% of 278 the ethanol at 53 °C as opposed to 46% at ambient temperature. Gallastegui et al. (2013) attributed a two-fold 279 higher mineralization to CO_2 for toluene than ethylbenzene to the dominant degrading community in the 280 biofilter speculated to be fungi. However, the individual contribution of bacteria and fungi was not ascertained. 281 They postulated a synergistic interaction between the bacteria and fungi which was previously reported to 282 influence the mineralization of aromatic hydrocarbons (You-Qing et al., 2008). These adaptations to

temperature can influence a change in community structure with substrate degrading capability. Evolution of a 283 284 different community would imply different metabolic pathways, which could affect the fate of carbon. Kong et 285 al. (2013) found lower metabolic activities in thermophilic biofilters compared to mesophilic biofilters during 286 the early phases but showed comparable values over long term operation (181 days). This study gave interesting 287 insights on the temperature-microorganism dynamics in biofilters. These temperature-mediated attributes have 288 illustrated a direct impact on the eventual degradation of the pollutants by the microbial adaptation to the 289 changing temperature. The limited results available show that higher temperatures increase VOC mineralisation. 290 This indicates a temperature-driven phenomenon of regulating the diversion of substrate degradation end-points. 291 However, detailed knowledge on the intrinsic relationship of temperature with other environmental parameters 292 on the fate of the degraded carbon is still limited.

293

294 3.2 Water

295 Sufficient water availability is required for all bioremediation including biofiltration (Coronado et al., 2014). In 296 biofiltration, water availability in the bed can be measured using water potential (ψ). This is the energy status of 297 the water in a system and is cumulatively comprised of osmotic potential (ψ_{π}), matric potential (ψ_{m}), 298 gravitational potential (ψ_{0}), pressure potential (ψ_{0}) and overburden potential (ψ_{0}) (Papendick and Campbell, 299 1981). In biofiltration, matric potential tends to dominate at wet conditions but at low water contents osmotic 300 potential can have an influence. Mobile water is held in the packing by capillary forces and gravitational forces. 301 At saturation, the pores are completely filled with water resulting in zero matric potential (ψ_m) (Papendick and 302 Campbell, 1981). As the water potential (ψ) decreases, water is drained out of the pores generating drier 303 conditions and making it more difficult for the microorganism to utilize the water for their metabolic activity.

304 3.2.1 Transient water content dynamics in biofiltration

305 The water content of the packing material is critical to the microbial community and pollutant abatement in 306 biofiltration. A change in water content in the packing materials is driven by both operational parameters and 307 microbial kinetics. Both organic and inorganic packing materials have been used in biofiltration with varying 308 hydrodynamic properties. Organic materials offers the advantage of residual inorganic nutrients and better water 309 holding capacities whereas inorganic packing are more robust and possess higher surface areas (Dorado et al., 310 2010). Drying of the packing material can occur due to incomplete humidification of inlet air stream or 311 microbial heat generation (Morales et al., 2003). Sakuma et al. (2009) reported drying at the inlet port of a 312 biofilter reduced its performance. Microbial oxidation is an exothermic process; the metabolic heat generated 313 from pollutant oxidation can increase the bed temperature thereby lowering the bed water content (Gostomski et 314 al., 1997; Mysliwiec et al., 2001). Thus maintaining optimal water content is vital to the microbial process as 315 water related stress can induce physiological responses that can be detrimental to process efficacy.

316

318 3.2.2 Microbial response to water stress

319 Microbes exhibit an intricate set of physiological adaptions to transient hydration dynamics in unsaturated 320 media like soil. Lower water potential can result is a drastic change to osmotic potential which directly affects 321 the osmoregulation and cell turgor pressure. Cellular dehydration can also cause protein denaturation and 322 structural damage to DNA. Drier conditions can also impair nutrient flux as water serves as a transport medium 323 for nutrients to cells(Or et al., 2007a). Most bacteria produce extracellular polysaccharides (EPS) for their 324 increased water holding capacity in low water content habitats (Holden et al., 1997; Van De Mortel and 325 Halverson, 2004). Schimel et al. (2007) illustrated the microbial response via allocation of resources upon 326 decreasing water potential. They proposed that during stressed conditions, microbes are compelled to produce 327 protective molecules such as osmolytes and chaperones to maintain cellular integrity.

Various studies have linked water stress response to specific gene expressions. *Pseudomonas putida* induces alginate synthesis in response to an imposed water stress of -0.04 MPa along with genes responsible for trehalose biosynthesis (Gülez et al., 2012). Johnson et al. (2011) found that for *Sphingomonas wittichi* strain RW1 at a lower water potential (-0.25 MPa), the expression of genes involved with trehalose and exopolysaccharide biosynthesis increased and the expression of genes responsible for flagella biosynthesis decreased. They also found significant changes in membrane fatty acid components. Dmitrieva and Burg (2007) illustrated damage to the protein and DNA synthesis pathways as direct response to water stress.

335 Changing water potential influences the microbial community depending on the inherent acclimatization 336 machinery at its disposal (Harris, 1981). Often water stress can shift the community structure with time. Sun et 337 al. (2002) found an increase in bacterial population with increasing moisture content while the actinomycetes 338 and moulds decreased. Lower surface colonization by P. putida KT2440 at negative water potentials was 339 reported by Descene et al. (2008). They attributed this to limited bacterial motility due to shallow liquid films at 340 the surface of the pores in the experimental system. Prenafeta-Boldú et al. (2012) reported sustained biofilter 341 degradation by a mixed bacterial and fungal community in xerophilic conditions (water content was $\sim 20\%$) 342 dominated by fungi, especially Exophiala oligosperma. Fungi are known to thrive in low moisture conditions, which can influence the degradation end-products as the metabolic responses vary among different groups of 343 344 microbial communities.

345 3.2.3 Effect of matric potential on degraded carbon end-points

346 Variation in CO₂ recovery fractions with changing matric potential implies changing metabolic pathways due to 347 the imposed water stress. Lower matric potential brings in physical constraints like impaired solute diffusivity 348 and microbial motility owing to reduction in hydrated pathways (Or et al., 2007b). This could render the process 349 biologically limited due to reduced water availability for cellular function. At the optimal matric potential 350 requisite cellular functions for maintenance can be easily met through catabolic energy generation process 351 leading to higher pollutant mineralization to CO₂. This shift in substrate degradation products towards non-352 mineralized fractions as a function of matric potential could have immense significance in elucidating carbon-353 endpoints.

Water stress could potentially trigger a stress response maintaining intracellular osmolyte concentration to maintain cell turgor pressure (Van De Mortel and Halverson, 2004). Carbon from the substrate is required for production of osmolytes. The generation of osmolytes has been well documented as a mechanism induced in response to environmental stress. But at lower matric potential, the drier environment can drier conditions could cause a temporary surge in accumulation and osmolyte production (Kakumanu and Williams, 2014). In response to the drier environment, the microorganisms induce genes for producing important solutes like ectoine (LeBlanc et al., 2008), and protective proteins like chaperonin (Katoh et al., 2004).

361 Drier conditions at lower matric potentials can also facilitate fungal proliferation in biofilters because of their 362 ability to thrive under such conditions (Gallastegui et al., 2013; Prenafeta-Boldú et al., 2008). It underlines the 363 effect on microbial activity in response to transient gradients in water content in unsaturated surfaces commonly 364 encountered in soils (Long and Or, 2009). However, the fate of degraded carbon is dependent on the dominant 365 degrading community which dominates over time. Estrada et al. (2013) did a comparative study with a VOC mixture on bacterial and fungal biofiltration. They found higher VOC mineralization by bacteria (~63%) 366 367 compared to fungi (~43%). Thus, the eventual fate of the degraded carbon was influenced by variations in 368 matric potential and its associated effects on the microenvironment such as the microbial community.

369 3.3 Effect of substrate concentration on the fate of degraded carbon

370 Higher substrate concentrations can influence the active degrading community due to substrate toxicity(Song 371 and Kinney, 2005). Kim et al. (2005b) found impaired degradation capability at higher inlet concentrations 372 which can simultaneously alter microbial metabolism especially in a nutrient-limited system. Higher residual 373 toluene can increase the stress on the process culture, which can lead to variation in degradation products from 374 CO₂ recovery to other non-mineralized fractions. Various stress responses for microbes have been elucidated 375 which includes production of internal storage polymers like PHAs under nutrient limited conditions (Poblete-376 Castro et al., 2012). Also, higher substrate concentrations might affect the non-degrading community if the 377 concentrations are beyond the inhibitory range. Under favourable environmental conditions, they can contribute 378 to increased mineralization by predation (Bhaskaran et al., 2008; Cox and Deshusses, 1999; Woertz et al., 379 2002).

Tracking the influence of interaction between environmental parameters on the fate of various degraded fractions can help to understand the process culture's metabolic response. Under nutrient-limited conditions, the assumption is there is no net biomass growth in biofilters (Cherry and Thompson, 1997). Since CO₂ recovery is never 100% as reported in the literature, it suggests a diversion of degraded carbon towards other products as influenced by the environmental parameters. For a nutrient-limited system, a plausible sink would be accumulation of EPS, storage polymers and soluble microbial products (SMP).

The C/N ratio of the influent also influences the composition of EPS in terms of carbohydrates and proteins (Durmaz and Sanin, 2003). Moreover EPS can be degraded by bacteria as a source of carbon and energy under substrate limited conditions (Kommedal et al., 2001). However, carbon extraction studies of microbial biomass in these systems are limited but seldom show significant carbon accumulation in the biofilms (Fürer and Deshusses, 2000; Song and Kinney, 2000; Vance et al., 1987). Nutrient limited systems rarely plug up which

- begs the question of other possible carbon sinks for biofilms in stationary phase degrading pollutants using
- 392 maintenance kinetics. There are also reports of microbes producing more EPS at lower temperatures (Le Bihan
- and Lessard, 2000). Less CO_2 recovery is an indication of the culture possibly producing EPS and other internal
- 394 storage polymers for survival and associated benefits of nutrient pooling (Xavier and Foster, 2007). This further
- illustrates the interdependence and effect of multiple environmental parameters on the fate of degraded carbon
- in these engineered systems.

397 3.4 Physiological implications of non-mineralized fractions/biomass production

398 Maintenance metabolism assumes significance over active growth in a biofilters which are nutrient limited 399 (Grove et al., 2009). Changing environmental conditions can significantly impact maintenance requirements 400 which can increase under stress conditions. Several studies have reported lower biomass yields at higher 401 temperatures, attributing it to increased cellular maintenance requirements with temperature (Cho et al., 2007; 402 Cox et al., 2001; Luvsanjamba et al., 2007). Nasrin et al. (2011) reported that lower matric potential also impairs 403 biomass yield and can initiate a change in microbial community structure. So these stress conditions may affect 404 the metabolic pathways by either a reduction in the fraction of the active community or impaired activity itself 405 at specific set of conditions. Simultaneously, there can be a shift in community structure with changes in 406 environmental parameters (Bhaskaran et al., 2008; Cabrol et al., 2012; Kong et al., 2013; Wallenstein and Hall, 407 2012).

408 For both growth and maintenance, the bioenergetics in the cells is mediated through the catabolic and anabolic 409 reactions which happen separately. But they are intricately coupled with the total energy expenditure which is 410 partitioned into biomass and maintenance functions (Russell and Cook, 1995). However cells are not capable of 411 utilizing all the energy for cellular functions. Xiao and VanBriesen (2006) estimated a 60% average energy 412 capture efficiency. The rest of the energy is dissipated into the system as heat. But this energy capture efficiency 413 is not constant and is subject to change with different substrates, strains and environmental conditions. (Von 414 Stockar et al., 2006) suggested that for low growth systems, only a small amount of biomass is formed per 415 substrate consumed as opposed to a high growth system where biomass formed per substrate consumed would 416 be high. Zafar et al. (2014) correlated the variance in maintenance energy expenditure to changing specific 417 growth rates and yields cumulatively comprising of biomass and PHB production.

418 Good empirical results identifying variance in biomass yields can also be interpreted through the energy 419 dependent kinetics. At certain environmental conditions, more precisely at lower matric potential, higher 420 substrate concentrations or higher temperature, the additional stress can increase the maintenance requirements. 421 Without any driving force for biomass growth in the absence of nitrogen, resource allocation is most likely 422 diverted towards metabolic pathways for production of storage polymers. So the variance in non-mineralized 423 yield can be possibly attributed to the influence and interactions of environmental parameters which make it 424 imperative for the microbial community to adapt to changing conditions. The observed yield changes can 425 involve a shift in community structure with the predominance of a single community or co-existence of a 426 diverse active community degrading toluene. Further metagenomics work is required to conclusively determine 427 if there is a change in the active community fractions with changing conditions.

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4 Conclusions 430

431 Knowledge of the carbon end-points could bridge the connection between functionalities, community 432 structure and metabolic response if coupled with high throughput molecular biology techniques. It can be 433 deduced from the literature that conclusive quantification of the fate across the range of pollutants is often 434 lacking. Further review of the environmental parameters of the various studies provided a critical link to the 435 variations in carbon end-points as a key component in regulating the degradation pathways. Robust empirical 436 data on carbon recovery should serve as good framework for monitoring and deciding operating conditions 437 based on the prior knowledge of factors influencing the end-points for stable and improved system efficacy. The 438 influence of key parameters should reflect on the fate of the degradation products in response to the metabolic 439 pathways of the active degrading community. So, unravelling the various facets of substrate utilization, carbon 440 end-points in particular could lead to a better understanding of the fate of pollutants in the biofiltration of waste 441 gases. In addition, insights on the interactions of various environmental parameters on substrate metabolism 442 pertaining to various end products could help solve operational problems like clogging and start-up times.

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447 References

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