## **On Automorphisms of Transformation Semigroups**

by

Inessa Levi

Department of Mathematics, University of Louisville, Louisville, U.S.A.

and

#### **Graham Wood**

Department of Mathematics and Statistics University of Canterbury, Christchurch, New Zealand.

No. 87

May, 1993

# On Automorphisms of Transformation Semigroups

### Inessa Levi and G.R. Wood

Communicated by Boris M. Schein

This paper addresses the problem of describing automorphisms of semigroups of transformations. In [2] we were involved in characterizing all automorphisms of Croisot-Teissier semigroups. The semigroups of transformations that belong to this large family generally consist of many-to-one transformations whose restrictions to range sets are oneto-one. Here we consider enlargements of Croisot-Teissier semigroups whose elements, restricted to range-sets, are no longer one-to-one. We show that such semigroups contain a maximal Croisot-Teissier semigroup, which in turn is used to present a complete description of automorphisms of these semigroups. Moreover we describe the Green's relations on these enlargements of Croisot-Teissier semigroups, and show that they are in fact simple semigroups, whose regular elements form a bisimple subsemigroup. We start by recalling the definition of Croisot-Teissier semigroups.

Let p and q be infinite cardinals with  $p \ge q$ , and let X be a set with  $|X| \ge p$ . Let  $\mathcal{E} = \{\mathcal{E}_i \mid i \in I\}$  be a set of distinct equivalences on X such that  $|X/\mathcal{E}_i| = p$  for all  $i \in I$ . A subset A of X is said to be well-separated (w.s.) by  $\mathcal{E}$  if |A| = p and  $\mathcal{E}_i \cap (A \times A)$  is the identity relation on A for all  $i \in I$ . For a cardinal t, with  $q \le t \le p$ , let  $\mathcal{C}_t = \{$ w.s.  $A \mid$  for some w.s.  $B, A \subseteq B$  and  $|B - A| = t\}$ . When X contains a w.s. set, the *Croisot-Teissier semigroup on*  $X, \mathcal{E}$  of type (p,q) is  $CT(X, \mathcal{E}, p, q) =$  $\{f : X \to X \mid \pi(f) \in \mathcal{E}, R(f) \in \mathcal{C}_q\}$  with the operation of function composition [1]. Recall that for a transformation f of X, R(f) = f(X) denotes the range of f, and  $\pi(f)$ denotes the partition of X determined by f such that x and y are in the same class of  $\pi(f)$  if and only if f(x) = f(y).

A Croisot-Teissier semigroup  $CT(X, \mathcal{E}, p, q)$  is idempotent-free and either simple (when p = q) or has a minimal ideal  $CT(X, \mathcal{E}, p, p)$  that itself is a Croisot-Teissier semigroup. A simple Croisot-Teissier semigroup  $CT(X, \mathcal{E}, p, p)$  is the disjoint union of its minimal left ideals, and any simple idempotent-free semigroup with a minimal left ideal can be embedded in a simple Croisot-Teissier semigroup  $CT(X, \mathcal{E}, p, p)$ . The Green's relations on these semigroups were described in [3], and their congruences were studied in [4], [5], [6], [7] and [8]. We construct the following generalization of a Croisot-Teissier semigroup. In view of the intimate connection between equivalences on X and partitions of X we write  $[x] \in \mathcal{B}$  to indicate that [x] is the equivalence class of the equivalence  $\mathcal{B}$  containing  $x \in X$ . Given an infinite cardinal  $r \leq p$ , and an equivalence  $\mathcal{A}$  on X let  $\mathcal{A}^{(r)}$  be the family of all equivalences  $\mathcal{B}$  on X such that  $\mathcal{A} \subseteq \mathcal{B}$  and for every  $[x] \in \mathcal{B}$ ,  $|[x]/\mathcal{A}| < r$ . Informally, such a  $\mathcal{B}$  in  $\mathcal{A}^{(r)}$  is formed by glueing together classes of  $\mathcal{A}$ , with each class in  $\mathcal{B}$  made up of fewer than r classes of  $\mathcal{A}$ . The family  $\mathcal{A}^{(r)}$  is referred to as the family of r glueings of  $\mathcal{A}$ . Let  $\mathcal{E}^{(r)} = \bigcup \{\mathcal{E}_i^{(r)} \mid i \in I\}$  be the family of r glueings of  $\mathcal{E}$  and

$$S = \{ f : X \to X \mid R(f) \in \mathcal{C}_q \text{ and } \pi(f) \in \mathcal{E}^{(r)} \}$$

The above semigroup S contains a maximal Croisot-Teissier subsemigroup  $S^{\#}$  that generally does not coincide with the original  $CT(X, \mathcal{E}, p, q)$ . Let  $\mathcal{E}^{\#} = \{\mathcal{A} \in \mathcal{E}^{(r)} \mid \pi(t) = \pi(ft), \text{ for all } f, t \in S \text{ with } \pi(f) = \mathcal{A}\}$  and let  $S^{\#} = CT(X, \mathcal{E}^{\#}, p, q)$ . We show that  $S^{\#}$  is a subsemigroup of S containing  $CT(X, \mathcal{E}, p, q)$ . Let  $\mathcal{C}^{\#}_{q}$  be the set of ranges of all the mappings in  $S^{\#}$ . If  $A \in \mathcal{C}_{q}$  and  $\mathcal{B} \in \mathcal{E}^{\#}$  then  $(A \times A) \cap \mathcal{B} = i_{A}$ , else for  $f, t \in S$ with  $\pi(f) = \mathcal{B}$  and  $R(t) = A, \pi(ft)$  and  $\pi(t)$  are distinct, a contradiction. Therefore  $\mathcal{C}_{q}$  is a subset of  $\mathcal{C}^{\#}_{q}$ . Moreover since  $\mathcal{E}$  is a subset of  $\mathcal{E}^{\#}, \mathcal{C}^{\#}_{q}$  is a subset of  $\mathcal{C}_{q}$ , and so the next result follows from the above and the observation that for any f and g in a Croisot-Teissier semigroup,  $\pi(fg) = \pi(g)$ .

### **Proposition 1** $S^{\#}$ is a maximal Croisot-Teissier subsemigroup of S.

In the following example we start with a specific Croisot-Teissier semigroup and construct the associated  $\mathcal{E}^{(r)}$  and  $\mathcal{E}^{\#}$ . The example is based on [2, Example 4.2].

**Example** Let **R** be the set of all real numbers, and  $\mathbf{R}^+$  be the set of all positive reals. For each  $a \in \mathbf{R}^+$  let  $\mathcal{E}_a$  be the equivalence on **R** whose only non-singleton class is  $[a] = \{a\} \cup (\mathbf{R} - \mathbf{R}^+)$ . Let  $\mathcal{E}_0$  be the equivalence on **R** having two non-singleton classes:  $[-1] = \{b \in \mathbf{R} : -1 \leq b \leq 0\}$  and  $[-2] = \{b \in \mathbf{R} : b < -1\}$ . Let  $\mathcal{E} = \{\mathcal{E}_b : b \in \mathbf{R}, b \geq 0\}$ , and  $p = q = |\mathbf{R}|$ . Note that the  $\mathcal{C}_p$  sets are those subsets A of  $\mathbf{R}^+$  having  $|A| = |\mathbf{R}^+ - A|$ , and that the semigroup  $CT(\mathbf{R}, \mathcal{E}, p, p)$  consists of all transformations  $f : \mathbf{R} \to \mathbf{R}$  having  $\pi(f) \in \mathcal{E}$  and  $R(f) \in \mathcal{C}_p$ .

If  $r = \aleph_0$ ,  $\mathcal{E}^{(r)}$  is the set of all equivalences on  $\mathbf{R}$  whose non-singleton classes are of the form  $Y' \cup Y''$  where Y' is either  $[-1], [-2], \mathbf{R} - \mathbf{R}^+$ , or empty, and Y'' is either a finite subset of  $\mathbf{R}^+$  or empty. Let  $S = \{f : \mathbf{R} \to \mathbf{R} \mid R(f) \in \mathcal{C}_p, \pi(f) \in \mathcal{E}^{(r)}\}$ . Since  $\mathcal{E}^{\#}$  is just  $\mathcal{E}$  together with all partitions in  $\mathcal{E}^{(r)}$  for which every  $\mathcal{C}_p$  set is a partial transversal,  $\mathcal{E}^{\#}$ consists of all equivalences in  $\mathcal{E}^{(r)}$  whose non-singleton classes are of the form  $Y' \cup Y''$ , where Y', Y'' are as above with  $|Y''| \leq 1$ . Thus we have that  $CT(\mathbf{R}, \mathcal{E}, p, p) \subset S^{\#} = CT(X, \mathcal{E}^{\#}, p, p) \subset S$ .

We show that the restriction  $\phi^{\#}$  of an automorphism  $\phi$  of S to  $S^{\#}$  is a rangepreserving, r union-preserving and r glueing-preserving automorphism of  $S^{\#}$  (see Definitions 2,4, and 5 below), and that every such automorphism of  $S^{\#}$  may be extended to an automorphism of S. Therefore using the characterization of automorphisms of Croisot-Teissier semigroups in [2] we are able to describe the automorphisms of S completely. The next definition was introduced in [2, p.228].

**Definition 2** An automorphism  $\phi$  of a semigroup of transformations S is said to be range-preserving if for all  $f, g \in S$ ,  $R(f) \subseteq R(g)$  if and only if  $R(\phi(f)) \subseteq R(\phi(g))$ .

The following decomposition of the union W of all well-separated sets, and the associated decomposition of the Croisot-Teissier semigroup into a union of its right ideals was first described in [2]. Here we present a brief account of these decompositions and some terminology introduced in [2], which we use to give a description of all rangepreserving automorphisms of  $S^{\#}$  and automorphisms of S. Let K be an index set containing I such that  $\mathcal{E}^{\#} = \{\mathcal{E}_i \mid i \in K\}$ . A pair of  $\mathcal{C}_q$  sets A and B are said to be  $\sigma$ -related if whenever A and B both meet a non-singleton class [u] of  $\delta = \cap \{\mathcal{E}_i : i \in K\}$ there exist  $F_1 = A, F_2, \ldots, F_n = B \in \mathcal{C}_q$  such that  $F_j \cap F_{j+1} \in \mathcal{C}_q$  and  $F_j \cap [u] \neq \Phi$ . Let  $\{\mathcal{M}_{\alpha} \mid \alpha \in \Omega\}$  be the collection of all maximal families of  $\sigma$ -related  $\mathcal{C}_q$  sets. For each  $\alpha \in \Omega$  let  $\mathcal{A}_{\alpha} = \bigcup \{A \mid A \in \mathcal{M}_{\alpha}\}$  and  $\mathcal{I}_{\alpha} = \{f \in S^{\#} \mid R(f) \in \mathcal{M}_{\alpha}\}$ , a right ideal of  $S^{\#}$ . A set  $\{h_{\alpha} \mid \alpha \in \Omega\}$  of permutations of W is termed *compatible* if there exists a permutation k of  $W/\rho$  such that the equality of the  $\rho$ -classes  $[h_{\alpha}(x)] = k([x])$  holds for all  $\alpha \in \Omega$  and  $x \in W$ , k induces a permutation of the set  $\{(\mathcal{E}_i|_{W \times W}) | \rho : i \in K\}$ of the equivalences on  $W/\rho$ , and  $h_{\alpha}f = h_{\beta}f$  for all  $f \in \mathcal{I}_{\alpha} \cap \mathcal{I}_{\beta}$ . For each  $\mathcal{E}_i$  define  $\mathcal{B}(\mathcal{E}_i) = \{ [x] \in \mathcal{E}_i \mid [x] \cap W = \Phi \}$  and let  $J = \{ i \in K \mid \mathcal{B}(\mathcal{E}_i) \neq \Phi \}$ . The following result describing range-preserving automorphisms of a Croisot-Teissier semigroup was proved in [2, Theorem 4.4]. The statement is in terms of the maximal Croisot-Teissier subsemigroup  $S^{\#}$  of S.

**Proposition 3** Let  $\phi^{\#}$  be a range-preserving automorphism of  $S^{\#}$ . There exists, uniquely,

- (i) a compatible set  $\{h_{\alpha} \mid \alpha \in \Omega\}$  of permutations of W,
- (ii) a permutation  $z^{\#}$  of  $\mathcal{E}^{\#}$  such that  $z^{\#}(\mathcal{E}_i)\Big|_{W} = h_{\alpha}(\mathcal{E}_i\Big|_{W})$  for any  $\mathcal{E}_i \in \mathcal{E}^{\#}$  and  $\alpha \in \Omega$ . and
- (iii) a family of bijections  $\{y_i \mid i \in J\}$  where  $y_i : \mathcal{B}(\mathcal{E}_i) \to \mathcal{B}(z^{\#}(\mathcal{E}_i))$ , such that
  - 1)  $\phi^{\#}(f)\Big|_{\mathbf{W}} = h_{\alpha}fh_{\alpha}^{-1} \text{ for all } f \in \mathcal{I}_{\alpha},$

  - 2)  $\pi(f) = z^{\#}(\pi(f)), \text{ and}$ 3)  $\phi^{\#}(f)(D) = h_{\alpha}fy_i^{-1}(D) \text{ for all } f \in \mathcal{I}_{\alpha} \text{ with } \pi(f) = \mathcal{E}_i \text{ and } D \in \mathcal{B}(z^{\#}(\mathcal{E}_i)).$

Conversely, given  $S^{\#}$  and (i), (ii), and (iii), there exists a unique range-preserving automorphism  $\phi^{\#}$  of  $S^{\#}$  such that 1), 2), and 3) hold.  **Definition** 4 Given an automorphism  $\phi^{\#}$  of  $S^{\#}$  and an equivalence class A of  $\mathcal{E}_i$  let  $\tilde{\mathcal{A}}$  be the equivalence class of  $z^{\#}(\mathcal{E}_i)$  containing  $h_{\alpha}(x)$ , for some  $\alpha \in \Omega$ , if  $x \in A \cap W \neq \Phi$ , and  $\tilde{\mathcal{A}} = y_i(A)$  if  $A \cap W$  is empty. An automorphism  $\phi^{\#}$  of  $S^{\#}$  is said to be r union-preserving if whenever  $\mathcal{E}_i$ ,  $\mathcal{E}_j \in \mathcal{E}^{\#}$  with  $\mathcal{E}_i^{(r)} \cap \mathcal{E}_j^{(r)} \neq \Phi$  and  $\mathcal{C}$ ,  $\mathcal{D}$  are collections of fewer than r classes in  $\mathcal{E}_i$  and  $\mathcal{E}_j$  respectively, then  $\cup \mathcal{C} = \cup \mathcal{D}$  if and only if  $\cup \{\tilde{\mathcal{A}} : A \in \mathcal{C}\} = \cup \{\tilde{\mathcal{B}} : B \in \mathcal{D}\}.$ 

**Definition 5** An automorphism  $\phi^{\#}$  of  $S^{\#}$  is said to be r glueing-preserving if for all  $\mathcal{E}_i \in \mathcal{E}^{\#}, \ \mathcal{E}_i \in \mathcal{E}^{(r)}_j$  if and only if  $z^{\#}(\mathcal{E}_i) \in z^{\#}(\mathcal{E}_j)^{(r)}$ .

We are now ready to present the main result of the paper describing automorphisms of S. The proof of the theorem below is the content of Lemmas 7 to 16 and Propositions 8 and 17.

**Theorem 6** An automorphism  $\phi$  of S induces a range-preserving, r union-preserving, r glueing-preserving automorphism  $\phi^{\#}$  of  $S^{\#}$ . Conversely every range-preserving, r union-preserving, r glueing-preserving automorphism of  $S^{\#}$  can be extended uniquely to an automorphism of S.

**Lemma 7** Let  $f, g \in S$ . Then

- (i)  $\pi(f) \in \pi(g)^{(r)}$  if and only if  $f \in S^1g$ ;
- (ii)  $\pi(f) = \pi(g)$  if and only if  $S^1 f = S^1 g$ ;
- (iii)  $f \mathcal{L} g$  if and only if  $\pi(f) = \pi(g)$ .

Proof. Observe that (ii) follows directly from (i), while to prove (i) it suffices to show that if  $\pi(f) \in \pi(g)^{(r)}$  then  $f \in S^1g$ . For this choose any  $\mathcal{E}_i \in \mathcal{E}^{\#}$  and let  $\mathcal{D}$  be the set of all classes in  $\mathcal{E}_i$  that have a non-empty intersection with R(g). Define an equivalence relation  $\mu$  on the classes of  $\mathcal{D}$  via  $(A, B) \in \mu$  if and only if  $fg^{-1}(A) = fg^{-1}(B)$ . Since  $\pi(g) \in \mathcal{E}^{(r)}$  and  $\pi(f) \in \pi(g)^{(r)}$ , it follows that there are fewer than r classes of  $\mathcal{D}$  in each  $\mu$ -equivalence class. Let  $\eta : \mathcal{E}_i - \mathcal{D} \to \mathcal{D}$  be a one-to-one mapping (it is readily checked that  $|\mathcal{E}_i - \mathcal{D}| \leq |\mathcal{D}| = p$ ). Extend  $\mu$  to  $\mathcal{E}_i$  by adjoining to each  $\mu$ -equivalence class the preimages of its elements under  $\eta$ . Fewer than r classes are adjoined, since  $\eta$ is one-to-one. The equivalence classes of  $\mu$  on  $\mathcal{E}_i$  naturally provide us with an r glueing  $\mathcal{P}$  of  $\mathcal{E}_i$ . Note that R(g) contains a transversal of  $\mathcal{P}$  and let t be a transformation of Xhaving  $\pi(t) = \mathcal{P}$  and for every y = g(x), t(y) = f(x). Then  $t \in S$  and  $f = tg \in S^1g$ , as required. Finally note that (iii) is a restatement of (ii).

Let  $\phi$  be an automorphism of S. The following is a consequence of Lemma 7 and the definition of  $S^{\#}$ .

**Proposition 8** 1. The correspondence  $z : \mathcal{E}^{(r)} \to \mathcal{E}^{(r)}$  defined by  $z(\pi(f)) = \pi(\phi(f))$  is a bijection such that  $\mathcal{P} \in \mathcal{E}_i^{(r)}$  if and only if  $z(\mathcal{P}) \in z(\mathcal{E}_i)^{(r)}$ .

2. The restriction  $\phi^{\#}$  of  $\phi$  to  $S^{\#}$  is an r glueing-preserving automorphism of  $S^{\#}$ .

**Lemma 9** For every  $A \in C_q$  and  $\mathcal{E}_i \in \mathcal{E}$  there exists a  $\mathcal{P} \in \mathcal{E}_i^{(r)}$  such that A is a total transversal of  $\mathcal{P}$ .

Proof. Note that A is a partial transversal of  $\mathcal{E}_i$  and let  $\mathcal{D}$  be the set of all classes in  $\mathcal{E}_i$  that have an empty intersection with A. Then  $|\mathcal{D}| \leq p$ , and there exists a one-to-one function  $\eta : \mathcal{D} \to A$ . Let  $\mathcal{P}$  be a partition of X consisting of all classes of  $\mathcal{E}_i$  that do not intersect  $\eta(\mathcal{D})$  and all the sets of the form  $F \cup [\eta(F)]$ , where  $[\eta(F)]$  is the  $\mathcal{E}_i$ -class of  $\eta(F)$  and  $F \in \mathcal{D}$ . Then  $\mathcal{P} \in \mathcal{E}_i^{(r)}$  as required.

**Lemma 10** For every  $A \in C_q$  and  $\mathcal{E}_i \in \mathcal{E}$  there exists an idempotent e in S with R(e) = A and  $\pi(e) \in \mathcal{E}_i^{(r)}$ .

*Proof.* Using Lemma 9 choose  $\mathcal{P} \in \mathcal{E}_i^{(r)}$  such that A is a total transversal of  $\mathcal{P}$ . Then the required idempotent is a transformation e of X with  $\pi(e) = \mathcal{P}$ , R(e) = A and e(a) = a, for every  $a \in A$ .

**Proposition 11**  $S^2 = S$ .

*Proof.* For an f in S let e be an idempotent in S with R(e) = R(f) (Lemma 10). Then  $f = ef \in S^2$ .

**Lemma 12** (i) For f and g in S,  $R(f) \subseteq R(g)$  if and only if for every idempotent e in S, eg = g implies ef = f.

(ii) All automorphisms of S are range-preserving.

*Proof.* Observe that (ii) is an easy consequence of (i) and the fact that idempotents are preserved under automorphisms. To prove (i) note that if  $R(f) \subseteq R(g)$  and e is an idempotent such that eg = g then e is the identity on R(g), hence e is the identity on R(f), and so ef = f. Conversely assume  $x \in R(f) - R(g)$  and let x = f(y). Choose an idempotent e in S with R(e) = R(g). Then eg = g while  $ef(y) = e(x) \neq x = f(y)$ , so that  $ef \neq f$ .

Note that the above result implies that the restriction  $\phi^{\#}$  of  $\phi$  to  $S^{\#}$  is a rangepreserving automorphism of  $S^{\#}$ , described in Proposition 3. We will use it to describe  $\phi$  itself.

**Lemma 13** Let  $f \in S$  with  $R(f) \in \mathcal{M}_{\alpha}$ , and take  $x \in W$ . Then  $\phi(f)(x) = h_{\alpha} f h_{\alpha}^{-1}(x)$ .

Proof. We show that there exists a  $g \in S^{\#}$  such that  $fg \in S^{\#}$  and  $x \in R(\phi(f))$ . Let [x] be the  $\delta$ -class containing x and  $V = h_{\alpha}^{-1}([x])$ . Choose  $A \in \mathcal{C}_q$  such that  $A \cap V$  is non-empty and let  $A \cap V = \{y\}$ . Assume  $\pi(f) \in \mathcal{E}_i^{(r)}$ . Since A is a partial transversal of  $\mathcal{E}_i$  and each class of  $\pi(f)$  consists of fewer than r classes of  $\mathcal{E}_i$ ,  $r \leq p$ , there exists a subset D of A of cardinality p such that  $y \in D$  and D is a partial transversal of  $\pi(f)$ . Let  $D \in \mathcal{M}_{\beta}$ , for some  $\beta \in \Omega$ , and  $h_{\beta}^{-1}(x) = w$ . Note that  $h_{\beta}^{-1}([x]) = h_{\alpha}^{-1}([x])$ , so that  $y \delta w$  (see [2, p.211] for details), and choose  $g \in S^{\#}$  with  $R(g) = (D - \{y\}) \cup \{w\}$  and g(v) = w for some  $v \in W$ . Then  $g \in \mathcal{I}_{\beta}$ , and since  $\pi(g) = \pi(fg)$  and  $R(fg) \subseteq R(f)$  we have that  $fg \in S^{\#} \cap \mathcal{I}_{\alpha}$ . Let  $u = h_{\beta}(v)$ , then  $u \in W$  and  $\phi(g)(u) = h_{\beta}gh_{\beta}^{-1}(u) = h_{\beta}gh_{\beta}^{-1}h_{\beta}(v) = h_{\beta}gh_{\alpha}^{-1}(u) = h_{\alpha}fgh_{\alpha}^{-1}h_{\beta}(v) = h_{\alpha}fgh_{\alpha}^{-1}(u) = h_{\alpha}fgh_{\alpha}^{-1}h_{\beta}(v) = h_{\alpha}fg(v) = h_{\alpha}fgh_{\alpha}^{-1}(x)$  and  $h_{\alpha}^{-1}(x)$  are pairwise  $\delta$ -related.  $\Box$ 

Recall (Proposition 8) that  $\phi$  induces a permutation  $z : \mathcal{E}^{(r)} \to \mathcal{E}^{(r)}$  defined by  $z(\pi(f)) = \pi(\phi(f))$ .

**Lemma 14** If  $\mathcal{P} \in \mathcal{E}_i^{(r)}$  and C and D are classes of  $\mathcal{E}_i$ , then  $C \cup D$  is a subset of a  $\mathcal{P}$ -class if and only if  $C \cup D$  is a subset of a class of  $z(\mathcal{P})$ .

Proof. Let  $f \in S$  with  $\pi(f) = \mathcal{P}$ . Using Lemma 7 choose  $g, t \in S$ , such that f = tg and  $\pi(g) = \mathcal{E}_i$ . Assume  $R(t) \in \mathcal{M}_{\alpha}$  (and so  $R(f) \in \mathcal{M}_{\alpha}$ ),  $R(g) \in \mathcal{M}_{\beta}$ . If  $C \in \mathcal{B}(\mathcal{E}_i)$  then  $\tilde{C} = y_i(C)$ , and by Proposition 3,  $\phi(f)(\tilde{C}) = \phi(f)(y_i(C)) = \phi(t)h_{\beta}gy_i^{-1}(y_i(C)) = h_{\alpha}th_{\alpha}^{-1}h_{\beta}g(C) = h_{\alpha}f(C)$ , by Lemma 13, since  $h_{\beta}g(C) \in W$ . If  $D \in \mathcal{B}(\mathcal{E}_i)$  then  $\phi(f)(\tilde{C}) = \phi(f)(\tilde{D})$  iff  $\phi(f)(y_i(C)) = \phi(f)(y_i(D))$  iff f(C) = f(D), as required. If D is not in  $\mathcal{B}(\mathcal{E}_i)$ , then there is an  $x \in D \cap W$  and  $\phi(f)(\tilde{D}) = \phi(f)(h_{\alpha}(x)) = h_{\alpha}fh_{\alpha}^{-1}h_{\alpha}(x) = h_{\alpha}f(D)$ , so again  $\phi(f)(\tilde{C}) = \phi(f)(\tilde{D})$  iff f(C) = f(D). The remaining case when C is not in  $\mathcal{B}(\mathcal{E}_i)$  can be dealt with in a similar manner.

**Corollary 15** The automorphism  $\phi^{\#}$  is r union-preserving.

**Lemma 16** Let  $f \in S$  with  $R(f) \in \mathcal{M}_{\alpha}$ ,  $\pi(f) \in \mathcal{E}_{i}^{(r)}$ , and  $D \in z(\pi(f))$  with  $D \cap W = \Phi$ . Then  $\phi(f)(D) = h_{\alpha}fy_{i}^{-1}(C)$ , where  $C \subseteq D$ ,  $C \in z(\mathcal{E}_{i})$  and  $C \cap W = \Phi$ .

Proof. Choose  $g, t \in S$ , with  $\pi(g) = \mathcal{E}_i$  such that f = tg. Assume  $R(g) \in \mathcal{M}_\beta$ ,  $R(t) \in \mathcal{M}_\tau$ . Since  $D \in z(\pi(f)) \in z(\mathcal{E}_i^{(r)})$ , there exists a subset C of D,  $C \in z(\mathcal{E}_i)$ . Then  $\phi(f)(D) = \phi(f)(C) = \phi(t)\phi(g)(C) = h_\tau th_\tau^{-1}h_\beta gy_i^{-1}(C)$ , since  $g \in S^{\#}$ , and  $h_\beta gy_i^{-1}(C) \in W$ . Since for any  $a \in X$ ,  $h_\tau^{-1}h_\beta g(a)$  and g(a) are  $\mathcal{E}_j$ -equivalent for any j,  $h_\tau th_\tau^{-1}h_\beta gy_i^{-1}(C) = h_\tau tgy_i^{-1}(C) = h_\tau fy_i^{-1}(C) = h_\alpha fy_i^{-1}(C)$ , because R(f) is a subset of R(t).

**Proposition 17** Let  $\mu$  be a range-preserving, r union-preserving and r glueing-preserving automorphism of  $S^{\#}$ . Then  $\mu$  can be extended uniquely to an automorphism  $\tau$  of S.

Proof. Let  $\mu$  be as stated, and  $\{h_{\alpha} \mid \alpha \in \Omega\}$ ,  $z^{\#}$ ,  $\{y_i \mid i \in I\}$  be the parameters describing  $\mu$  as in Proposition 3. We extend  $z^{\#}$  to a permutation z of  $\mathcal{E}^{(r)}$  as follows. Define a mapping z from  $\mathcal{E}^{(r)}$  to itself such that  $z(\mathcal{E}_i) = z^{\#}(\mathcal{E}_i)$ ,  $i \in K$ , and for  $\mathcal{P} \in \mathcal{E}_i^{(r)}$ ,  $z(\mathcal{P}) \in (z^{\#}(\mathcal{E}_i))^{(r)}$  such that  $\tilde{B} \cup \tilde{C}$  is a subset of a  $z(\mathcal{P})$ -class if and only if  $B \cup C$ is a subset of a  $\mathcal{P}$ -class. To see that z is well-defined assume  $\mathcal{P} \in \mathcal{E}_i^{(r)} \cap \mathcal{E}_j^{(r)}$ , and let F be a  $\mathcal{P}$ -class such that  $F = \bigcup \{G \mid G \in \mathcal{E}_i\} = \bigcup \{H \mid H \in \mathcal{E}_j\}$ . Since F is a union of fewer than r classes of  $\mathcal{E}_i$  or  $\mathcal{E}_j$  and  $\mu$  is r union-preserving, we have that  $\bigcup \{\tilde{G} \mid G \in \mathcal{E}_i\} = \bigcup \{\tilde{H} : H \in \mathcal{E}_j\}$ , as required.

Define a mapping  $\tau$  on S as follows. For  $f \in S^{\#}$ , let  $\tau(f) = \mu(f)$ . For  $f \in S$  with  $R(f) \in \mathcal{M}_{\alpha}$  and  $\pi(f) \in \mathcal{E}_{i}^{(r)}$ , let  $\pi(\tau(f)) = z(\pi(f))$ , and  $\tau(f)(x) = h_{\alpha}fh_{\alpha}^{-1}(x)$  if  $x \in W$ , while for an  $[x] \in \mathcal{B}(z(\mathcal{E}_{i})), \tau(f)(x) = h_{\alpha}fy_{i}^{-1}(D)$ , where  $D \subseteq [x], D \in \mathcal{E}_{i}$ .

To see that  $\tau(f)$  is a mapping assume that  $[x] \in \mathcal{B}(z(\mathcal{E}_i))$  and there exists  $u \in W$ ,  $u \in C \in z(\mathcal{E}_i)$  such that x, u are in the same class of  $\pi(\tau(f))$ . Then  $\tau(f)(x) = h_{\alpha}fy_i^{-1}(x)$ ,  $\tau(f)(u) = h_{\alpha}fh_{\alpha}^{-1}(u)$ , and since by the definition of z,  $fh_{\alpha}^{-1}(C) = fy_i^{-1}(x)$  we have that  $h_{\alpha}fy_i^{-1}(x) = h_{\alpha}fh_{\alpha}^{-1}(u)$ , as required. The proof that  $\tau$  is a homomorphism is analogous to that of Proposition 3 (see [2, §4]).

We now turn to the description of the Green's relations on S. Just as the maximal Croisot-Teissier subsemigroup  $S^{\#} = \{f \in S \mid \pi(ft) = \pi(t) \text{ for all } t \in S\}$  of S played a crucial role in the description of the automorphisms of S, so the maximal regular subsemigroup of S aids in the description of the Green's relations on S. Let E(S) be the set of all idempotents of S, and define

$$N = \{ f \in S \mid R(ft) = R(f) \text{ for some } t \in S \} .$$

Then N is a subsemigroup of S containing E(S). Moreover N contains all the regular transformations in S, for if f is regular then fgf = f, for some  $g \in S$ , and R(f(gf)) = R(f). We show in Proposition 20 that N is the maximal regular subsemigroup of S.

**Proposition 18** For distinct  $f, g \in S$ ,  $f \mathcal{R} g$  iff  $f, g \in N$  with R(f) = R(g).

Proof. Assume  $f \mathcal{R} g$ , then fs = g and gt = f, for some  $s, t \in S$ . Therefore R(f) = R(g), and so R(f) = R(g) = R(fs) = R(gt), hence  $f, g \in N$ . Conversely, assume  $f, g \in N$ with R(f) = R(g). Then there exist  $A, B \in C_q$  such that A and B are transversals of  $\pi(f)$  and  $\pi(g)$  respectively. Let  $h: B \to A$  be a bijection such that  $h(b) = \{f^{-1}g(b)\} \cap A$ , for each  $b \in B$ . Define  $s \in S$  such that R(s) = A,  $\pi(s) = \pi(g)$ , and for each  $b \in B$ , a transversal of  $\pi(s), s(b) = h(b)$ . Then fs = g, and a transformation  $t \in S$  such that gt = f may be constructed similarly.  $\Box$ 

**Proposition 19** For distinct  $f, g \in S$ ,  $f \mathcal{D} g$  iff either  $\pi(f) = \pi(g)$ , or  $f, g \in N$ .

*Proof.* Assume  $f \mathcal{D} g$ , so that  $f \mathcal{L} s$  and  $s \mathcal{R} g$ , for some  $s \in S$ . Then  $\pi(f) = \pi(s)$  (Lemma 7) and if  $s \neq g$ , then  $s, g \in N$  so that  $f \in N$  also. Conversely, if  $f, g \in N$ ,

choose  $s \in S$  with R(s) = R(f) and  $\pi(s) = \pi(g)$ . Then  $s \in N$  and  $f \mathcal{L} s \mathcal{R} g$ .

Since N consists of precisely those elements f of S whose partition  $\pi(f)$  has a total transversal amongst  $C_q$  sets, N is a  $\mathcal{D}$ -class of S. Moreover N is a  $\mathcal{D}$ -class of S containing the set of idempotents of S, so that every element of N is regular. This, in conjunction with the earlier observation that N contains all the regular elements of S, proves the next result.

**Proposition 20** N is the maximal regular subsemigroup of S.

#### **Proposition 21** S is simple.

Proof. Since N is a  $\mathcal{D}$ -class of S (see the remark after Proposition 19) and  $\mathcal{D} \subseteq \mathcal{J}$ , it suffices to show that for any  $f \in S$  there exists  $g \in N$  such that  $f \mathcal{J} g$ . A proof similar to that of Lemma 9 yields that for an  $f \in S$  there exists  $\mathcal{P} \in (\pi(f))^{(r)}$  such that for  $g \in S$  with  $\mathcal{P} = \pi(g)$ , we have that  $g \in N$ . Now let i be such that  $\pi(f) \in \mathcal{E}_i^{(r)}$ , and choose  $\mathcal{Q} \in \mathcal{E}_i^{(r)}$  such that for  $A, B \in \mathcal{E}_i$ , A and B are in the same class of  $\mathcal{Q}$  if and only if both  $A \cap R(f)$  and  $B \cap R(g)$  are non-empty, and  $f^{-1}(A)$  and  $f^{-1}(B)$  are in the same class of  $\mathcal{P}$ . Then for  $s \in S$  with  $\pi(s) = \mathcal{Q}$  we have that  $\pi(sf) = \mathcal{P}$ , and so  $sf \in N$ . Let sf = g. We show that there exist  $u, v \in S$  such that f = ugv. Let v be such that R(v) is a transversal of  $\pi(g)$  and  $\pi(v) = \pi(f)$ . Then R(gv) = R(g) and  $\pi(gv) = \pi(f)$ . Choose a bijection w from R(g) onto R(f) such that w(gv(x)) = f(x) for all  $x \in X$ . Let  $u \in N$  be such that R(g) is a transversal of  $\pi(u)$ , and for each  $y \in R(g)$ , u(y) = w(y). Then f = ugv, as required.

## References

- [1] Clifford, A.H. and G.B. Preston, *The Algebraic Theory of Semigroups*, II, American Mathematical Society, 1967.
- [2] Levi, Inessa, K.C. O'Meara, and G.R. Wood, Automorphisms of Croisot-Teissier Semigroups, Journal of Algebra, 101(1), 1986, 190-245.
- [3] Levi, Inessa, Green's Relations on Croisot-Teissier Semigroups, Semigroup Forum, 33, 1986, 299-307.
- [4] Levi, Inessa and Steven Seif, Range Sets and Partition Sets in Connection with Congruences and Algebraic Invariants, Semigroup Forum, 1993, to appear.
- [5] Lindsey, D. and B. Madison, Congruences on Croisot-Teissier Semigroups, Proceedings of the Conference on Semigroups in honor of Alfred H. Clifford, Tulane Univ., New Orleans, La., 1979, 193-206.

- [6] Mielke, B.W., Regular Congruences on Croisot-Teissier and Baer-Levi Semigroups, J. Math. Soc. Japan, 24, 1972, 539-551.
- [7] Mielke, B.W., Regular Congruences on a Simple Semigroup with a Minimal Right Ideal, Publ. Math. Debrecen, 20, 1973, 79-84.
- [8] Mielke, B.W., Completely Simple Congruences on Croisot-Teissier Semigroups, Semigroup Forum, 9, 1975, 283-293.

Mathematics Department University of Louisville Louisville, KY 40292 U.S.A. Mathematics Department University of Canterbury Christchurch, 1 New Zealand